

This amendment pertains to the CDBG-CV CARES Act funding. Lawrence has a formula allocation of \$446,184. Amended portions include AP15 and AP35. Second amendment pertains to the CDBG-CV CARES Act Round three funding. Lawrence has a total allocation of \$950,250. Amended portions include AP15 and AP35.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan provides a basis and strategy for the use of federal funds granted to the City of Lawrence by the U.S. Department of Housing and Urban Development (HUD) under the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) programs. This Annual Action Plan (Year two of the 2018 - 2022 Consolidated Plan) covers the period beginning August 1, 2019 through July 31, 2020, including one program year. Programs and activities described in this plan are intended to primarily benefit low and moderate-income residents of the City of Lawrence, neighborhoods with high concentrations of low-income and moderate-income residents, and the city as a whole. This plan is the product of public outreach, public hearings, and consultation with over 50 agencies, groups, and organizations involved in the development of affordable housing, creation of job opportunities for low and moderate-income residents, and/or provision of services to children, elderly persons, persons with disabilities, and homeless persons. A complete draft of this plan has been made available for public review and comment for a 30-day period beginning April 25, 2019. The availability of both the draft plan and the final plan is advertised in the Lawrence Journal-World newspaper and the complete documents are available for review at the City's website www.lawrenceks.org/pds and in print form in the Development Services office of Planning and Development Services.

This Annual Action Plan was drafted prior to final 2019PY CDBG and HOME allocation amounts from HUD. The Community Development Advisory Committee at their 4/25/2019 meeting will direct staff as to how to adjust the final numbers in the even of variations from the estimated amounts.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Throughout this Annual Action Plan the *Step Up to Better Housing* strategy is mentioned on numerous occasions. This strategy will continue to be the guideline for funding allocations and recommendations. There are five main components of the Step Up to Better Housing strategy; Emergency Housing (Temporary options for immediate & safe shelter for individuals and families who are homeless, transient, or experiencing an emergency situation), Transitional Housing (housing and services designed to promote residential stability, increase skills, enhance self-determination and move people who are homeless to permanent housing within 24 months), Permanent Supportive Housing, Permanent Housing (a variety of ownership and rental choices), and Revitalized Neighborhoods. In creating this Annual Action Plan, the Step Up to Better Housing strategy remains the focal point for determining objectives and assessing expected outcomes.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Lawrence continues to utilize the Step Up to Better Housing strategy as the basis for funding decisions made by the Community Development Advisory Committee. The strategy is approved by the City Commission, and reaffirmed on a yearly basis prior to allocating funding for CDBG and HOME activities and projects. Guided by this strategy, funding is consistently allocated to one of five areas: Emergency Shelter, Transitional Housing, Permanent/Permanent Supportive Housing, Revitalized Neighborhoods, and Community Facilities. This strategy has proven to both provide the most benefit to sustaining services in the community that serve low-moderate income individuals and families, as well as to effectively meet the three objectives of providing decent, affordable housing, suitable living environments, or economic opportunities. The City of Lawrence Community Development Division has been very successful at providing homeowner improvement programs such as weatherization, comprehensive housing rehabilitation, emergency and furnace loans, and first-time homebuyer rehabilitation. Public service agencies and CDBG funded neighborhood associations provide reporting on performance measures and other capital improvement projects such as traffic calming devices and sidewalk gap construction have provided immediate community benefits.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City of Lawrence abides by the Citizen Participation Plan most recently amended in September of 2016. This plan is reviewed and approved by the City Commission. Citizen participation is the heart of the consolidated planning process, involving citizens in decisions that directly affect their lives. The purpose of the Citizen Participation Plan is to encourage and insure full and proper citizen participation at all stages of the Consolidated Plan process. The Citizen Participation Plan formally designates

structures, procedures, roles and policies to be followed by program participants. A secondary purpose of this Plan is to implement federal regulations regarding citizen participation for the consolidated planning process described by Title 24 CFR 91.105 of the Housing and Community Development Act of 1974, as amended. Nothing in this Plan shall restrict the responsibility and authority of the City of Lawrence (City) from developing and executing its Consolidated Plan. There are six Consolidated Plan objectives outlined in the Citizen Participation Plan: OBJECTIVE NO. 1: Provide for and encourage citizen participation with particular emphasis on participation by persons of low and moderate income, particularly those who are residents of slum and blighted areas and of areas in which CDBG and HOME funds are proposed to be used, and provide for participation of residents in low and moderate income neighborhoods as defined by the City of Lawrence. Additionally, provide for and encourage participation of all citizens, including minorities and non-English speaking persons, as well as persons with disabilities. Provide for and encourage, in conjunction with the Lawrence-Douglas County Housing Authority, citizen participation of residents of public and assisted housing developments, along with other low-income residents of targeted revitalization areas in which the developments are located. Provide information to the housing authority about consolidated plan activities related to its developments within the community so that the housing authority may make this information available at the annual public hearing required under the Comprehensive Grant program. OBJECTIVE NO. 2: Provide citizens with reasonable and timely access to local meetings, information, and records relating to the Consolidated Plan, Substantial Amendments, and the Performance Report, as required by regulations and relating to the actual use of funds under this title. Provide information regarding the displacement of persons and specifying the types and levels of assistance that will be available, even though no displacement is expected to occur. OBJECTIVE NO. 3: Provide for technical assistance to citizens, public agencies, interested parties, and other groups representative of persons of low and/or moderate income that request such assistance in developing proposals with the level and type of assistance to be determined by the City. OBJECTIVE NO. 4: Provide for public hearings to obtain citizen views and to respond to proposals and questions at all stages of the consolidated planning process, including the Citizen Participation Plan, the development of needs, the review of proposed activities, and the review of program performance. Such hearings shall be held after adequate notice, at times and locations convenient to potential or actual beneficiaries, and with accommodation for persons with disabilities. Notice of public hearings will be published as display ads prior to the date of the public hearing. OBJECTIVE NO. 5: Provide for a timely written answer to written complaints and grievances, within fifteen (15) working days where practicable. OBJECTIVE NO. 6: Identify how the needs of non-English speaking residents will be met in the case of public hearings where a significant number of non-English speaking residents can be reasonably expected to participate.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Public comments attached in minute form.

6. Summary of comments or views not accepted and the reasons for not accepting them

There were no public comments not accepted.

7. Summary

Based on the needs of the community, the Step Up to Better Housing strategy remains the focus of funding allocation recommendations for 2019. The Community Development Advisory Committee will continue to reaffirm the strategy before each funding cycle, and funding decisions will be based on relevancy to the strategy.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	LAWRENCE	Planning and Development Services
HOME Administrator	LAWRENCE	Planning and Development Services

Table 1 – Responsible Agencies

Narrative (optional)

The lead agency for the Consolidated Plan is the Community Development Division of the Planning and Development Services Department, City of Lawrence, KS. This division and department oversaw the development of the plan. Two citizen advisory boards performed key roles: The Homeless Issues Advisory Committee, the Affordable Housing Advisory Board, and the Community Development Advisory Committee.

The Affordable Housing Advisory Board (AHAB) Purpose:

Established by Ordinance No. 9427, as amended on February 6, 2018, the purpose of the Affordable Housing Board is to:

1. Advise the Governing Body regarding issues affecting affordable housing and supportive services in the community;
1. Oversee and facilitate the purpose of the Affordable Housing Trust Fund, which is to support the acquisition, rehabilitation, and development of affordable housing and supportive services so that all persons in the community have access to independent living with dignity;
1. Make recommendations to the Governing Body regarding the expenditure of money from the Affordable Housing Trust Fund in order to fund projects, as reviewed and approved by the Board, that are consistent with the purpose of the Affordable Housing Trust Fund; and

1. Make recommendations to the Governing Body regarding the cultivation and maintenance of steady and various streams of income to fund the Affordable Housing Trust Fund. However, the Board shall not apply for any grant without prior approval of the Governing Body; nor shall it accept any gift or donation without prior approval of the Governing Body.

Members of the Board shall serve three (3) year terms, except when appointed to complete an unexpired term. As established by the bylaws, the terms of Board members shall be staggered so that no more than one-third of the Board's terms shall expire each year. No member shall serve more than two (2) consecutive full, 3-year terms. All members of the board shall serve without compensation.

The Community Development Advisory Committee (CDAC) Purpose: To advise and assist the City of Lawrence, Kansas in program funding and policy recommendations regarding the Community Development Block Grant (CDBG) and HOME Investment Partnerships Program (HOME). The 11 committee members are drawn from low and moderate income areas and neighborhoods at-large.

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The following information is compromised of the agencies that have served on committees, provided direct input or provided input via other means, CDBG, HOME, and CoC-funded sub-recipients, and delivered the CDBG and HOME services directly. The Consolidated Plan and Action Plan process is a year-round accumulation of reports, discussions, analysis, and observations. All of the agencies/groups listed below have had a part in the final product as well as decisions and discussions that happen year-round.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

Lawrence has a very strong commitment to agency coordination, and because of this commitment and the effort to bring community agencies and providers together, this has been very successful. City staff attends quarterly meetings at the Lawrence-Douglas County Housing Authority, as well as at the Bert Nash Mental Health Center. Agendas for advisory committees are structured so as to obtain reports and feedback from community partners. Staff additionally is involved in Continuum of Care efforts in the community. Because of the effort to bring all stakeholders to the table, no matter what the issue or discussion, there is a strong knowledge of community resources among the agencies. Lawrence is home to a very strong referral network and very effective discussions regarding needs analysis and service delivery.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Lawrence and Douglas County are a part of the Kansas Balance of State (BoS) Continuum of Care (CoC). The BoS CoC consists of eight regions containing 101 counties. Lawrence and Douglas County is its own region within the CoC. Danelle Dresslar, Community Development Manager in the Community Development Division has served for three years as the Chair of the BoS CoC Committee as well as the regional coordinator for the Lawrence/Douglas County region. The CoC committee meets on a quarterly basis and there are reports heard from each region including Lawrence/Douglas County. The information from the CoC meeting is reported back to the HIAC as well as other service providers and agencies in the community. Community service providers and partners meet on a year-round basis

regarding Point-In-Time (PIT) homeless count efforts. The PIT numbers are used throughout the community in varying capacities, and because of the efforts of the City and the

service providers, the importance and impact of the count are appreciated and every effort is made to perform an effective and efficient count. In addition, the City of Lawrence is an Emergency Solutions Grant recipient through the State of Kansas and coordination between the City and the CoC is reached in that way as well. Within the community, the numbers received as part of the PIT efforts are implemented into the Community Housing Vision, and gaps are identified from what housing services are available and what the actual need is. The BoS has not reported any unaccompanied youth in recent years, and the community has placed a special emphasis on homeless families with children. Agencies have examined their programs and resources to service this particular population. Referral sources are utilized when a particular sector of homeless are unable to obtain resources in Lawrence or Douglas County.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Lawrence is a state ESG recipient, and consults with both the funding agency, the Kansas Housing Resources Corporation (KHRC), as well as the CoC. KHRC surveyed the CoC participating agencies and developed their funding plan and performance standards. Lawrence also participated in the Consolidated Plan process with the State, as well as attended training on ESG. The City of Lawrence funds three agencies with their ESG allocation, the Lawrence Community Shelter, The Salvation Army, and the Willow Domestic Violence Center. The City also works with KHRC in the local implementation of the HMIS system through MAACLink. The City facilitates training, provides agency feedback to the vendor, and in addition staff has also attended the HMIS training. The City works with both the local agencies as well as MAACLink for HMIS service delivery.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	LAWRENCE
	Agency/Group/Organization Type	Other government - Local Grantee Department
	What section of the Plan was addressed by Consultation?	All parts, including creation of the Consolidated Plan.
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Lead Agency. Delivers homeowner improvement programs. Lead-based paint strategy, market analysis, program monitoring and administration. Floodplain management. Broadband consultation with providers.
2	Agency/Group/Organization	Community Development Advisory Committee
	Agency/Group/Organization Type	City Advisory Board
	What section of the Plan was addressed by Consultation?	All parts of the Consolidated Plan/Annual Action Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Allocation of funding in 2019 for CDBG and HOME, held public hearings, took citizen input and citizen participation. Recommended funding to City Commission.
3	Agency/Group/Organization	Balance of State CoC
	Agency/Group/Organization Type	Contium of Care
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Coalition for Homeless Concerns is the regional planning group for the CoC. Recommendations made for funding, support of projects, recruitment of potential applicants. Participation in state-wide CoC meetings and required data gathering for HUD
4	Agency/Group/Organization	Affordable Housing Advisory Board
	Agency/Group/Organization Type	City Advisory Board
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Advisory board that recommends funding from the city's Housing Trust Fund. Commissioned a comprehensive housing market analysis in 2018.
5	Agency/Group/Organization	Bert Nash Mental Health Center
	Agency/Group/Organization Type	Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participation in CoC regional activities, transitional housing consultation, Point-In-Time data collection. Participant in creating and implementation of Community Housing Vision. Active in regional planning for the CoC. Provides input on unsheltered homeless and homeless needs gap analysis.

6	Agency/Group/Organization	Coalition for Homeless Concerns
	Agency/Group/Organization Type	Regional organization
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regional group for the Lawrence/Douglas County Balance of State. Provide input on homeless concerns and provide discussion of solutions. The CHC also holds community forums and discussions on the issues seen by the homeless community as well as the agencies that serve them
7	Agency/Group/Organization	Habitat for Humanity
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Developer using HOME funds. Provides the department with plans for housing development within the community via the grant application.
8	Agency/Group/Organization	HOUSING AND CREDIT COUNSELING, INC
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG sub-recipient. Provides monthly client reporting. Provides analysis of needs within grant application. Participation in CDAC public hearings.
9	Agency/Group/Organization	INDEPENDENCE, INC
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs AFH
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG sub-recipient. Provides accessibility improvements for disabled renters and owner-occupants. Provides demographics and input to staff regarding their clientele. Participant in Community Health Plan regarding Housing needs.
10	Agency/Group/Organization	Lawrence Homebuilder's Association
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Affordable Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Work with building codes and standards. Provide input on process as well as gaps and enhancements in the construction of permanent housing in the community. Active participant on AHAB.
11	Agency/Group/Organization	LAWRENCE COMMUNITY SHELTER
	Agency/Group/Organization Type	Services-homeless

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy AFH
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	LCS is the only emergency homeless shelter in Lawrence. Active participant in the regional CoC effort. CDBG sub-recipient. Reports monthly on demographics of clientele. Meets regularly with CDD staff regarding needs analysis and recommendations. ESG funded agency.
12	Agency/Group/Organization	LAWRENCE HOUSING AUTHORITY
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Families with children Homelessness Strategy AFH
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	HOME sub-recipient for TBRA program. Meets regularly with CDD staff regarding TBRA, Section 8, Moving to Work, and case management. Administered HPRP program for the City. Monthly reporting to HIAC regarding programs. Very active in community partnerships, LIHTC projects, and is also a CoC funded-agency with a PSH program. Active participant in AHAB.
13	Agency/Group/Organization	TENANTS TO HOMEOWNERS, INC
	Agency/Group/Organization Type	Housing

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs AFH
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	HOME program Community Housing Development Organization (CHDO). Quarterly meeting with staff regarding projects and consistent market analysis. Works with first-time homebuyer rehabilitation, CHDO set-aside, Neighborhood Stabilization Program, LIHTC, and permanent housing development. Administers Lawrence Community Housing Trust. Active participant in AHAB.
14	Agency/Group/Organization	Department for Children and Families
	Agency/Group/Organization Type	Services-Children Other government - State
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participant in LDCHA transitional housing program. MOU with LDCHA for case management of TBRA clients. Provides assessment and details of services in community. Provides consultation to the plan for foster care discharge. AHAB participant.
15	Agency/Group/Organization	THE SALVATION ARMY
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Needs - Veterans Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CoC-funded agency for Permanent Supportive Housing as well as Supportive Services, participant in TBRA program case management, active in regional CoC. Assistance with PIT and data collections for HUD-required information. Provide analysis and assessment of service needs and gaps. Oversees SSVF program.
16	Agency/Group/Organization	THE WILLOW DOMESTIC VIOLENCE CENTER
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Provide reports to committees on successes and challenges to deliver DV services. Participant in the CoC activities, including PIT data collection. Partner with LDCHA for TBRA program. ESG sub-recipient.
17	Agency/Group/Organization	PUBLIC WORKS DEPARTMENT
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Non-housing Community Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG sub-recipient. Completed inventory of city sidewalk conditions and created a sidewalk gap program to address the needs of walkability in CDBG-funded neighborhoods, as well as other area benefit locations. Consults with CDD staff regarding analysis of neighborhood conditions and strategy to repair such areas. Also works with neighborhoods to provide area capital improvements such as neighborhood crosswalks, storm water management, and street repair.
18	Agency/Group/Organization	Neighborhood Groups/Associations
	Agency/Group/Organization Type	Neighborhood Associations

	What section of the Plan was addressed by Consultation?	Market Analysis Non-Housing Community Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Low-moderate income neighborhoods can qualify for CDBG operating and coordinator funding. Currently four neighborhoods receive funding: Brook Creek, Pinckney, East Lawrence, and Schwegler. The associations provide detailed neighborhood assessments of services, condition, and needs in application. In addition, each neighborhood has representation on the CDAC and provide input during the entire Consolidated planning process. Work directly with Public Works department on neighborhood capital improvement projects.
19	Agency/Group/Organization	Lawrence Police Department
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collaboration with the Lawrence Police Department in the Development Services Department includes assistance to staff. Provide input from a public safety viewpoint regarding homelessness issues and additionally provide input on code enforcement issues, including blight and violating properties.
20	Agency/Group/Organization	Douglas County Sheriff's Office
	Agency/Group/Organization Type	Other government - County
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Provide consultation on re-entry challenges and implemented procedures. Provide input on the specific population leaving incarceration. Active in CoC data collection and meetings as well. Also active in TBRA program with the LDCHA.

21	Agency/Group/Organization	Family Promise of Lawrence
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Active participant in regional CoC efforts, as well as represented on the AHAB. Provide information on their population including challenges to reaching permanent housing. Active participant on AHAB.
22	Agency/Group/Organization	Lawrence Memorial Hospital
	Agency/Group/Organization Type	Services-Health Publicly Funded Institution/System of Care
	What section of the Plan was addressed by Consultation?	Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Provides detailed reporting on homeless and ER service. Provide consultation on discharge planning.
23	Agency/Group/Organization	Lawrence Business Community
	Agency/Group/Organization Type	Civic Leaders
	What section of the Plan was addressed by Consultation?	Homelessness Strategy AFH

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Provides input on challenges that the business community faces with homelessness in the downtown business district. Facilitates discussions with community members regarding homelessness.
24	Agency/Group/Organization	Catholic Charities of NE Kansas
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG and ESG recipient. Active partner with LDCHA in TBRA program. Provides assessment information regarding service delivery in the community.
25	Agency/Group/Organization	Local Broadband Providers
	Agency/Group/Organization Type	Broadband/Fiber
	What section of the Plan was addressed by Consultation?	Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Includes MidCo. Companies are working with the City on strategic goals centered around broadband and fiber access for the community.
26	Agency/Group/Organization	Justice Matters
	Agency/Group/Organization Type	Housing Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Active participant in AHAB meetings, provide research and recommendations to AHAB regarding housing.
27	Agency/Group/Organization	The University of Kansas
	Agency/Group/Organization Type	University
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	AHAB participant. Provides information on student population in regard to housing needs.
28	Agency/Group/Organization	Chamber of Commerce
	Agency/Group/Organization Type	Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	AHAB participant.

Identify any Agency Types not consulted and provide rationale for not consulting

All service providers and agencies that provide services directly pertaining to the Consolidated Planning process have been involved in some type of consultation. Some have been consulted during other meetings and other forums. There have been no agencies left off of communication efforts or meeting invitations. The City of Lawrence works very hard to ensure strong and positive community collaboration.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Kansas Statewide Homeless Coalition	Coordination in terms of homeless program delivery and strategic planning. Technical assistance to grantees, support to applicants.
Horizon 2040	Lawrence/Douglas County Metropolitan Planning Organization	Development, HOME included, abides by the City's Land Use Plan, including but not limited to building codes and zoning requirements. In the process of updating.
2019-2023 Kansas Consolidated Plan	Kansas Housing Resources Corp	The City of Lawrence is an ESG recipient from the State of Kansas, and there have been several community projects that have involved LIHTC that obtain entry-level CDAC recommendations. In addition, the CHDO, Tenants to Homeowners has been a past recipient of State HOME funds. The City of Lawrence has also been a past recipient of NSP and HPRP funding, outlined in this plan.
Transportation 2030	Lawrence/Douglas County Metropolitan Planning Organization	Long-Range Transportation Plan. Overlap in the transportation plan occurs with bus routes and walkability routes within the community, especially in low-moderate income areas. CDBG funds are used for sidewalk repair and public facility projects, all affected by the ability to reach the destination agency or area.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

The City of Lawrence regularly consults with the State of Kansas as well as Douglas County government. While the CDBG and HOME funding affect the city itself, importance is placed on effective collaboration with all agencies with the same cause and goals. The goals of the Consolidated Plan and corresponding Annual Action Plans should be, and are, efforts that have the support of the three levels of government in our community. Several CDBG and HOME-funded agencies work with both the City and the County to reach their goals and deliver their services. As Lawrence is the primary city in Douglas County where many of the service agencies are located, County partnerships are crucial to provide the

level of service necessary to accomplish goals and serve the greater community. The City has a history of strong partnerships with both the State and County and look to continue those relationships and collaborations moving forward.

Additional consultation occurred with USD 497 regarding the Assessment of Fair Housing goals, as well as with other housing providers. BBC Consultants out of Denver additionally performed a Housing Market Assessment in Lawrence in the beginning of 2018 and provided information based on their phone surveys, focus groups, and online surveys.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The City of Lawrence abides by the Citizen Participation Plan most recently amended in November of 2017. The process makes it possible for anyone who wants to be involved to be able to provide input and have their ideas and recommendations heard. There are two public hearings yearly. Citizens have the opportunity at both public hearings to speak to the CDAC and the staff and assist in planning and creation of the Consolidated Plan. Public hearings are advertised in the Lawrence Journal-World, and agendas/minutes from every CDD-staffed Citizen advisory board are published for citizen review on the website a minimum of one week prior to the scheduled meeting. A listserv notifies citizens of upcoming meetings and other items. Every effort is made to reach groups such as residents of low-moderate income neighborhoods, minorities, non-English speaking persons, persons with disabilities, public housing residents and other organizations such as the CoC, businesses, development officials, city government, and faith-based organizations. . In addition, the Director of Planning and Development Services has frequently met with citizens who have concerns, challenges, or recommendations for community development. The City Manager has also worked with citizens that may be able to apply for a CDBG-funded program as a referral source, offering input on their behalf regarding the Plan. Citizen participation is the heart of the consolidated planning process, involving citizens in decisions that directly affect their lives. The Citizen Participation Plan formally designates structures, procedures, roles and policies to be followed by program participants. A secondary purpose of this Plan is to implement federal regulations regarding citizen participation for the consolidated planning process described by Title 24 CFR 91.105 of the Housing and Community Development Act of 1974, as amended. Nothing in this Plan shall restrict the responsibility and authority of the City of Lawrence (City) from developing and executing its Consolidated Plan. The Consolidated Plan and Annual Action plan were made available for review on April 25, 2019. The plan was available on the City's website, www.lawrenceks.org/pds, as well as provided in hard copy form at the Development Services offices, located at 1 Riverfront Plaza, Suite 320, Lawrence KS 66044. The first public hearing was held April 25, 2019, and the second public hearing will be held on or around September 12, 2019. The public notice for the hearing was published in the April 18, 2019 Lawrence Journal-World, and the 30-day public comment period began April 26, 2018. The public hearings are both held at the City of Lawrence City Commission Chambers, 6 East 6th Street, Lawrence, KS 66044. Applicant agencies were notified of the process and dates via the listserv. Staff provided technical assistance to applicants throughout the allocation process, including a review of the application packet for eligibility and correct documentation. The City has resources to assist with those who are non-English speaking and a complete Limited English Proficiency Plan is available on the website and at the CDD offices.

As part of the city's recent Housing Market assessment, more than 2,000 residents and students were surveyed about their housing needs and preferences. This survey provided a unique dataset, customized to Lawrence, for the Needs Assessment and Housing Market sections. Results of the survey were also used to evaluate priority needs and goal setting.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Minorities Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	See attached minutes from the meeting.	See attached minutes from the meeting.	there were no comments not accepted.	www.lawrenceks.org/pds

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Minorities Persons with disabilities Non-targeted/broad community	NA	There was no written comment received.	There were no comments not accepted.	www.ljworld.com
3	Internet Outreach	Minorities Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	NA	There were no written comments received.	There were no comments not accepted.	www.lawrenceks.org/pds

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The priorities and accomplishment goals outlined in this document are based on assumptions about future funding levels for the Consolidated Plan programs. In all cases, the City of Lawrence has used the presumption of level-funding of each program at Federal Fiscal Year 2019 levels as outlined below. Because these programs are subject to annual Congressional appropriations as well as potential changes in funding distribution formulas or the number of communities eligible to receive entitlement grants, the accomplishment projections and planned activities are subject

to change with availability of funding.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	721,890	50,000	250,000	1,021,890	2,100,000	The City will continue to derive strategy and priorities for housing and community development through the Step Up to Better Housing strategy, which was developed cooperatively with public and private agencies and community groups. Housing, housing services, and community development are the focus of the strategy, which is divided into five areas: emergency/temporary housing, transitional housing, permanent supportive housing, permanent housing and revitalized neighborhoods.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	401,987	25,000	0	426,987	794,314	The City will continue to derive strategy and priorities for housing and community development through the Step Up to Better Housing strategy, which was developed cooperatively with public and private agencies and community groups. Housing, housing services, and community development are the focus of the strategy, which is divided into five areas: emergency/temporary housing, transitional housing, permanent supportive housing, permanent housing and revitalized neighborhoods.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Other	950,250	0	0	950,250	0	CV1 - Capital project was submitted for the Lawrence Community Shelter which Recommended improvements for a "Healthy Shelter" that reduces risks associated with existing congregate settings, as well as needed improvements to building operating systems that impact health and safety of clients and staff in ongoing response to COVID-19 or future health emergencies.CV3 - project submitted include COVID community navigators assisting with vaccination and pandemic response, homeless response activities in the downtown district, day-care operations for families impacted by the pandemic, and emergency housing stabilization assistance for households.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

For the Consolidated Planning period, it is anticipated that the City will continue to receive the Emergency Solutions Grant (ESG) annually from the State of Kansas. In 2018 the amount was \$163,350. ESG funds are primarily used by four agencies to provide shelter operations and

essential services, as well as homeless prevention, rapid re-housing, and HMIS support. The City of Lawrence Housing Trust will also be a highly utilized form of Affordable Housing investment in the community. The Housing Trust Fund is funded by both Capital Improvement allocations through the City as well as a voter-approved sales tax initiative. Between those two sources, the Trust Fund should allow for around \$1,300,000 of affordable housing funds for use of (but not limited to) the development, rehabilitation, acquisition, and support services. The City's Affordable Housing Advisory Board makes the recommendations to the City Commission for Housing Trust project funding.

The City of Lawrence annually funds a portion of the budget of around five agencies (The Salvation Army, Ballard Community Center, Lawrence Community Shelter, Housing and Credit Counseling, and the Willow Domestic Violence Center) that are also eligible for CDBG funding, usually at 1 to 4% of the agency budget. Additionally, the City of Lawrence funded a portion of the budget for around four non-profit agencies (Boys and Girls Club of Lawrence, Health Care Access, The Shelter, Inc., and VanGo Mobile Arts) that did not receive CDBG funding in the previous Consolidated Plan period of 2013-2017, but who serve low- to moderate-income or homeless individuals and families.

The City of Lawrence also funds a Homeless Outreach Team annually that is administered through the Bert Nash Mental Health Center.

The City continues to identify match contributions for the HOME program. Thus far, match has been provided from projects meeting HUD's criteria for affordable housing activities (some with partial federal funding and some with no federal funding), including houses built or rehabilitated for sale to income-eligible buyers through the Lawrence Community Housing Trust, and houses built by Habitat for Humanity for sale to income-eligible families. The HOME program match has been obtained primarily from the following sources: (1) cash from non-federal sources for eligible affordable housing projects, such as cash donations from project supporters or grants from state or local government funds; (2) forgone fees and charges for building permits, building permit plan reviews and demolition permits required for such eligible projects; (3) the appraised market value of real property donations for such eligible projects; and (4) the value of donated project design services, donated site preparation services, donated project construction materials and/or donated project labor for such eligible projects. Moving forward, the City will continue to identify HUD-eligible affordable housing activities, which may include new or additional affordable housing activity providers, and which may also include additional/new HOME program match contribution sources such as grants provided for projects through the City's Affordable Housing Trust Fund (supported by a new City sales tax initiated in 2018) or forgone fees and charges for items such as City or County taxes, land development fees, or certain City water and sewer utility fees.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

This is not applicable to the City of Lawrence's Annual Action Plan.

Discussion

For the 2019 program year, the City of Lawrence will continue to utilize the Step Up to Better Housing strategy for funding decisions as housing and infrastructure is among the highest of priority needs in the community. Resources will continue to be leveraged to provide the most the city can with limited funding. In the 2020 program year, the HOME funding allocation recommendations will fall to the Affordable Housing Advisory Board, and the CDBG funding allocation recommendation process will be determined at a later date within the 2019 program year.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Comprehensive Housing Rehabilitation	2018	2022	Affordable Housing	City of Lawrence	Improved quality of owner housing. AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure	CDBG: \$143,607	Homeowner Housing Rehabilitated: 2 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	First Time Homebuyer Assistance	2018	2022	Affordable Housing	City of Lawrence	<p>Availability of affordable owner housing.</p> <p>AFH: Location and type of Affordable Housing</p> <p>AFH: Availability of AH units in a range of sizes</p> <p>AFH: Lack affordable, accessible hsg - range of sz</p> <p>AFH: Source of income discrimination</p> <p>AFH: Lack of access due to high housing costs</p> <p>AFH: Displacement of residents - to econ. pressure</p>	HOME: \$72,946	Direct Financial Assistance to Homebuyers: 3 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	First Time Homebuyer Rehabilitation	2018	2022	Affordable Housing	City of Lawrence	Availability of affordable owner housing. AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Source of income discrimination AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure	CDBG: \$0	Homeowner Housing Rehabilitated: 1 Household Housing Unit
4	Energy Improvements - Weatherization	2018	2022	Affordable Housing	City of Lawrence	Improved quality of owner housing. AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure	CDBG: \$35,000	Homeowner Housing Rehabilitated: 20 Household Housing Unit
5	Emergency and Furnace Loans	2018	2022	Affordable Housing	City of Lawrence	Improved quality of owner housing.	CDBG: \$90,000	Homeowner Housing Rehabilitated: 16 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	Accessibility Modifications	2018	2022	Affordable Housing Non-Homeless Special Needs	City of Lawrence	Accessibility of affordable rental housing. Improved quality of owner housing. AFH: Lack of access due to high housing costs	CDBG: \$49,500	Rental units rehabilitated: 3 Household Housing Unit Homeowner Housing Rehabilitated: 3 Household Housing Unit
7	Tenant-Based Rental Assistance	2018	2022	Affordable Housing Public Housing Homeless	City of Lawrence	Availability of affordable owner housing. Housing for the homeless. AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Source of income discrimination AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure	HOME: \$233,446	Tenant-based rental assistance / Rapid Rehousing: 20 Households Assisted
8	Property Acquisition/New Construction	2018	2022	Affordable Housing	City of Lawrence	Availability of affordable owner housing. AFH: Location and type of Affordable Housing	HOME: \$80,397	Homeowner Housing Added: 2 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	Homeless/HIV/AIDS Services	2018	2022	Homeless Non-Homeless Special Needs	City of Lawrence	Housing for the homeless.	CDBG: \$48,730	Homeless Person Overnight Shelter: 125 Persons Assisted
10	Infrastructure	2018	2022	Non-Housing Community Development	City of Lawrence	Quality/quantity/access of public improvements.	CDBG: \$212,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1200 Persons Assisted
11	Public Services	2018	2022	Non-Homeless Special Needs	City of Lawrence	Homelessness prevention services. Public Services for LMI persons.	CDBG: \$40,353	Public service activities other than Low/Moderate Income Housing Benefit: 204 Persons Assisted
12	Neighborhood Assistance	2018	2022	Neighborhoods	City of Lawrence	Neighborhood improvement and stability.	CDBG: \$19,200	Public service activities other than Low/Moderate Income Housing Benefit: 3725 Persons Assisted
13	Non-public service	2018	2022	Non-Housing Community Development	City of Lawrence	Quality/quantity/access of public improvements.	CDBG: \$243,500	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted

14	Administration	2018	2022	Administration	City of Lawrence	Accessibility of affordable rental housing. Availability of affordable owner housing. Availability of affordable rental units. Homelessness prevention services. Housing for the homeless. Improved quality of owner housing. Neighborhood improvement and stability. Public Services for LMI persons. Quality/quantity/access of public improvements. AFH: Lack of Resources - FH agencies and orgs AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Loss of Affordable Housing AFH: Source of income	CDBG: \$140,000 HOME: \$40,198	Other: 1 Other
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Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
						discrimination AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure		
15	AFH: Increase Affordable Housing Options	2018	2022	Affordable Housing	City of Lawrence	Accessibility of affordable rental housing. Availability of affordable owner housing. Availability of affordable rental units. AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Loss of Affordable Housing AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure	CDBG: \$0 HOME: \$0	Homeowner Housing Added: 1 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
16	AFH: Explore Addtl. HTF Revenue Streams	2018	2022	Affordable Housing	City of Lawrence	<p>Accessibility of affordable rental housing.</p> <p>Availability of affordable owner housing.</p> <p>Availability of affordable rental units.</p> <p>Homelessness prevention services.</p> <p>Housing for the homeless.</p> <p>Improved quality of owner housing.</p> <p>AFH: Location and type of Affordable Housing</p> <p>AFH: Availability of AH units in a range of sizes</p> <p>AFH: Lack affordable, accessible hsg - range of sz</p> <p>AFH: Lack of access due to high housing costs</p> <p>AFH: Displacement of residents - to econ. pressure</p>	CDBG: \$0 HOME: \$0	Other: 1 Other
17	AFH: Maintain existing affordable housing	2018	2022	Affordable Housing	City of Lawrence	<p>Availability of affordable owner housing.</p> <p>Improved quality of owner housing.</p>	CDBG: \$0 HOME: \$0	Other: 1 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
18	AFH: Improve public perception of affordable housing	2018	2022	Affordable Housing	City of Lawrence	AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Source of income discrimination	CDBG: \$0 HOME: \$0	Other: 1 Other
19	AFH: Commission a Housing Needs Market Assessment	2018	2022	Affordable Housing Public Housing Homeless	City of Lawrence	AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Loss of Affordable Housing	CDBG: \$0 HOME: \$0	Other: 1 Other
20	AFH: Expand housing choice and access to opportunity.	2018	2022	Affordable Housing Public Housing	City of Lawrence	AFH: Location and type of Affordable Housing AFH: Lack affordable, accessible hsg - range of sz	CDBG: \$0 HOME: \$0	Other: 1 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Comprehensive Housing Rehabilitation
	Goal Description	Rehabilitation Loans may be offered to eligible applicants as funds are available. This program offers deferred or installment loans to income eligible homeowners to have necessary rehabilitation completed. This program may be run as a total rehabilitation project or may be run ala carte to assist eligible homeowners with necessary repairs to bring the home up to code. Any home pre-1978 will be capped at \$25,000 total per address. Currently the only funding for a program such as this comes from CDBG. Additional non-federal funding may become available through the City's Affordable Housing Trust Fund as those decisions are made and funding becomes available through the sales tax initiative. Includes Program Delivery charges.
2	Goal Name	First Time Homebuyer Assistance
	Goal Description	All of the Department's programs work toward the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The First Time Homebuyer Program provides closing costs, down payment assistance, and developer subsidy to income-qualified buyers to fill the gap between what a buyer can finance and what they can afford. The subsidy provided will remain in Trust and will keep the home permanently affordable for future buyers.
3	Goal Name	First Time Homebuyer Rehabilitation
	Goal Description	All of the Department's programs work towards the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The Homebuyer rehabilitation program provides funding for any necessary rehabilitation of homes being purchased by low- or moderate- income buyers through the Land Trust Program.

4	Goal Name	Energy Improvements - Weatherization
	Goal Description	The weatherization program provides small grants to improve the energy efficiency of owner-occupied homes. The program provides storm windows, attic insulation, and weather-stripping of doors.
5	Goal Name	Emergency and Furnace Loans
	Goal Description	The emergency and furnace loan programs provide up to \$5,000 for owner-occupants to make emergency repairs and to replace failing furnaces.
6	Goal Name	Accessibility Modifications
	Goal Description	The Accessible Housing Program enables individuals with disabilities to live in affordable and accessible housing that is integrated throughout the community. This program is a grant program for rental units and owner-occupied units.
7	Goal Name	Tenant-Based Rental Assistance
	Goal Description	The Lawrence-Douglas County Housing Authority (LDCHA) is the only program in Lawrence that provides permanent housing assistance to homeless individuals and families. To qualify for the TBRA program, the client must meet the definition of literally homeless or be at imminent risk of homelessness, be a homeless youth or families with children, or be fleeing domestic violence. HOME TBRA funds are time limited and usually sufficient to support a family for 24 months.
8	Goal Name	Property Acquisition/New Construction
	Goal Description	Financial assistance to agencies like Habitat for Humanity to build affordable housing. Includes CHDO reserve (\$60298) and CHDO operating (\$20099)

9	Goal Name	Homeless/HIV/AIDS Services
	Goal Description	Increase number of homeless persons moving into housing.
10	Goal Name	Infrastructure
	Goal Description	Improve quality/quantity/access of public improvements that benefit LMI persons. These projects could be a form of any of the following (or similar project providing area benefit) sidewalk gap infill, ADA ramp replacement/infill, crosswalks, bicycle pavement markings and signage, traffic calming devices, accessible curb replacement, sharrows, trails, and walking paths.
11	Goal Name	Public Services
	Goal Description	Improved access to services for LMI persons. Agency assistance will include direct financial assistance services to individuals and families, credit counseling, budget coaching, case management, and referral services, as well as other related public services.
12	Goal Name	Neighborhood Assistance
	Goal Description	Support neighborhood improvement and stability, and encourage a sense of community in LMI areas. Support for activities such as, but not limited to, neighborhood operations including vendor payments to coordinate neighborhood activities, print newsletters, and general neighborhood association operations.
13	Goal Name	Non-public service
	Goal Description	Assistance to public service agencies for capital improvement activities. Agencies serve a LMI clientele.

14	Goal Name	Administration
	Goal Description	Administration of the CDBG and HOME programs.
15	Goal Name	AFH: Increase Affordable Housing Options
	Goal Description	A common thread across the Assessment of Fair Housing is the lack of affordable housing in the jurisdiction. The City currently has several sources of funding for the development of affordable housing. The Affordable Housing Advisory Board will recommend allocations from the Affordable Housing Trust Fund for the development of affordable units. HOME Investment Partnership program funds will be used yearly for the development of affordable, accessible units. Recommendations for new units will include a range of unit sizes and locations to increase dispersal of affordable housing throughout the community.
16	Goal Name	AFH: Explore Addtl. HTF Revenue Streams
	Goal Description	In 2018, the City amended the Economic Development policy to include specific language regarding the development of affordable housing units when incentives are requested by developers. Currently, the Affordable Housing Trust Fund is funded in the five year Capital Improvement Plan (CIP) and by a taxpayer-backed sales tax revenue stream. The Affordable Housing Advisory Board will continue to review and recommend at least one new revenue stream for funding the Affordable Housing Trust Fund.
17	Goal Name	AFH: Maintain existing affordable housing
	Goal Description	The City will continue to administer funding to rehabilitate, improve energy efficiency, modify accessibility, and/or repair both low-income homeowner and rental housing. These improvements allow low-income households to remain in their housing and also improve the existing stock of affordable housing. These programs are marketed city wide to all eligible households.

18	Goal Name	AFH: Improve public perception of affordable housing
	Goal Description	To effectively increase the availability, location, and type of affordable housing in the jurisdiction and region, community education will be critical. Improving the public perception of affordable housing and increasing the number of landlords participating in housing voucher programs should lead to increased access to affordable housing throughout the area.
19	Goal Name	AFH: Commission a Housing Needs Market Assessment
	Goal Description	In order to accurately assess the housing needs in the community, a comprehensive housing assessment was conducted. By knowing the extent of the housing issues, funds can be appropriately allocated to address the identified areas of need. A request for proposals was completed in March of 2017 and qualified proposals were reviewed to identify a consultant to conduct the assessment by 2018. Assessment completion date was June of 2018.
20	Goal Name	AFH: Expand housing choice and access to opportunity.
	Goal Description	Fair housing is distinct from affordable housing. However, there is a great deal of overlap between the two issues. Fair housing experts and advocates, including those consulted in Douglas County, know that the most prevalent barrier to fair housing is unaffordability. To address the contributing factors related to the type and location of affordable housing, the city, county and LDCHA will partner with the private market and other public organizations to increase the supply and variety of affordable housing in high opportunity neighborhoods. Development incentive programs that are already in place or that can be easily implemented at little to no cost, such as fee waivers, expedited review, zoning variances, etc., will be a primary tool for achieving this goal.

Projects

AP-35 Projects – 91.220(d)

Introduction

The City of Lawrence Community Development Advisory Committee (CDAC) is the body that receives and reviews applications for the CDBG and HOME funding. The applications are due to the committee in December, and the allocation process occurs between January and April. A public hearing is held in April and the City Commission receives the allocation recommendations as well as the annual Action Plan/five-year Consolidated Plan in May for approval. The CDAC has worked with the Step Up to Better Housing strategy since 1996 and all funding decisions are based on a component of housing or housing-related activities, with an underlying focus of revitalized neighborhoods.

Projects

#	Project Name
1	Comprehensive Housing Rehabilitation
2	First Time Homebuyer Assistance
3	Energy Improvements - Weatherization
4	Emergency and Furnace Loans - Minor Home Repair
5	Accessibility Modifications
6	Tenant-Based Rental Assistance
7	Property Acquisition/New Construction
8	Homeless Shelter Services
9	Infrastructure
10	Public Services
11	Neighborhood Assistance
12	Non-Public Service
13	Administration
14	CV-COVID-19 City of Lawrence

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The City will continue to derive strategy and priorities for housing and community development through the Step Up to Better Housing strategy, which was developed cooperatively with public and private agencies and community groups. Housing, housing services, and community development are the focus

of the strategy, which is divided into four areas: emergency housing, transitional housing, permanent housing and revitalized neighborhoods. The primary obstacle to meeting all of the identified needs, including those identified as high priorities is the general lack of funding resources available to the public and private agencies who serve low and moderate income residents. Lawrence, due to being an entitlement community, is not eligible for state CDBG or HOME programs. Limited State HOME dollars are available for community development activities through the City's CHDO, and the city's general fund has been stretched to overcome stagnant property and sales tax revenues. The City will be entering into year three of the current Capital Improvement Plan which has allocated around \$300,000 per year to affordable housing activities through the Affordable Housing Trust Fund, and the City recently had a sales tax passed by voters to repurpose .05% sales tax to affordable housing activities. This will begin in April of 2019. This leaves little room for expansion of community development funding at the local level. Furthermore, the City of Lawrence's entitlement grants have been reduced over the last several years further limiting the funds available to address the needs in the community.

AP-38 Project Summary
Project Summary Information

1	Project Name	Comprehensive Housing Rehabilitation
	Target Area	City of Lawrence
	Goals Supported	Comprehensive Housing Rehabilitation First Time Homebuyer Rehabilitation
	Needs Addressed	Improved quality of owner housing. AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure
	Funding	CDBG: \$143,607
	Description	Rehabilitation Loans may be offered to eligible applicants as funds are available. This program offers deferred or installment loans to income eligible homeowners to have necessary rehabilitation completed. This program may be run as a total rehabilitation project or may be run ala carte to assist eligible homeowners with necessary repairs to bring the home up to code. Any home pre-1978 will be capped at \$25,000 total per address. Currently the only funding for a program such as this comes from CDBG. Additional non-federal funding may become available through the City's Affordable Housing Trust Fund as those decisions are made and funding becomes available through the sales tax initiative. Includes Program Delivery charges.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Comprehensive housing rehabilitation of homeowner units for income eligible households. Zero-interest loans may be in the form of a forgiveable loan and may or may not require payments.
2	Project Name	First Time Homebuyer Assistance
	Target Area	City of Lawrence
	Goals Supported	First Time Homebuyer Assistance

	Needs Addressed	Availability of affordable owner housing. AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Source of income discrimination AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure
	Funding	HOME: \$72,946
	Description	All of the Department's programs work toward the goal of strengthening neighborhoods by improving the quality of housing stock and by making new or continued homeownership a possibility for low- and moderate- income residents of the City of Lawrence. The First Time Homebuyer Program provides closing costs, down payment assistance, and developer subsidy to income-qualified buyers to fill the gap between what a buyer can finance and what they can afford. The subsidy provided will remain in Trust and will keep the home permanently affordable for future buyers.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	The First Time Homebuyer Program provides closing costs, down payment assistance, and developer subsidy to income-qualified buyers to fill the gap between what a buyer can finance and what they can afford. The subsidy provided will remain in Trust and will keep the home permanently affordable for future buyers.
3	Project Name	Energy Improvements - Weatherization
	Target Area	City of Lawrence
	Goals Supported	Energy Improvements - Weatherization
	Needs Addressed	Improved quality of owner housing. AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure

	Funding	CDBG: \$35,000
	Description	The weatherization program provides small grants to improve the energy efficiency of owner-occupied homes. The program provides storm windows, attic insulation, and weather-stripping of doors.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	The weatherization program provides small grants to improve the energy efficiency of owner-occupied homes. The program provides storm windows, attic insulation, and weather-stripping of doors.
4	Project Name	Emergency and Furnace Loans - Minor Home Repair
	Target Area	City of Lawrence
	Goals Supported	Emergency and Furnace Loans
	Needs Addressed	Improved quality of owner housing. AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure
	Funding	CDBG: \$90,000
	Description	The emergency and furnace loan programs provide up to \$5,000 for owner-occupants to make emergency repairs and to replace failing furnaces.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	

	Planned Activities	The emergency and furnace loan programs provide up to \$5,000 for owner-occupants to make emergency repairs to their home and to replace failing furnaces.
5	Project Name	Accessibility Modifications
	Target Area	City of Lawrence
	Goals Supported	Accessibility Modifications
	Needs Addressed	Improved quality of owner housing. Accessibility of affordable rental housing. AFH: Lack of access due to high housing costs
	Funding	CDBG: \$49,500
	Description	The Accessible Housing Program enables individuals with disabilities to live in affordable and accessible housing that is integrated throughout the community. This program is a grant program for rental units and owner-occupied units.
	Target Date	7/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	It is estimated that six households (three rental, three owner-occupied) will be assisted annually with this funding.
	Location Description	Location will be within the City of Lawrence. Addresses to be determined.
	Planned Activities	The Accessible Housing Program enables individuals with disabilities to live in affordable and accessible housing that is integrated throughout the community. This program is a grant program for rental units and owner-occupied units. Includes \$6750 for program delivery of rehab program.
6	Project Name	Tenant-Based Rental Assistance
	Target Area	City of Lawrence
	Goals Supported	Tenant-Based Rental Assistance
	Needs Addressed	Availability of affordable rental units. Housing for the homeless.
	Funding	HOME: \$233,446

	Description	The Lawrence-Douglas County Housing Authority (LDCHA) is the only program in Lawrence that provides permanent housing assistance to homeless individuals and families. To qualify for the TBRA program, the client must meet the definition of literally homeless or be at imminent risk of homelessness, be a homeless youth or families with children, or be fleeing domestic violence. HOME TBRA funds are time limited and usually sufficient to support a family for 24 months, which is the maximum amount of TBRA allowed.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	The Lawrence-Douglas County Housing Authority (LDCHA) is the only program in Lawrence that provides permanent housing assistance to homeless individuals and families. To qualify for the TBRA program, the client must meet the definition of literally homeless or be at imminent risk of homelessness, be a homeless youth or families with children, or be fleeing domestic violence. HOME TBRA funds are time limited and usually sufficient to support a family for 24 months.
7	Project Name	Property Acquisition/New Construction
	Target Area	City of Lawrence
	Goals Supported	Property Acquisition/New Construction
	Needs Addressed	Availability of affordable owner housing.
	Funding	HOME: \$80,397
	Description	Financial assistance to agencies to build affordable housing. Includes CHDO set-aside and CHDO Operating.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	

	Location Description	
	Planned Activities	CHDO Set Aside - Tenants to Homeowners (\$60,298) TBD Tenants to Homeowners (\$20,099 CHDO operating) - 2518 Ridge Court, Suite 103, Lawrence KS 66046
8	Project Name	Homeless Shelter Services
	Target Area	City of Lawrence
	Goals Supported	Homeless/HIV/AIDS Services
	Needs Addressed	Housing for the homeless.
	Funding	CDBG: \$48,730
	Description	Overnight shelter assistance with intention of increasing the number of homeless persons and families in shelter accessing permanent housing.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	LCS provides services to persons who are homeless or at risk of homelessness. In the short term, LCS provides shelter, warmth, food and water. In the long term, they seek to help their guests learn and re-learn mindsets, worldviews and life skills that give them the best chance possible to live independently in a dignified, sustainable way. They accomplish this objective through their comprehensive case management process and in partnership with many community agencies in and around Lawrence.
9	Project Name	Infrastructure
	Target Area	City of Lawrence
	Goals Supported	Infrastructure
	Needs Addressed	Quality/quantity/access of public improvements.
	Funding	CDBG: \$212,000

	Description	Improve quality/quantity/access of public improvements that benefit LMI persons. These projects could be a form of any of the following (or similar project providing area benefit): sidewalk gap infill, ADA ramp replacement/infill, crosswalks, bicycle pavement markings and signage, traffic calming devices, accessible curb replacement, sharrows, trails, and walking paths.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	<p>Brook Creek Neighborhood pavement markings - Enhance awareness of 20mph speed limits with pavement markings. "Since 1999, the speed limit in the designated areas has been posted 20mph with signs. The signs are few, often unnoticed or disregarded, and a minimum of 15% of motorists speed. It is dangerous for children, bicyclists, and pedestrians because the area has open ditches that preclude having any sidewalks. By adding frequent and large pavement markings that say "20 mph" drivers won't be able to claim they "didn't know", and will tend to drive legally and safely.</p> <p>City of Lawrence Municipal Services and Operations Department - Construct infrastructure for pedestrians and bicycles in low-moderate income areas in Lawrence. A priority will be placed on Safe Routes to School routes; arterial and collector streets; as well as bike improvements on the Bikeway Priority Network. Project contains construction of sidewalks along Safe Routes to School routes and along arterial and collector streets, as well as bike improvements on the bikeway priority network within the low-mod areas of Lawrence.</p>
10	Project Name	Public Services
	Target Area	City of Lawrence
	Goals Supported	Public Services
	Needs Addressed	Public Services for LMI persons.
	Funding	CDBG: \$40,353

	Description	Improved access to services for LMI persons. Agency assistance will include direct financial assistance services to individuals and families, credit counseling, budget coaching, case management, and referral services, as well as other related public services.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	

	Planned Activities	<p>Catholic Charities (\$11,667) - The Emergency Assistance Center meets the basic needs of Lawrence individuals and families who are below 150% of the poverty level to help them maintain housing and utilities and put food on the table in a manner that ensures they leave with a sense of dignity and are filled with hope. Funding will be used specifically and directly to address the homelessness and crisis issues within the community. Funding will be used to augment those in need but not qualified for other federal programming.</p> <p>Housing and Credit Counseling (\$10,540) - This project provides HUD approved housing and consumer credit counseling for lower-income families who are financially overextended due to job loss, underemployment, divorce, and medical issues. Counseling will address budgeting, debt payment, credit building, mortgage, and rent delinquency. This promotes financial stability.</p> <p>Success by 6 Coalition (\$7,573) - Strengthen families with young children by distributing rent and utility assistance through implementing partner agencies that increase families' access to five protective factors that have been shown to promote optimal development and reduce risk of abuse and neglect.</p> <p>The Salvation Army (\$10,573) - Emergency Services Council (ESC) is a collaborative effort between The Salvation Army, Ballard Community Services/Penn House, ECKAN, the Willow Domestic Violence Center, and Senior Resource Center to prevent loss of utility services and/or homelessness through a coordinated effort. The Salvation Army provides administrative support to the ESC and will work closely with ESC partners to benefit the community.</p>
11	Project Name	Neighborhood Assistance
	Target Area	City of Lawrence
	Goals Supported	Neighborhood Assistance
	Needs Addressed	Neighborhood improvement and stability.
	Funding	CDBG: \$19,200

	Description	Support neighborhood improvement and stability, and encourage a sense of community in LMI areas. Support for activities such as, but not limited to, neighborhood operations including vendor payments to coordinate neighborhood activities, print newsletters, and general neighborhood association operations.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Support neighborhood improvement and stability, and encourage a sense of community in LMI areas. Support for activities such as, but not limited to, neighborhood operations including vendor payments to coordinate neighborhood activities, print newsletters, and general neighborhood association operations.
12	Project Name	Non-Public Service
	Target Area	City of Lawrence
	Goals Supported	Non-public service
	Needs Addressed	Public Services for LMI persons.
	Funding	CDBG: \$243,500
	Description	Assistance to public service agencies for capital improvement type activities. Agencies all serve eligible LMI clientele.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	

	Planned Activities	<p>Community Living Opportunities - Improvements and repair of CLO's Day Services Building, a public facility that promotes meaningful programming for severely disabled persons. Important and critical repairs to the site will promote accessibility, mitigate potentially dangerous or hazardous spaces, provide structural updates, and add property value.</p> <p>Lawrence Douglas County Housing Authority - Installation of a gigabit fiber internet infrastructure at Edgewood Homes for low-income public housing families.</p> <p>Lawrence Community Shelter - to establish a sustainable and renewable footprint through the installation and integration of a Solar Photovoltaic rooftop system. Estimated yearly savings of \$12,000.</p>
13	Project Name	Administration
	Target Area	City of Lawrence
	Goals Supported	<p>Comprehensive Housing Rehabilitation First Time Homebuyer Assistance First Time Homebuyer Rehabilitation Energy Improvements - Weatherization Emergency and Furnace Loans Accessibility Modifications Tenant-Based Rental Assistance Property Acquisition/New Construction Homeless/HIV/AIDS Services Infrastructure Public Services Neighborhood Assistance Non-public service Administration AFH: Increase Affordable Housing Options AFH: Explore Addtl. HTF Revenue Streams AFH: Maintain existing affordable housing AFH: Improve public perception of affdbble. housing AFH: Commission a Housing Needs Market Assessment AFH: Expand housing choice and access to opptnty.</p>

	Needs Addressed	Improved quality of owner housing. Availability of affordable rental units. Housing for the homeless. Accessibility of affordable rental housing. Homelessness prevention services. Availability of affordable owner housing. Quality/quantity/access of public improvements. Supportive services for those with HIV/AIDS. Public Services for LMI persons. Neighborhood improvement and stability. AFH: Lack of Resources - FH agencies and orgs AFH: Location and type of Affordable Housing AFH: Availability of AH units in a range of sizes AFH: Lack affordable, accessible hsg - range of sz AFH: Loss of Affordable Housing AFH: Source of income discrimination AFH: Lack of access due to high housing costs AFH: Displacement of residents - to econ. pressure
	Funding	CDBG: \$140,000 HOME: \$40,198
	Description	Administration of the CDBG and HOME programs.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Administration only.
14	Project Name	CV-COVID-19 City of Lawrence
	Target Area	City of Lawrence
	Goals Supported	Homeless/HIV/AIDS Services Public Services Non-public service Administration
	Needs Addressed	Housing for the homeless. Homelessness prevention services.

	Funding	CDBG-CV: \$950,250
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<p>Description</p>	<p>1. capital project for the Lawrence Community Shelter: improvements for a Healthy Shelter that reduces risks associated with existing congregate settings, as well as needed improvements to building operating systems that impact health and safety of clients and staff in ongoing response to COVID-19 or future health emergencies.</p> <p>amendment to the 2019 AAP2. Homeless Outreach operations (downtown Lawrence focus): The number of citizens in the community experiencing homelessness locally was exacerbated by the COVID-19 pandemic. The impacts are being felt especially in the downtown Lawrence area and has been a point of pandemic response for the downtown residential and business community. This project will enhance the City Homeless Outreach Team to provide up to two new outreach workers to interact with and work with those experiencing homelessness specifically in the downtown Lawrence area. The Outreach Team will work with Housing and Service Navigators to link those on the streets to service providers administering other funding such as Rapid Re-housing and emergency assistance programs, among others. Up to 20% of the funds may be used for eligible administration costs.</p> <p>3. Community Navigator Positions (up to two positions focused on elements of COVID-19 Response): The Community Navigator position(s) will provide a source for assisting the low-mod income community, seniors, and those with disabilities, among others, with COVID-19 pandemic response logistical items such as (but not limited to) vaccinations, transportation, testing services, and translation services. Up to 20% of the funds may be used for eligible administration costs. Funds may also be used to provide training in the area of Diversity, Equity, and Inclusion.</p> <p>4. Housing Stabilization Collaborative (HSC) Emergency rent stabilization: The HSC works with income eligible renters to provide emergency funds to stabilize their rental situation and provide assistance to enable them to avoid eviction. The local service providers acknowledge that the COVID-19 pandemic has put many renters in precarious housing situations and many are on the verge of losing their housing with no alternative living situations. These funds function as homeless prevention funds. Up to 20% of the funds may be used for eligible administration costs.</p> <p>5. Childcare Services Project: This project works with access to affordable, quality child care which is essential for working parents to enter, re-enter or stay in the workforce. The COVID-19 pandemic exacerbated what was already a significant challenge for families with young children. Early childhood community stakeholders seek CDBG funding to support early childhood system improvements including the creation of additional child care</p>
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	spots for low to moderate income families whose child care was disrupted by COVID.
Target Date	7/31/2021
Estimate the number and type of families that will benefit from the proposed activities	<ol style="list-style-type: none"> 1. The Lawrence Community Shelter has a capacity of 125 people. 2. The by-name list for Lawrence shows 165 households experiencing unsheltered homelessness as of July 27, 2021. This group will have the potential for outreach interactions in the downtown business district with the dedicated homeless outreach team and CDBG-CV3-funded outreach operations. It is estimated that outreach will impact 50% of this number directly. 3. Community Navigation beneficiary numbers can vary greatly by the amount and type of assistance that citizens require. It is estimated that 75 households will be impacted by this activity. 4. Housing Stabilization Collaborative (HSC) - it is estimated that up to 50 family members of households will retain their housing based on HSC assistance. 5. Day Care - It is estimated that 30 families will benefit from child care service operations for this activity.
Location Description	<ol style="list-style-type: none"> 1. Lawrence Community Shelter - 3655 E 25th Street, Lawrence KS 66046 2. Bert Nash Community Mental Health Center - 200 Maine St, Lawrence, KS 66044 3. Lawrence-Douglas County Public Health - 200 Maine Street, Lawrence, KS 66044 4. Housing Stabilization Collaborative - 2518 Ridge Court, Lawrence, KS 66046 5. Day-Care Project - 1900 Delaware St, Lawrence, KS 66046 (Positive Bright Start, subject to change)

<p>Planned Activities</p>	<ol style="list-style-type: none"> 1. "Healthy Shelter" improvements for disease response includes (but not limited to) HVAC and drop ceiling upgrades, disease isolation room, modifications to shelter space allowing for said isolation room. 2. Homeless Outreach case management and operations - The number of citizens in the community experiencing homelessness locally was exacerbated by the COVID-19 pandemic. The impacts are being felt especially in the downtown Lawrence area and has been a point of pandemic response for the downtown residential and business community. This project will enhance the City Homeless Outreach Team to provide up to two new outreach workers to interact with and work with those experiencing homelessness specifically in the downtown Lawrence area. The Outreach Team will work with Housing and Service Navigators to link those on the streets to service providers administering other funding such as Rapid Re-housing and emergency assistance programs, among others 3. Community Navigation - A staff member would be designated part time to manage and coordinate the project to improve access to the vaccine/testing. The scope of work would include: <ul style="list-style-type: none"> - Continued relationship/trust building within communities through outreach to the pillars/hubs, increased transparency and opportunities for Q&A - Testing/Vaccination offered in home for homebound individuals - Use of the mobile van to set up "One Stop Resource Pop Up Shops" within neighborhoods with the coordination of other services, food/necessity distribution, check ups, meals and opportunities to connect with Resource Navigators 4. Housing Stabilization Collaborative - The HSC works with income eligible renters to provide emergency funds to stabilize their rental situation and provide emergency assistance to enable them to avoid eviction. The local service providers acknowledge that the COVID-19 pandemic has put many renters in precarious housing situations and many are on the verge of losing their housing with no alternative living situations. These funds function as homeless prevention funds. 5. Day-Care operations - Public Service agency operations. This project works with access to affordable, quality child care which is essential for working parents to enter, re-enter or stay in the workforce. The COVID-19 pandemic exacerbated what was already a significant challenge for families with young children. Early childhood community stakeholders
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		seek CDBG funding to support early childhood system improvements including the creation of additional child care spots for low to moderate income families whose child care was disrupted by COVID.
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AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Activities will be carried out in the City of Lawrence and will either provide direct benefit to low-moderate income clientele, low-moderate income neighborhoods, or agencies that provide services to low-mod clientele.

Geographic Distribution

Target Area	Percentage of Funds
City of Lawrence	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Both CDBG and HOME funding will be allocated within the City of Lawrence.

Discussion

All activities will be carried out in the City of Lawrence and will either provide direct benefit to low-moderate income clientele, low-moderate income neighborhoods, or agencies that provide services to low-mod clientele.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

According to the Step Up to Better Housing strategy, permanent, affordable housing includes a variety of ownership and rental choices (including permanent supportive housing arrangements). Permanent Supportive Housing: Permanent housing with ongoing support services. Permanent Housing: Assisted or non-assisted public or private housing with no time limit. NEEDS: Low-income homebuyer and rental assistance; Programs to help sustain homeownership; Public and private policies which promote permanent housing for people with low-incomes and for people with disabilities; Permanent supportive housing; A stock of decent affordable homes for purchase and rent; Respite care for people in need.

One Year Goals for the Number of Households to be Supported	
Homeless	125
Non-Homeless	0
Special-Needs	0
Total	125

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	20
The Production of New Units	2
Rehab of Existing Units	45
Acquisition of Existing Units	0
Total	67

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

According to the Step Up to Better Housing strategy, the following strategies apply to permanent housing: STRATEGIES: Continue to invest funds for homebuyer assistance; Consider supportive service needs for low-income elderly, persons with disabilities, and other at-risk populations when investing available funds; Continue to invest funds in rehabilitation, weatherization, and emergency funds; Secure more tenant-based rental assistance; Encourage landlords to accept tenants who receive rental assistance; Encourage landlords to accept tenants with poor or criminal histories; Facilitate proper code enforcement; Support agencies that provide housing stabilization services.

Through the First Time Homebuyer program, it is expected that a total of 2-3 homeowners will buy their

first home during the 2019 Program Year period. Much like the First Time Homebuyer program, the New Construction and Acquisition program will also assist homebuyers. It is estimated that over the 2019 Action Plan Year, two a year will be constructed between the CHDO and Habitat for Humanity. The TBRA program breaks down to an average of 20 households per program year.

The Rehab of Existing Units number is pulled from the following programs: Comprehensive Housing Rehabilitation (2), First Time Homebuyer Housing Rehabilitation (1), Weatherization (20), Emergency and Furnace Loans (16), and Accessibility Modification program (6).

The City does not anticipate acquisition in 2019 program year.

AP-60 Public Housing – 91.220(h)

Introduction

The City of Lawrence and the Lawrence-Douglas County Housing Authority have a very viable working relationship, and the partnership between the agencies spans beyond Consolidated Plan items. The City is actively searching for alternative funding opportunities for the TBRA program. In addition to TBRA the Housing Authority has a very successful Move To Work program in place. The Lawrence-Douglas County Housing Authority sees the community as a big picture and not just in relation to the services that they provide. The City and the Housing Authority partnered on the Assessment of Fair Housing, providing a joint submission. The successful partnerships between the Housing Authority and the community will only continue to become stronger.

Actions planned during the next year to address the needs to public housing

HOME funds will continue to be granted to LDCHA for TBRA.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The LDCHA offers a Home Ownership Program through the Resident Services Office. There are currently 15 families in Section 8 voucher programs and public housing that are actively working toward their goal of home ownership. The Home Ownership Program features financial literacy case management, a monthly workshop featuring our partner organizations, and a savings matching grant program of up to \$3,000 at closing. Our partner organizations are Lawrence Habitat for Humanity, Lawrence Community Land Trust / Tenants to Homeowners, and Housing and Credit Counseling, Inc. Since implementing the Home Ownership Program in 2001, 94 LDCHA residents have purchased homes and voluntarily ended their participation in low income housing assistance programs.

In 2018, eight families served through the Resident Services Office Home Ownership Program purchased homes. Two families were in public housing and six families were Section 8 voucher holders. All of these households participated in the MTW program and benefited from the savings matching grant

program. One household received \$2,855 and seven received the full \$3,000 match.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

LDCHA is a high-performing agency.

Discussion

The reduction in HOME funds over the last several years has had a large impact on the amount of families the TBRA assistance has been able to help. LDCHA continues to seek additional funding to assist in this program and continue the progression of the community Housing Vision.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The Lawrence Community Shelter is the sole homeless shelter in operation in Lawrence. The Lawrence Community Shelter provides shelter for those single male/female individuals and families in need of shelter. The Lawrence Police Department assists with late night emergency admissions to the shelter. On weekday mornings and during the day, the Lawrence Community Shelter provides drop in shelter and services, with an emphasis on employment, for people experiencing homelessness or who are at-risk of homelessness. The Lawrence Community Shelter receives CDBG support for emergency housing activities as the sole emergency shelter in Lawrence. Family Promise of Lawrence also provides temporary shelter for families in Lawrence. The Lawrence Community Shelter provides guests enhanced services and job programs. Service agencies assist homeless individuals with finding housing and supportive services. Transitional housing is also provided through vouchers funded by HOME funds to the general homeless population. LDCHA will receive HOME funds for transitional housing vouchers (Tenant Based Rental Assistance). The Salvation Army has developed a transitional housing program, and continues to receive funding through the Balance of State Continuum of Care. The 2018 Point in Time homeless count provided a number of 294 individuals who were literally homeless, precariously housed, or imminently homeless. This number did count those housed in transitional housing. The LDCHA TBRA program is designed to bring chronically homeless people into temporary housing. Looking at the homeless count number considering that the one emergency shelter in Lawrence is filled to capacity every night, the daily usage of the drop in center is high, and the multitude of individuals served by meal programs or other services on a daily basis, indicates there is a definite need for transitional housing subsidy in Lawrence. Private nonprofit agencies administer 78 (23 for chronically homeless) units of permanent supportive housing in Lawrence. The former Homeless Issues Advisory Committee estimated the need for another 15 supportive housing units for chronically homeless individuals. The need was based on information extrapolated from the Community Housing Vision. The Lawrence-Douglas County Housing Authority (LDCHA) is currently in the 12th year of a Continuum of Care Supportive Housing Grant for its permanent supportive housing program, Hope Building. Hope Building provides housing and support services for up to eight chronically homeless persons with disabilities. The LDCHA operates the program with the Bert Nash Community Mental Health Center providing mental health services and DCCCA providing substance abuse services. As of March 2019, the Hope Building was at full capacity.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their

individual needs

During the 2019 program year, funding will remain intact for the Homeless Outreach Team, which is funded from the City General Fund. This outreach team of three is charged with reaching out to unsheltered homeless, and they work to facilitate a client's move into the emergency shelter, Family Promise shelter, or other temporary housing solutions. They work with the Housing Vision to move people along the continuum of housing. Part of the outreach effort is to build a sense of trust with the case worker and the homeless individual or family. Case managers assist this population with service referrals, obtaining identification, transportation to both medical appointments as well as job/workforce opportunities, and obtaining benefits. The social service network in Lawrence is a very diverse partnership between agencies and several meetings are held quarterly that bring together case managers to discuss service delivery and opportunities. Lawrence, being part of the Balance of State Continuum of Care, is part of discussions to centralize intake on a statewide level, and currently local service providers, outreach workers, and case managers have free access to the Homeless Information Management System (HMIS). Case management for those experiencing homelessness has proven to be the most effective way to transition the person or family into housing, and have them remain in housing.

Addressing the emergency shelter and transitional housing needs of homeless persons

In January of 2013, the Lawrence Community Shelter, which is the only emergency shelter in Lawrence, moved into a new facility that increased their bed capacity from 75 to 125. They have a separate family area and workforce programs on site, along with a new case management plan to move their guests into housing. If a guest stays at the shelter they must be involved in programming to facilitate their move to housing. The Homeless Outreach Team, as well as numerous service providers, have case management agreements with the Lawrence-Douglas County Housing Authority for their TBRA program. Included in the regulations of the TBRA program is a requirement of case management and wrap around service delivery for their clients. The idea behind the case management and TBRA is to move them from a temporary home (TBRA) to either permanent housing or Section 8 housing. Without reaching out to the people affected and offering a wrap-around case management approach maintaining housing is extremely difficult. Lawrence, much like many other geographical areas, experiences a lack of Permanent Supportive Housing (PSH) Units for those with mental illness or disabilities. Currently there are 23 units of PSH in the community for those who are chronically homeless, which are a mixture of individual and family units. This continues to be a focus of the CoC.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to

permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

As mentioned previously, case management is the most effective method of assisting all homeless (chronic, families with children, veterans and their families) both obtain housing and remain housed. Lawrence, nor the Balance of State Continuum of Care, has seen any documented instances of unaccompanied youth, however there are shelter spots available should that change. Once a person enters the emergency shelter, they are provided case management and an expectation of moving them across the Housing Vision and into a minimum of transitional housing. Once in Transitional Housing, extensive case management occurs with the assigned case manager, as well as through the LDCHA Resident Services Department, which helps with items such as computer education, workforce training, resume building, and other lifestyle-type activities. Families with children have seen a dramatic increase in recent years, and agencies have worked to modify programming and shelter space to accommodate the rising number of this population. Lawrence Community Shelter provides a separate space for families with children in their shelter facility, and Lawrence Family Promise is a faith-based initiative that houses families in a network of member congregations. Family Promise also offers their own case management and mentoring program, and has recently begun offering an intermediary housing option for those who successfully complete their shelter program, but might not be financially able to support their own housing immediately.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Overall, there are several programs in the Lawrence community that work with families to try to avoid homelessness. There are utility programs, rental assistance, utility assistance, and landlord-tenant relations programs. There is a firm commitment to keeping people housed in the community, thus never having to enact the Housing Vision for everyone. Many agencies have Memorandums of Understanding which run through a series of providers to everyone has the same goal, which is to either house the homeless or help them to remain housed. Foster Care: Youth who leave the foster care system because they have attained 18 years of age are eligible to participate in Independent Living Services, contracted by Kansas Department of Children and Families (DCF). Caseworkers begin working with youth who will age out of foster care on a discharge plan as early as age 15 to ensure that youth will not need to seek McKinney-Vento housing options. Planning includes housing, employment and education. Mental

Health: DCF has adopted a policy that would prevent discharging homeless individuals from publicly funded institutions or systems of care into homelessness or into HUD funded programs for the homeless. Additionally, Bert Nash works closely with State hospitals for discharge of patients who have been released. Bert Nash sets up intake appointments with all those released in Douglas County and works to follow up with them to work through the issue of being released into homelessness.

Corrections: The Douglas County Jail has developed an extensive re-entry program that includes a housing component. A full-time Re-entry Coordinator works within the community in collaboration with other service providers and housing providers, as well as sitting on the Community Commission on Homelessness. The County recognizes that releasing offenders into homelessness increases the likelihood for re-offending.

Discussion

Lawrence prides itself on a decades-long track record of successful partnerships among public and private sector entities in regard to homeless and other special needs activities. The Consolidated Plan delivery system is an example of this. Communication and cooperation between the City of Lawrence's Community Development Division and the partner agencies and organizations that administer activities is strong. CDD staff has worked closely with the organizations involved in Consolidated Plan programs to improve regulatory compliance, monitoring, cooperation and partnerships among agencies, and technical capacity of organizations involved in project delivery.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The City of Lawrence has an approved Assessment of Fair Housing (AFH). The AFH does indicate that Lawrence has done well in avoiding systematic impediments to fair housing choice, although affordability remains an important challenge. City ordinances, regulations, administrative policies, procedures and practices do not impede housing choice. The rental and homebuyer market, however, continue to remain at higher levels than most of the state of Kansas for those families of three or more.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

A review of the City of Lawrence housing policy indicates there are no institutional barriers to obtain affordable housing. The city has adopted the 2015 International Codes (Building, Residential, Fire, Energy, Mechanical, Plumbing and Fuel Gas) and the 2014 National Electrical Code. The 2018 International Property Maintenance Code that has been adopted as the minimum housing code is similar to the requirements of HUD's Housing Quality Standards. The minimum housing code is enforced through the rental registration program that requires all rental properties to be inspected at least once every three years. All other minimum housing code is enforced on a complaint basis. The city does not impose rent controls or impact fees. Regulations that are designed to protect the health, safety, and welfare of citizens may affect the cost of housing. However, these regulations are not designed to discourage the availability of affordable housing. Therefore, the City of Lawrence does not propose actions or reform steps to remove or restructure such policies in the coming five-year period.

Discussion:

The primary obstacle to meeting all of the identified needs, including those identified as affordable housing activities, is the general lack of funding resources available to the public and private agencies who serve low and moderate income residents.

AP-85 Other Actions – 91.220(k)

Introduction:

This Annual Action Plan provides a basis and strategy for the use of federal funds granted to the City of Lawrence by the U.S. Department of Housing and Urban Development (HUD) under the Community Development Block Grant (CDBG) and Home Investment Partnerships (HOME) programs. This Action Plan covers the period beginning August 1, 2019 through July 31, 2020. Programs and activities described in this plan are intended to primarily benefit low and moderate-income residents of the City of Lawrence, neighborhoods with high concentrations of low-income and moderate-income residents, and the city as a whole.

Actions planned to address obstacles to meeting underserved needs

The City will continue to derive strategy and priorities for housing and community development through the Step Up to Better Housing strategy, which was developed cooperatively with public and private agencies and community groups. Housing, housing services, and community development are the focus of the strategy, which is divided into five areas: emergency/temporary housing, transitional housing, permanent supportive housing, permanent housing, and revitalized neighborhoods. The primary obstacle to meeting all of the identified needs, including those identified as high priorities is the general lack of funding resources available to the public and private agencies who serve low and moderate income residents. Lawrence, due to being an entitlement community, is not eligible for state CDBG or HOME programs. Limited State HOME dollars are available for community development activities through the City's CHDO, and the city's general fund has been stretched to overcome stagnant property and sales tax revenues. The City will be entering into year three of the current Capital Improvement Plan which has allocated around \$300,000 per year to affordable housing activities through the Affordable Housing Trust Fund, and the City recently had a sales tax passed by voters to repurpose .05% sales tax to affordable housing activities. This will begin in April of 2019, with collections starting around June of 2019. This leaves little room for expansion of community development funding at the local level. Furthermore, the City of Lawrence's entitlement grants have been reduced over the last several years further limiting the funds available to address the needs in the community.

Actions planned to foster and maintain affordable housing

As required by HOME regulations, to ensure affordability for the LCHT program, the City has elected to impose resale requirements. Current resale requirements of the program ensure that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low or moderate

income family (50%-80% MFI paying no more than 38 percent of income for Principal, Interest, Property Taxes and Insurance), and will use the property as their principal residence. For homes in the Lawrence Community Housing Trust, through a previously determined and agreed upon formula, the house is sold to the eligible buyer for substantially less than the home's market appraised value and LCHT leases the land to the buyer for \$25 per month. The affordability period is maintained by a deed restriction on the property and a land-lease agreement between the buyer and LCHT. Due to the subsidy, the housing is affordable to the new homebuyer and the seller gains equity from mortgage payments, improvements made to the land and 25% of the market appreciation since the initial purchase of the property, thus providing the original HOME-assisted owner a fair return on investment.

Actions planned to reduce lead-based paint hazards

The city will ensure that all federally funded improvement programs for the existing housing stock use lead hazard reduction activities including evaluating lead hazard risk and using only lead free paint. Staff distributes Renovate Right pamphlets, published by the Environmental Protection Agency. The Community Development Division has three staff certified as Lead Hazard Risk Assessors and Inspectors. They have also received and provided training in Lead Safe Work Practices.

Actions planned to reduce the number of poverty-level families

One purpose of the Consolidated Plan Programs and other initiatives in Lawrence is to reduce the number of persons in poverty. The emphasis in Lawrence is to help people rise out of poverty, rather than temporarily easing their situation. Although essential short-term direct aid such as emergency food and shelter is provided, the strongest community support is for programs to address the root causes of poverty and assisting people in becoming self-sufficient in the long-term. Two key components of helping people attain self-sufficiency are employment and housing. Examples of programs that directly influence people's ability to escape poverty include job education and placement services, as well as housing advocacy, homeless prevention, and rental assistance. Projects that indirectly affect poverty include those that improve the community at-large and provide transportation and child care services that help people access employment and services. CDBG, HOME, CoC and State ESG funds are often used as matching funds for other grants that also contribute to reducing the number of families living in poverty. Thus, the power of these federal dollars is leveraged to a greater extent. Recognizing that limited Consolidated Plan dollars should be focused where the need is greatest, Lawrence gives preference to projects that directly benefit low and moderate income residents, or serve low and moderate income neighborhoods, over those that will benefit the city as a whole. This strategy will ensure that scarce resources are directed to best serve those who have the greatest need, including those areas with the greatest concentration of poverty. In addition to Consolidated Plan programs, a

number of other public, private, and partnership initiatives have been designed to assist in the reduction of poverty rates. These include the Workforce Development Center, the Douglas County Re-entry Program, and a newly forming partnership of employment agencies. The City's Step Up to Better Housing strategy and the Housing Vision developed by the former Community Commission on Homeless will serve as the baseline for the city's anti-poverty strategy.

Actions planned to develop institutional structure

The Planning and Development Services Department, Community Development Division (CDD) is the lead agency of the City in the development of the Consolidated Plan and Annual Action Plans. CDD provides fiscal and regulatory oversight of all CDBG and HOME funding. The Lawrence City Commission acts as the final authority for the appropriation of funds for Annual Action Plan activities under the Consolidated Plan grant programs, following recommendations of the CDAC. In addition, the City provides opportunities, to the maximum extent possible, to women and minority owned business enterprises for contract bids and services. The City of Lawrence encourages inclusion in the list of approved bidders for minority and women-owned businesses, and actively works to recruit new contractors into the programs administered by the CDD. HOME sub-grantee agreements specifically contain the language: 3. Affirmative marketing and MBE/WBE records: (a) Records demonstrating compliance with the affirmative marketing procedures and requirements of 92.351. (b) Documentation and data on the steps taken to implement the jurisdiction's outreach programs to minority owned and female owned businesses including data indicating the racial/ethnic or gender character of each business entity receiving a contract or sub-contract of \$25,000 or more paid, or to be paid, with HOME funds; the amount of the contract or subcontract, and documentation of affirmative steps to assure that minority business and women's business enterprises have an equal opportunity to obtain or compete for contracts and subcontracts as sources of supplies, equipment, construction, and services. Within each of the priority funding areas, activities will be completed and managed by a diverse team of public, private, not-for-profit, and institutional partners.

Actions planned to enhance coordination between public and private housing and social service agencies

To accomplish these goals, the City of Lawrence will work closely with the Lawrence-Douglas County Housing Authority on their Tenant Based Rental Assistance (TBRA) to cover the activity of Rental Housing Subsidies through the HOME program. Tenants to Homeowners, Inc., the City of Lawrence CHDO, will be the agency primarily working towards the goal of construction of housing as well as direct homeownership assistance objectives. CDD staff will administer the rehabilitation and energy efficiency programs through the City of Lawrence using local licensed contractors. CDD staff, in addition, continues to work to educate the community as well as program participants on ways to make their homes energy efficient and save money on energy bills. Social service agencies will offer tenant and rental assistance

as well as consultation, and the homeless shelter will provide programming to move people from the shelter situation into housing of a more permanent nature.

Discussion:

Lawrence prides itself on a decades-long track record of successful partnerships among public and private sector entities. The Consolidated Plan delivery system is an example of this. Communication and cooperation between the City of Lawrence's Community Development Division and the partner agencies and organizations that administer activities is strong. CDD staff has worked closely with the organizations involved in Consolidated Plan programs to improve regulatory compliance, monitoring, cooperation and partnerships among agencies, and technical capacity of organizations involved in project delivery.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

The Community Development Advisory Committee (CDAC) has made allocation recommendations for CDBG and HOME funding for the 2019 program year based on the Step Up to Better Housing strategy. The Action Plan is a piece of the overall Consolidated Plan and the goals are all based on this strategy. Program income is taken into consideration while allocation recommendations are being discussed.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	90.00%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Not applicable to the City of Lawrence.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

HOME funds are allocated for a First Time Homebuyer Program through the City's designated Community Housing Development Organization (CHDO), Tenants to Homeowners, Inc. (TTH) and for Lawrence Habitat for Humanity's homeowner program. The TTH First Time Homebuyer program is the Lawrence Community Housing Trust (LCHT). The LCHT was instituted in Lawrence to preserve long term affordable housing for Lawrence residents with low and moderate incomes. The City and TTH have long partnered in providing this homebuyer program. The City provides funding, technical assistance, and oversight to TTH and TTH in turn, does outreach for potential homebuyers, provides the pre-purchase education, and generally administers the program. The LCHT First Time Homebuyer Program supersedes the City's previous First Time Homebuyer Program, Homeowners Out of Tenants (HOOT). As required by HOME regulations, to ensure affordability for the LCHT program, the City has elected to impose resale requirements. Current resale requirements of the program ensure that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low or moderate income family (50%-80% MFI paying no more than 38 percent of income for Principal, Interest, Property Taxes and Insurance), and will use the property as their principal residence.

Notification to Prospective Buyers: The resale policy is explained to the prospective homebuyer(s) prior to signing a contract to purchase the HOME-assisted unit. The prospective homebuyer(s) sign an acknowledgement that they understand the terms and conditions applicable to the resale policy as they have been explained. This document is included with the executed sales contract.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The TTH First Time Homebuyer program is the Lawrence Community Housing Trust (LCHT). The LCHT was instituted in Lawrence to preserve long term affordable housing for Lawrence residents with low and moderate incomes. The City and TTH have long partnered in providing this homebuyer program. The City provides funding, technical assistance, and oversight to TTH and TTH in turn, does outreach for potential homebuyers, provides the pre-purchase education, and generally

administers the program. The LCHT First Time Homebuyer Program supersedes the City's previous First Time Homebuyer Program, Homeowners Out of Tenants (HOOT). As required by HOME regulations, to ensure affordability for the LCHT program, the City has elected to impose resale requirements. Current resale requirements of the program ensure that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low or moderate income family (50%-80% MFI paying no more than 38 percent of income for Principal, Interest, Property Taxes and Insurance), and will use the property as their principal residence. The affordability period is maintained by a ground lease agreement between the buyer and LCHT, and this agreement is protected with deed restrictions and a lien signed by the buyer.

Affordability to a Range of Buyers. The City of Lawrence will ensure continued affordability to a range of buyers, particularly those whose total household incomes range from 50% to no greater than 80% MFI. HUD will provide limits for affordable existing housing based on 95 percent of the median purchase price for the area using Federal FHA single family mortgage program data for existing housing data and other appropriate data that are available nation-wide for sales of existing housing, with a minimum limit based on 95 percent of the state-wide nonmetropolitan area median purchase price using this data. Homeownership Value limits may be found here:

<https://www.hudexchange.info/resource/2312/home-maximum-purchase-price-after-rehab-value/>

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Not Applicable. No HOME-funded rehabilitation is undertaken in the City of Lawrence program.

Attachments

Citizen Participation Comments

Danelle Walters

From: Tom Birt <birt.tom@gmail.com>
Sent: Friday, May 22, 2020 4:50 PM
To: Danelle Walters
Subject: CARES Act allocation

Yes, improve the Community Shelter.
Please consult with its employees regarding design, etc.
Their safety and levels of stress are foundational to the well-being of *everybody* there.
Sincerely,
Tom Birt
930 Missouri

**City of Lawrence, KS
Community Development Advisory Committee
April 25, 2019 Meeting Minutes**

MEMBERS PRESENT: Robert Baker, Peter Carttar, Jonathan Holley, Holli Joyce, Graham Kreicker, Frank Pondrom, Kendall Simmons

MEMBERS ABSENT: Jenna Coker

STAFF PRESENT: Danelle Walters, Brad Karr

[Meeting Audio](#)

Chair Baker called the CDAC meeting to order at 5:44 pm.

1. Introductions

The committee members introduced themselves.

2. Approval of the March 14, 2019 meeting minutes

Kreicker moved to approve the [March 14, 2019 meeting minutes](#). Holley seconded the motion. The motion passed 7-0.

3. Discussion of final recommendations

Walters said the City received the actual CDBG and HOME grant award amounts from HUD that afternoon. Staff provided the committee with information detailing the [2019 HUD CDBG and HOME grant awards](#) for all of the entitlement cities in Kansas. The City of Lawrence received an increase in CDBG funds over last year, but a decrease in HOME funds. Walters explained the committee would need to amend their previously voted upon recommendations for each of the grants, to account for the change in total funding. Staff presented the committee with an updated allocation spreadsheet, indicating the need to reduce the HOME recommendations by \$9,108 and increasing the CDBG recommendations by \$3,283 in the Public Service category, and \$18,607 in the Non-Public Service category. Walters said staff had already made the adjustments to the items affected by a regulatory cap, including reducing the HOME administration, HOME CHDO Project Funds and HOME CHDO Operating Expenses. Walters also said staff had decided not to ask for a corresponding increase to the previously recommended CDBG administration funding.

The committee agreed to discuss the needed changes in their recommendations, beginning with the CDBG Public Service category. Joyce recused herself from the discussion and left the room, based upon her status as an applicant in the Public Service category.

Pondrom said he felt the needs of the homeless were the most important, and **moved to fund the additional \$3,283 to item 8a, Lawrence Community Shelter (LCS), Operating Expenses**. Kreicker seconded the motion, for discussion purposes. Simmons felt some of the increase should go to item 6a. Catholic Charities of Northeast Kansas,

because of the rent assistance they offer to keep people from becoming homeless. Kreicker asked if Simmons would be acceptable to splitting the increase by awarding one-third to Catholic Charities and two-thirds to LCS. Simmons said she would agree with that split. Pondrom **withdrew his motion**.

Pondrom **moved to fund an additional \$2,189 to item 8a, Lawrence Community Shelter (LCS), Operating Expenses (\$48,730 in total), and an additional \$1,094 to item 6a, Catholic Charities of Northeast Kansas (CCNEK), Lawrence Emergency Assistance Center (\$11,667 in total)**. Carttar seconded the motion. The motion **passed 6-0 with one recusal**.

Joyce returned and the committee began discussion of the CDBG Non-Public Service category.

Carttar asked staff if there was a dollar amount increment to shoot for to additionally fund the City's weatherization, emergency loan, furnace loan, and comprehensive rehabilitation loan programs. Walters said any additional funding to those programs would provide for additional families receiving assistance in those programs.

Carttar said he felt it was more important to help existing homeowners with weatherization and repairs; Joyce agreed. Simmons said it made sense to help existing homeowners who are struggling and might need weatherization or a furnace. Baker said it might help someone stay housed instead of having to go to the shelter.

Carttar **moved to fund an additional \$18,607 to item 13a, City of Lawrence Community Development Division (CDD), Housing Rehab/ Emergency Loan/ Furnace Loan/ Weatherization (\$268,607 in total)**. Joyce seconded the motion. The motion **passed 7-0**.

The committee began discussion of the reduction in the HOME allocations.

Walters reminded the committee they needed to reduce their previous HOME recommendations by \$9,108; the reductions would have to come from items 13b, City of Lawrence Community Development Division (CDD) First Time Homebuyer Program; 16a, Lawrence-Douglas County Housing Authority (LDCHA), Homeless Transitional Housing Program (TBRA); or 18a, Lawrence Habitat for Humanity (LHFH), Foundation for one home.

Holley said the currently recommended amount to LHFH was at a level where if they go lower, it would not really be worth their while. Holley said what would benefit the most amount of people would be to cut out the LHFH entirely and redistribute those funds to the other programs.

Kreicker said another approach would be to leave LHFH as it is, and reduce the other two by a percentage basis; as an example reduce 13b to \$68,000 and reduce 16a to \$223,392.

Joyce said she was leaning more towards reallocating all of LHFH to the other two programs. Joyce **moved to reduce 18a, Lawrence Habitat for Humanity (LHFH), Foundation for one home to zero, and increase 13b, City of Lawrence Community Development Division (CDD) First Time Homebuyer Program by \$2,946 (\$72,946 in total) and increase 16a, Lawrence-Douglas County Housing Authority (LDCHA), Homeless Transitional Housing Program (TBRA) by \$2,946 (\$233,446 in total)**. Carttar seconded the motion. The motion **passed 7-0**.

4. Public Comment

There was no public comment.

5. Open Public Hearing on 2019 Action Plan

Chair Baker open the public hearing.

Walters explained this was a HUD required public hearing on the 2019 Action Plan, providing an opportunity for the public to comment on the proposed allocation of the 2019 CDBG and HOME grant funds. The public would have 30 days from the public hearing to comment on the proposed plan.

There was no public comment on the 2019 Action Plan at the public hearing.

Baker closed the public hearing.

6. Miscellaneous/Calendar

Walters said if the City Commission accepts the proposed grant recommendations, this would be the last meeting of the CDAC. The City Commission had previously voted to approve [Ordinance No. 9648](#) repealing the Community Development Advisory Committee, effective July 1, 2019.

Staff thanked the committee members for their service on the committee.

7. Adjourn

Carttar **moved to adjourn the meeting**. Simmons seconded the motion. The motion **passed 7-0**.

Attendance Record

Members	Jan 10	Jan 24	Feb 14	Feb 28	Mar 14	Mar 28	April 11	April 25								
Robert Baker	+	+	NQ	+	+	-	NQ	+								
Peter Carttar	+*	+	NQ	+	+	-	NQ	+								
Jenna Coker	E	E	NQ	E	E	-	NQ	U								
Jonathan Holley	+	+	NQ	+	+	-	NQ	+								
Holli Joyce	+*	+	NQ	E	+	-	NQ	+								
Graham Kreicker	+*	+	NQ	+	+	-	NQ	+								
Frank Pondrom	+	+	NQ	+	+	-	NQ	+								
Lea Roselyn	+*	+^														
Kendall Simmons	+	+	NQ	+	+	-	NQ	+								

E	Excused Absence	U	Unexcused Absence
X	Meeting Cancelled – Weather Conditions	-	Meeting Cancelled – Committee Vote/No Business
*	First meeting after appointment	**	Last Meeting Prior to expired term
^	Last Meeting	NQ	No Quorum

Grantee Unique Appendices

Additional Resources for the 2019 City of Lawrence Annual Action Plan of the 2018-2022 Consolidated Plan:

1. Citizen Participation Plan (Revised September, 2016)
2. Step Up to Better Housing Strategy (Updated 2010)
3. Housing Vision Chart (Updated October 2009)
4. Continuum of Care local model (Updated 2019)
5. Low/Mod with Census Tract/Block Group (2010 Census Data)
6. Resale Provisions for HOME (2019)
7. Affidavit of Publication (4/18/2019)
8. Resolution 7288
9. Investment Summary 2019

Added 6/12/2020 for the Lawrence KS CARES Act Amendment

1. Citizen Participation Plan (Revised April, 2020)
2. CPP Affidavit of Publication (4/16/2020)
3. AP Substantial Amendment Affidavit of Publication (5/22/2020)
4. Public Comment Received CDBG-CV
5. Investment Summary 2019 (Revised May, 2020)

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3. AP Substantial Amendment Affidavit of Publication (5/22/2020)
4. Public Comment Received CDBG-CV
5. Investment Summary 2019 (Revised May, 2020)

Added 7/21/2021 for the Lawrence KS CARES Act Amendment

1. AP Substantial Amendment Affidavit of Publication (7/08/2021)
2. Investment Summary 2019 (Revised July, 2021)

CITIZEN PARTICIPATION PLAN

PURPOSE

Citizen participation is the heart of the Assessment of Fair Housing (AFH) and the consolidated planning process, involving citizens in decisions that directly affect their lives. The purpose of the Citizen Participation Plan is to encourage and insure full and proper citizen participation in the development of the AFH and at all stages of the Consolidated Plan process. The Citizen Participation Plan formally designates structures, procedures, roles and policies to be followed by program participants.

This Citizen Participation Plan shall be available to all interested persons in the Development Services Office at 1 Riverfront Plaza, Level 1, Suite 110. The AFH, Consolidated Plan, Annual Consolidated Plan Update, and the Annual Performance Report, regarding the Community Development Block Grant (CDBG) and HOME Investment Partnerships (HOME) Programs, shall also be available. All documents are available on the City of Lawrence website at www.lawrenceks.org/pds.

A secondary purpose of this Plan is to implement federal regulations regarding citizen participation for the AFH and the consolidated planning process described by Title 24 CFR 91.105 of the Housing and Community Development Act of 1974, as amended. Nothing in this Plan shall restrict the responsibility and authority of the City of Lawrence (City) from developing and executing its Consolidated Plan or AFH.

This document may be amended by the City Commission.

ASSESSMENT OF FAIR HOUSING

During the development of the Assessment of Fair Housing (AFH), the City of Lawrence shall:

1. Encourage citizens to participate in the development of the AFH and any revisions of the AFH.
2. Encourage the participation of Continuums of Care, businesses, developers, nonprofit organizations, philanthropic organizations, and community-based and faith-based organizations, in the process of developing and implementing the AFH.
3. Encourage, in conjunction with public housing agency consultations, participation of residents of public and assisted housing developments, including any resident advisory boards, resident councils, and resident management corporations, in the process of developing and implementing the AFH, along with other low-income residents of targeted revitalization areas in which the developments are located.
4. Take reasonable steps to provide language assistance to ensure meaningful access to participation by non-English-speaking, limited English proficiency residents, and persons with disabilities.
5. Make available to the public, residents, public agencies, and other interested parties any HUD-provided data and the other supplemental information the City plans to incorporate into its AFH at the start of the public participation process (or as soon as feasible after).
6. Provide for at least one public hearing during the development of the AFH, and provide not less than 30 calendar days to receive comments from residents.
7. Provide residents of the community with reasonable and timely access to local meetings, consistent with accessibility and reasonable accommodation requirements, in accordance with section 504 of the Rehabilitation Act of 1973 and implementing regulations at 24 CFR part 8 as well as the Americans with Disabilities Act and implementing regulations at 28 CFR part 35 and 36, as applicable.
8. Publish the proposed AFH in a manner that affords its residents, units of general local government, public agencies, and other interested parties a reasonable opportunity to examine its content and to submit comments.
9. A summary which describes the content and purpose of the AFH, and includes a list of locations where copies of the entire propose document may be examined, will be made public by publishing a display ad in one or more newspapers of general circulation, and by making copies of the AFH available on the City of Lawrence website at www.lawrenceks.org/pds.
10. Provide for technical assistance to groups representative of persons of low-and-moderate income that request such assistance to comment on the AFH.
11. Consider the comments or views of residents, whether received in writing or orally at the public hearing, in preparing the final AFH. A summary of any comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the final AFH.
12. Provide a reasonable number of free copies of the AFH to residents and groups that request a copy.
13. Provide a timely, substantive written response to every written resident complaint related to the AFH and any revisions of the AFH, within an established period of time (normally within 15 working days, where practicable).

REVISIONS TO THE ASSESSMENT OF FAIR HOUSING

1. An AFH previously accepted by HUD must be revised and submitted to HUD for review under the following circumstances:
 - a. A material change occurs. A material change is a change in circumstances in the jurisdiction of a program participant that affects the information on which the AFH is based to the extent that the analysis, the fair housing contributing factors, or the priorities and goals of the AFH no longer reflect actual circumstances. Examples include Presidentially declared disasters, under title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, in the program participant's area that are of such a nature as to significantly impact the steps a program participant may need to take to affirmatively further fair housing; significant demographic changes; new significant contributing factors in the participant's jurisdiction; and civil rights findings, determinations, settlements (including Voluntary Compliance Agreements), or court orders; or
 - b. Upon HUD's written notification specifying a material change that requires the revision.
2. The City of Lawrence shall provide residents with reasonable notice of a revision to the AFH and provide not less than 30 calendar days to receive comments from residents. Notice of a revision to the AFH will be made public by publishing a display ad prior to the revised AFH being submitted to HUD for review, which will begin the 30 day written comment period. The City shall consider the comments or views of residents, whether received in writing or orally, in regard to a revision to the AFH. A summary of any comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the revision to the AFH.

CONSOLIDATED PLAN OBJECTIVES

Citizen participation is encouraged in the development of the Consolidated Plan and any substantial amendments to the Consolidated Plan, and the Performance Report. To achieve the purposes of the Citizen Participation Plan, six objectives are established. These objectives constitute basic standards by which proper citizen participation can be measured, and are in no way intended to limit citizen participation.

1. Provide for and encourage citizen participation with particular emphasis on participation by persons of low- and moderate-income, particularly those who are residents of slum and blighted areas and of areas in which CDBG and HOME funds are proposed to be used, and provide for participation of residents in low- and moderate-income neighborhoods as defined by the City of Lawrence. Additionally, provide for and encourage participation of all citizens, including minorities and non-English speaking persons, as well as persons with disabilities. Provide for and encourage, in conjunction with the Lawrence-Douglas County Housing Authority, citizen participation of residents of public and assisted housing developments, along with other low-income residents of targeted revitalization areas in which the developments are located. Provide information to the housing authority about consolidated plan activities related to its developments within the community so that it may make this information available at the annual public hearing required under the Comprehensive Grant program.
2. Provide citizens with reasonable and timely access to local meetings, information, and records relating to the Consolidated Plan, Substantial Amendments, and the Performance Report, as required by regulations and relating to the actual use of funds under this title. Provide information regarding the displacement of persons and specifying the types and levels of assistance that will be available, even though no displacement is expected to occur.
3. Provide for technical assistance to groups representative of persons of low- and moderate-income that request such assistance in developing proposals, with the level and type of assistance to be determined by the City.
4. Provide for public hearings to obtain citizen views and to respond to proposals and questions at all stages of the consolidated planning process, including the Citizen Participation Plan, the development of needs, the review of proposed activities, and the review of program performance. Such hearings shall be held after adequate notice, at times and locations convenient to potential or actual beneficiaries, and with accommodation for persons with disabilities. Notice of public hearings will be published as display ads one - two weeks before the date of the public hearing.
5. Provide for a timely written answer to written complaints and grievances, within fifteen (15) working days where practicable.
6. Identify how the needs of non-English speaking residents will be met in the case of public hearings where a significant number of non-English speaking residents can be reasonably expected to participate.

OBJECTIVE NO. 1

Provide for and encourage citizen participation with particular emphasis on participation by persons of low- and moderate-income, particularly those who are residents of slum and blighted areas and of areas in which CDBG and HOME funds are proposed to be used, and provide for participation of residents in low- and moderate-income neighborhoods as defined by the City of Lawrence. Additionally, provide for and encourage participation of all citizens, including minorities and non-English speaking persons, as well as persons with disabilities. Provide for and encourage, in conjunction with the Lawrence-Douglas County Housing Authority, citizen participation of residents of public and assisted housing developments, along with other low-income residents of targeted revitalization areas in which the developments are located. Provide information to the housing authority about consolidated plan activities related to its developments within the community so that the housing authority may make this information available at the annual public hearing required under the Comprehensive Grant program.

The City Commission of the City of Lawrence, Kansas, desires to involve the affected citizens of the city in the decision-making process whereby Community Development Block Grant (CDBG) and HOME Investment Partnerships Program (HOME) funds are allocated. In order to achieve this goal, the following structure, procedures, roles, and policies have been adopted and are to be followed by program participants. The City will receive advisory input for program funding and policy recommendations from the Community Development Advisory Committee. The purpose of the Committee is to develop and propose funding strategies and policies; recommend the allocation of CDBG and HOME funds; and review appeals from determinations made by the Development Services staff regarding housing rehabilitation projects as prescribed in the Policies for Housing Rehabilitation Deferred Loans.

The Mayor, with the consent of the Governing Body, will appoint individual members of the Community Development Advisory Committee. The committee shall consist of eleven members of the community, of which six members shall be low or moderate income or live in a low or moderate income area or neighborhood, as defined by HUD census data. There will be appointed no more than one individual from any particular area or neighborhood. The remaining five members will be appointed at large from the community. Individual memberships will be held for three-year terms except when appointed to fill out an unexpired term. Initial appointments will be for one-, two- or three-year terms so that membership changes will be staggered. Members may serve two consecutive three-year terms. If originally appointed to an unexpired term, the member may complete that term plus two consecutive three-year terms. The City intends to appoint members from diverse elements of the community, with emphasis on people whose interests, commitment, and expertise can best fulfill the obligations and responsibilities of the Community Development Advisory Committee.

Committee members will be expected to seek information and input from citizens who reside in low and/or moderate-income neighborhoods or who are otherwise affected by CDBG/HOME activities. It is important that opportunities for citizens to participate in the CDBG/HOME planning process be provided. The Community Development Advisory Committee and Development Services staff will be

expected to help the City provide these opportunities.

I. GUIDELINES TO BE FOLLOWED BY CDBG FUNDED NEIGHBORHOOD ASSOCIATIONS

- A. Any neighborhood association receiving support funds through the CDBG Program will be required to adhere to the following guidelines:
1. Each association is required to have, at a minimum, quarterly meetings. The associations are encouraged to have regularly scheduled meetings each month.
 2. Business may be conducted only at open meetings of which all members have been notified a reasonable time in advance.
 3. Associations must elect officers on a yearly basis, in accordance with written bylaws. If there is a change or update of bylaws, the neighborhood association is to provide the new version to the City of Lawrence within 90 days from the time the changes are approved by the neighborhood. These bylaws shall be kept on file with the City. The members of the board or officers shall reside within, or own property within, the defined neighborhood boundaries.
 4. Neighborhood associations must have definite geographical boundaries. Membership must be open to any person eighteen years of age or older living or owning property in the specified area. Each association may allow for non-voting members in its bylaws.
 5. Neighborhood coordinators shall live within the City of Lawrence or Douglas County limits.
 6. Membership dues cannot exceed \$1.00 per year for individuals.
 7. Minutes of each meeting are to be forwarded to the Development Services Office within 30 days after each meeting. Neighborhoods shall submit quarterly performance reports to the City of Lawrence no later than November 10, February 10, May 10, and August 10 of the current grant year.
 8. An annual accounting of the use of CDBG funds is to be submitted to the Development Services Office.
 9. The associations are encouraged to utilize a portion of the funds to notify members and the public of the time and place of each meeting.
 10. The associations are encouraged to produce regular newsletters to keep the neighborhood residents apprised of upcoming activities and projects and to report on the results of such activities and projects.

OBJECTIVE NO. 2

Provide citizens with reasonable and timely access to local meetings, information, and records relating to the Consolidated Plan, Substantial Amendments, and the Performance Report, as required by regulations and relating to the actual use of funds under this title. Provide information regarding the displacement of persons and specifying the types and levels of assistance that will be available, even though no displacement is expected to occur.

The City will provide the media with times and dates of Community Development Advisory Committee meetings. Notice of meetings will be provided to members. Other members of the community will receive information upon request.

Prior to adoption of the Consolidated Plan, the City will make available information that includes the amount of assistance the City expects to receive and the range of activities that may be undertaken, including the amount that will benefit persons of low and/or moderate-income. A summary of the proposed Consolidated Plan will be published in the Lawrence Journal World and will be available in the Development Services Office. The summary will describe the contents and purpose of the Consolidated Plan, and locations where copies of the entire proposed plan may be examined.

The Consolidated Plan, as adopted, Substantial Amendments, and the Annual Performance Report will be available on the Development Services website. Upon request, the documents will be made available in a form accessible to persons with disabilities. All such documents will be kept on file for a minimum of five years.

With regard to displacement, the general policy of the City is to keep displacement of persons participating in the CDBG or HOME programs to an absolute minimum. In those rare occasions when displacement is necessary, the City will abide by the regulations of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA), and Section 104(d) of the Housing and Community Development Act of 1974.

I. CDBG and HOME PROGRAM PROCEDURE.

A. The following dates are approximate. All activities will occur on or about the noted date.

- August 1 - The new grant year begins for the CDBG and HOME programs funded through the Consolidated Plan process.
- September - The Development Services staff will send notice and application forms to all interested parties regarding the CDBG/HOME Application process and deadline for the upcoming program year.
- A public meeting of the Community Development Advisory Committee, including public comment, will be held in September or October. The meeting is intended to provide: 1) Discussion of the City's performance during the most recent year; 2) General information and requirements for the CDBG and HOME programs, as well as the Consolidated Plan; 3) A forum for suggested future CDBG and HOME activities; 4) The opportunity to obtain the views of citizens, public agencies, and other interested parties on housing and community development needs of the community; and 5) A review of demographic data in the community to determine priority needs. The Annual Performance Report will be available at the public hearing for public comment. The thirty-day written comment period will begin. All written comments regarding the City's performance will be submitted to HUD along with the City's Annual Performance Report.
 - Development Services staff will provide application information at the public hearing to be used by interested parties for requesting CDBG/HOME funds for the upcoming program year.
- October 31 - Deadline for the Annual Performance Report to be submitted to HUD.
- November - The Community Development Advisory Committee will meet with the City Commission as needed in a Study Session to determine commission priorities for the upcoming CDBG program year.
- December 1- Deadline for upcoming program year grant proposals.
- January - The Community Development Advisory Committee begins meeting to consider grant proposals. Grant proposal requests will be distributed to the Committee at the first meeting.
- March - The Community Development Advisory Committee completes deliberations and makes preliminary recommendations. Applicants will have an opportunity to appeal recommendations before the recommendations are passed on to the City Commission.

- April - A draft Consolidated Plan or Annual Update will be available for review and comment in the Development Services Office as well as online at www.lawrenceks.org/pds. The Development Services staff will set a public hearing to review the Consolidated Plan, and to review the draft copy of the Investment Summary. The public hearing and proposed Investment Summary will be advertised as a display ad to afford citizens an opportunity to examine the statement's contents.
- April - The Community Development Advisory Committee will hold a public meeting, including public comment to review preliminary recommendations and goals and objectives set forth in the Consolidated Plan, and to review a draft copy of the Investment Summary. The thirty (30) day written comment period will begin.
- May - The Consolidated Plan will be completed and the City Commission will consider a resolution adopting the Consolidated Plan or Annual Update, including the Investment Summary, and authorizing the submission of the Consolidated Plan to HUD.

The Development Services staff and City Commission in conformance with this Plan will carry out substantial amendments to the Consolidated Plan during the program year.
- June 15 - The Consolidated Plan is sent to the local HUD office. (HUD staff has 45 days to review the plan before the start of the program year.)
- June 30 - Appropriate Environmental Reviews for the CDBG and HOME Programs will be completed.
- July 15 - A memo will be sent to all upcoming program year subrecipients notifying them of the availability of funds on August 1.

II. SUBSTANTIAL AMENDMENT TO THE CONSOLIDATED PLAN.

- A. A Substantial Amendment will be made to the Consolidated Plan whenever one of the following decisions is made:
1. A change in allocation priorities or a change in the method of distribution of funds.
 2. To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income), not previously described in the action plan.
 3. To change the purpose, scope, location, or beneficiaries of a previously approved activity. The following criteria has been established for determining Substantial Amendment:

A Substantial Amendment in the purpose of an activity will occur when the activity will serve a purpose other than that which was originally intended. In order to clarify purposes, the following categories are established:

- i. Housing
- ii. Infrastructure
- iii. Environment
- iv. Public Facilities
- v. Public Services
- vi. Economic Development
- vii. Planning
- viii. Program Administration

- B. A Substantial Amendment in the scope of an activity will occur when the cost of the activity is reduced or increased by 50% or more, or when the quantity of the activity is reduced or increased by 50% or more.
- C. A Substantial Amendment in the location of an activity will occur when a change of location will cause the targeted group of beneficiaries to lose the benefit.
- D. A Substantial Amendment in the beneficiaries of an activity will occur when the targeted groups of beneficiaries will no longer benefit, or when the percentage of low-income beneficiaries will be less than the minimum required by federal law or regulation.
- E. The Community Development Advisory Committee will consider Substantial Amendments at a public meeting conducted by said group. The recommendation regarding said Substantial Amendment will be forwarded to the City Commission for discussion and approval at the next regularly scheduled meeting. Notice of the meeting and information regarding the proposed Substantial Amendment will be made by publishing a display ad prior to the meeting, which will begin the thirty (30) day written comment period. Public comment may be heard at the meeting. If approved, the Substantial Amendment shall be attached to the

Consolidated Plan, and submitted along with all written comments, to the local HUD office. Implementation of the amendment shall not occur before the expiration of the written comment period.

OBJECTIVE NO. 3

Provide for technical assistance to citizens, public agencies, interested parties, and other groups representative of persons of low and/or moderate-income that request such assistance in developing proposals with the level and type of assistance to be determined by the City.

I. TECHNICAL ASSISTANCE.

- A. The Community Development Manager, or his/her designee, shall assist all interested persons or groups in preparing proposals for the consolidated planning process or other inter-governmental grants.
- B. The assistance provided shall include, at minimum:
 - 1. Assistance in the development of an implementation plan to address identified revitalization needs.
 - 2. Required supporting data and resources available for data.
 - 3. Application timetable.
 - 4. Applicable forms required for submission.
 - 5. Persons/places to be contacted for further information.
 - 6. All pertinent rules and regulations.

OBJECTIVE NO. 4

Provide for public hearings to obtain citizen views and to respond to proposals and questions at all stages of the consolidated planning process, including the Citizen Participation Plan, the development of needs, the review of proposed activities, and the review of program performance. Such hearings shall be held after adequate notice, at times and locations convenient to potential or actual beneficiaries, and with accommodation for persons with disabilities. Notice of public hearings will be published as display ads prior to the date of the public hearing.

I. PUBLIC HEARINGS.

- A. A minimum of two public hearings will be held during the year regarding the City's Consolidated Plan, which includes the Investment Summary for the CDBG and HOME programs. The City shall consider any comments or views of citizens received in writing, or orally at the public hearings, in preparing the final Consolidated Plan, Substantial Amendments, and/or the Annual Performance Report.
 1. One public hearing will be held before the Community Development Advisory Committee to provide:
 - a. Discussion of the City's performance during the most recent year.
 - b. General information and requirements for the CDBG and HOME programs, as well as the Consolidated Plan.
 - c. A forum for suggested future CDBG and HOME activities.
 - d. The opportunity to obtain the views of citizens, public agencies, and other interested parties on housing and community development needs of the community, including priority non-housing community development needs.
 - e. A review of demographic data in the community to determine priority needs.
 2. The second public hearing held before the Community Development Advisory Committee will review:
 - a. Preliminary recommendations and goals and objectives set forth in the Consolidated Plan, and to review the draft copy of the Investment Summary.

OBJECTIVE NO. 5

Provide for a timely written answer to written complaints and grievances, within fifteen (15) working days where practicable.

The Consolidated Plan of the City of Lawrence, Kansas, encompasses many activities and is regulated by several laws, rules, and regulations. One of the requirements of the program is that citizens be allowed to voice their comments, criticisms, and suggestions. In order to provide the citizens of Lawrence a procedure for voicing complaints with some assurance that complaints will receive fair consideration, the City of Lawrence has established the following procedure for hearing complaints regarding any part of the Consolidated Plan, planning process, Substantial Amendments, or the Annual Performance Report, including the Citizen Participation Plan, Community Development Block Grant (CDBG), and/or HOME Investment Partnerships (HOME) Programs.

I. COMPLAINT PROCESS.

- A. If any person wishes to lodge a complaint, the complaint shall be in written form and addressed to the Director, Planning and Development Services, P.O. Box 708, Lawrence, Kansas, 66044.
- B. If the person lodging the complaint does not get a satisfactory explanation from the Director, the complaint shall be addressed to the City Manager with the statement that the Director did not give a satisfactory response. This complaint shall also be in written form and addressed to P.O. Box 708, Lawrence, Kansas, 66044.
- C. If the complainant does not receive a satisfactory response from the City Manager, he or she may request that the complaint be included as an item on the agenda of the next regularly scheduled City Commission meeting for hearing. A record of this meeting will be maintained.
- D. If the complainant does not receive a satisfactory response to the complaint from the City Commission, the complainant may submit the complaint to the regional office of the U.S. Department of Housing and Urban Development (HUD), Attention: Regional Director, 400 State Avenue, Gateway Tower II, Kansas City, Kansas, 66101-2406. The City will forward all records of meetings relevant to the complaint to HUD upon request.
- E. Development Services staff will assist the complainant with the preparation of written complaints or advise the complainant of other sources that could help with the presentation.
- F. All complaints shall be submitted on a form provided by Development Services staff and shall be signed by the complainant or complainants.

COMPLAINT FORM

CITY OF LAWRENCE, KANSAS
DEVELOPMENT SERVICES

PLEASE PRINT OR TYPE

1. NAME OF PERSON OR ORGANIZATION SUBMITTING COMPLAINT(S)

Name: _____

Address: _____

2. NATURE OF COMPLAINT

Please summarize briefly the facts. If you need more space for additional details, you may attach a statement. If your complaint is against an individual, please include that person's name.

3. PREVIOUS ACTION

Have you expressed your complaint to any person in Development Services verbally? _____

Who was that person? _____

Have you expressed your complaint to any person in any department of the City? _____

Who was that person? _____

Have you expressed your complaint to any member of the Community Development Advisory Committee? _____

Who was that person? _____

4. I HAVE READ THIS COMPLAINT (including any attachments) AND IT IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF

Signature

Date

NOTE: The complainant will get a copy of this complaint and will receive a written reply within fifteen (15) days.

OBJECTIVE NO. 6

Identify how the needs of non-English speaking residents will be met in the case of public hearings where a significant number of non-English speaking residents can be reasonably expected to participate.

There are a minimum number of non-English speaking residents in Lawrence. If a member of the public or particular program beneficiary is non-English speaking, Development Services staff will make every attempt to find an interpreter to assist the citizen or beneficiary when questions arise or when information is to be given to the beneficiary.

Step Up to Better Housing

Developed in 1996 by the citizens and city staff of Lawrence, and adopted by the City Commission in 1997.
Updated in 2010.

Community Development Advisory Committee
with guidance from the Community Commission on Homelessness

A SUMMARY GUIDE:
to identify spending goals and priorities associated with CDBG and HOME allocations

Emergency Housing

Temporary options for immediate & safe shelter for individuals and families who are homeless, transient, or experiencing an emergency situation.

Emergency Shelter: A short-term facility (90-120 days) used to get people off the street in order to stabilize for movement to better housing options. This option does not include or account for shelters that serve special populations (Willow Domestic Violence Center, First Step House, Family Promise, etc.)¹

Emergency Temporary Housing: A parallel alternative to the shelter, where people can obtain immediate housing while awaiting a spot in Transitional Housing (TH) or other longer-term housing, working to address housing barriers.

NEEDS

- Year-round, 24-hour emergency shelter with appropriate services for transients or chronically homeless, addicts, and other populations in need.
- Emergency housing for families.
- Shelter for battered women and their children.
- Shelter with peer support for people with severe and persistent mental illness.

STRATEGIES

- Consider emergency shelter needs when investing available funds.
- Seek private and public funds to strengthen Lawrence emergency shelters.
- Endorse expansion efforts of well-managed existing shelters.
- Promote collaborative efforts with community-based providers.

Transitional Housing

Housing and services designed to promote residential stability, increase skills, enhance self-determination and move people who are homeless to permanent housing within 24 months.

NEEDS

- Short-term housing units and services.
- Support for people with certain criminal backgrounds who are precluded from other housing options.
- Case-management funding.

STRATEGIES

- Consider transitional housing needs when investing available funds.
- Endorse efforts to develop transitional housing in Lawrence.
- Encourage landlords to accept tenants who receive rental assistance.
- Support various case-management efforts.
- Ensure that housing is compliant.

¹ As defined by the Community Commission on Homelessness Housing Vision Chart (10-13-2009)

Permanent Housing

A variety of ownership and rental choices including permanent supportive housing arrangements.

Permanent Supportive Housing: Permanent housing with ongoing support services.

Permanent Housing: Assisted or non-assisted public or private housing with no time limit.

NEEDS

- Low-income homebuyer and rental assistance.
- Programs to help sustain homeownership.
- Public and private policies which promote permanent housing for people with low-incomes and for people with disabilities.
- Permanent supportive housing.
- A stock of decent affordable homes for purchase and rent.

STRATEGIES

- Continue to invest funds for homebuyer assistance
- Consider supportive service needs for low-income elderly, persons with disabilities, and other at-risk populations when investing available funds.
- Continue to invest funds in rehabilitation, weatherization, and emergency funds.
- Secure more tenant-based rental assistance.
- Encourage landlords to accept tenants who receive rental assistance.
- Encourage landlords to accept tenants with poor or criminal histories.
- Facilitate proper code enforcement.
- Support agencies that provide housing stabilization services.

Revitalized Neighborhoods

NEEDS

- Continued revitalization in low-moderate income neighborhoods.
- Continued environmental code enforcement.
- Education for homeowners and renters.
- Capital improvement projects (stormwater, paving, sidewalks, parks)
- Identification of blighted housing based on housing appraiser's information.

STRATEGIES

- Promote neighborhood improvement.
- Improve existing housing stock.
- Encourage neighborhood associations.
- Encourage programs that promote crime prevention.
- Insure that housing is code compliant.
- Increase rental inspection rates and environmental code enforcement.
- Endorse mixed-income development.
- Support efforts to meet American Disabilities Act and Fair Housing Act requirements.
- Provide outreach and education to owners and residents regarding International Property Maintenance Code.

Community Facilities

NEEDS

- Funding for capital improvements for structures housing agencies that provide services to low-moderate income individuals.
- Assurance that the investment is working for the community.

STRATEGIES

- Support efforts by local nonprofits and other organizations serving the low-moderate income population by funding facilities and facility maintenance improvements.

HOUSING VISION CHART (6/14/2007; Updated by CCH 10/13/2009)

Emergency Housing Options				
Shelter	Temporary Housing	Transitional Housing (TBRA)	Permanent Supportive Housing	Permanent Housing
*75 **125 (one facility)	*100 new	*35 new	*22 new	
Transients (10 – outreach worker estimate) – may or may not seek shelter. Chronically homeless (32 – PIT count) - may or may not seek shelter, may or may not be interested in permanent ETH, TH or PSH.	Single Homeless and Families without Children (70 PIT count) – likely will seek shelter; 35% will move into TH; some will need PSH and others will need private housing. Homeless Families with Children (45) – likely will seek shelter; many will move into TH; some will need private housing.	Single Homeless, Families Without Children and Families with Children (35 HA estimate) – likely will qualify for TH immediately if vouchers are made available.	Single Homeless, Disabled and/or Chronic (22 estimate) - assuming not ALL disabled will need PSH and not all chronically homeless will pursue PSH.	

* Number of units needed to meet immediate housing needs, based on 2007 Point-in-Time (PIT) Count numbers and service provider estimates.

**Number of individuals based on 2009 information from social service agencies serving Lawrence homeless.

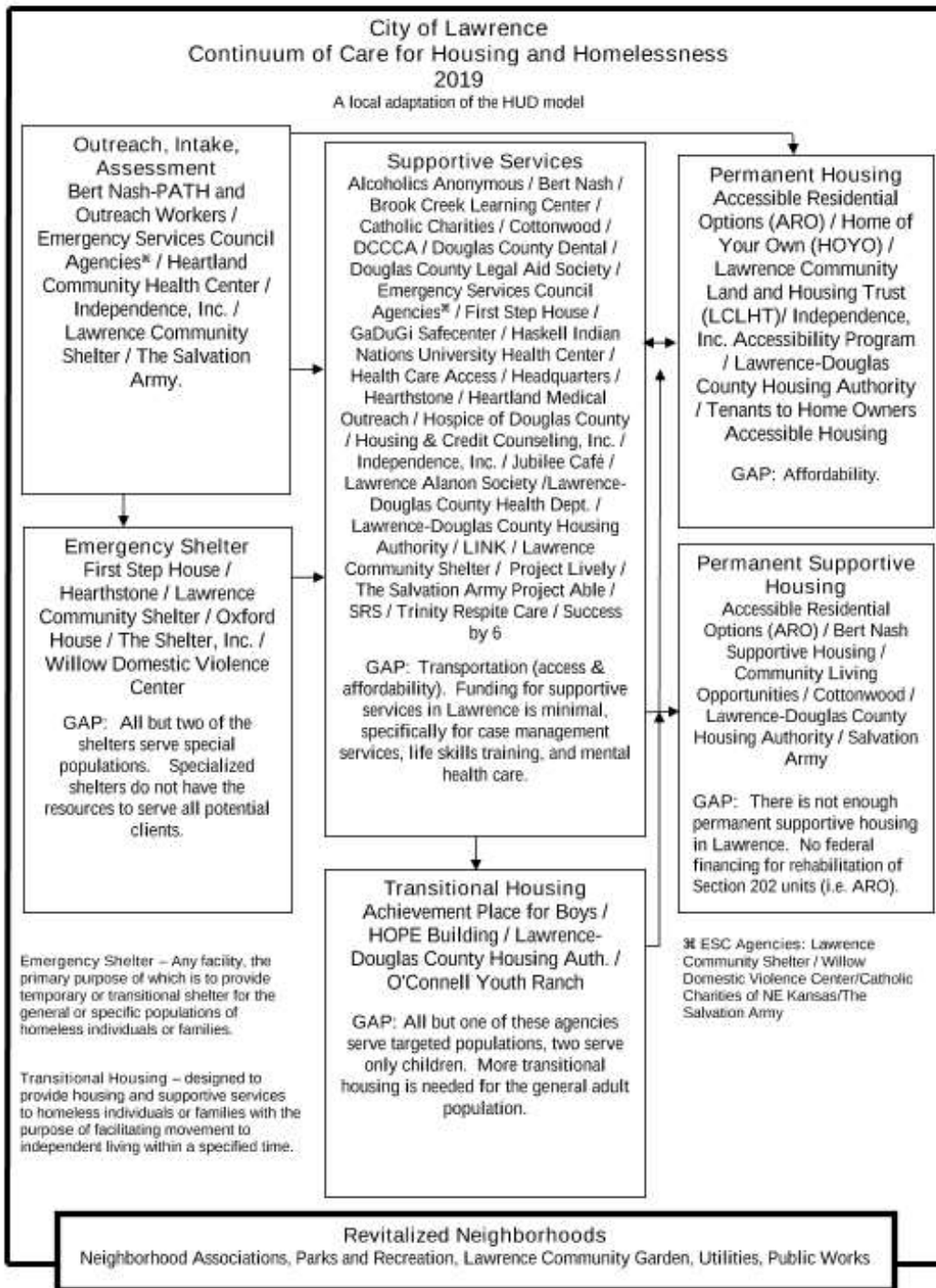
Emergency Shelter: A short-term facility (90-120 days) used to get people off the street in order to stabilize for movement to better housing options. This option does not include or account for shelters that serve special populations (WTCS, First Step House, etc.).

Emergency Temporary Housing: A parallel alternative to the shelter, where people can obtain immediate housing while awaiting a spot in TH or other longer-term housing, working to address housing barriers.

Transitional Housing: Assisted housing with support services, available for up to two years. Major gap is for people who are precluded from LDCHA due to methamphetamine conviction, sex offender status or other recent drug convictions.

Permanent Supportive Housing: Permanent housing with ongoing support services.

Permanent Housing: Assisted or non-assisted public or private housing with no time limit.



Neighborhood Association	CVBG	% Low/Moderate	LOWMED TOTAL
Brook	4M	46.0%	2113 1188
Barter	4M, 4B(2)	46.0%	849 1382
Brookside	4B(1)	46.0%	376 488
Brookwood	4B(2)	46.0%	872 899
CCNA	2M, 2B, 2B(1), 2B, 2C	46.0%	3252 4265
Congressional Plaza	4B(2), 4B(1)	46.0%	3249 3292
Constitution Place	4B(1)	46.0%	2148 2843
Corbin	4B(1)	46.0%	449 693
Corbinfield	4B(2), 4B(1), 4C(1)	46.0%	829 4762
Downman	4B(2), 2D, 3D	46.0%	2115 3402
Eden	4D(1), 4D, 4B	46.0%	2429 2293
Edgewood Tr	4B(1)	46.0%	329 1188
Edison	4B(2)	46.0%	811 1462
Edwards	4B(2), 4B(1)	46.0%	1118 4548
Ellis West	4B(2)	46.0%	1495 2623
Empire Hills	4B(2), 4B(1), 4B(1)	46.0%	2630 4821
Empire Oaks	4B(2)	46.0%	378 1822
Empire Plaza	4B(2)	46.0%	898 1488
Empire Square	4B(2), 4B(1)	46.0%	2114 3493
Euclid	4D(1), 4D, 4D	46.0%	1320 2022
EWI	4B(2)	46.0%	414 1043
GA	4D(1), 4D, 4D, 4M	46.0%	4829 1629
Garfield	4B(1)	46.0%	276 486
Gray	4B(2)	46.0%	378 1822
Gray	4B(2), 4B(1)	46.0%	2829 4841
Green Meadows	4B(2), 4B(1)	46.0%	2494 3279
Gracie Park	4B(1), 4B(2), 4B(2)	46.0%	2269 3128
Grand Ridge East	4B(2)	46.0%	212 1528
Grand Run	4B(1), 4B(1)	46.0%	648 1543
Grand View	4B(2)	46.0%	3049 3481
Green Meadows	4B(2), 4B(1), 4B(1)	46.0%	2620 3582
Greenway	4B(2)	46.0%	3029 3282
Greenwood	4B(1)	46.0%	858 2138
Greenwood	4B(1)	46.0%	226 1222
Greenwood Parkway	4B(2)	46.0%	1535 2611
Greenwood Ridge	4B(2)	46.0%	626 1148
Greenwood	4B(2)	46.0%	528 1148
Greenwood	4B(2), 4B(1), 4B(2)	46.0%	3028 4792
Greenwood Heights	4B(2)	46.0%	3029 3282
Greenwood Plaza	4M	46.0%	2113 1188
Greenwood	4B(2)	46.0%	3249 3292
Greenwood	4B(2), 4B(1)	46.0%	1555 2688
Greenwood	4B(2)	46.0%	149 1248
Greenwood	4B(2)	46.0%	3249 3292
Greenwood	4B(2)	46.0%	512 922

The Community Development Block Grant (CDBG) program requires that each CDBG funded activity must either principally benefit low and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet a community development need having a particular urgency. With respect to activities that benefit all the residents of a given area, at least 51 percent of the area's residents must be low and moderate income. The Office of Community Planning and Development provides estimates of the number of persons that can be considered Low, Low to Moderate, and Low, Moderate, and Medium income persons according to annually revised income limits. Data are provided at the Census Bureau's Geographic Summary Level "150": State-County-Subdivision-Census Tract-Block Group. The statistical information used in the calculation of estimates identified in the data sets linked to the right comes from the 2011-2015 American Community Survey (ACS). ACS data are used with Income Limits for Metropolitan Areas and for Non Metropolitan Counties prepared by the Department's Office of Policy Development and Research to calculate the Low to Moderate Income Summary Data (LMSD). The Bureau of Census matches the income limits to the ACS surveys in a special tabulation in order to produce the estimates. Estimates are provided at three income levels: Low Income (50 percent); Moderate Income (80 percent); and Medium Income (120 percent). Additional Summary levels are also made available for city, town, county and Census Designated Places and Census Tract Divisions. Each block group record has an identification section containing Federal Information Processing Standards (FIPS) codes and names for the block group, census tract, county and state, plus the name, type, and the HUD Unit-of-Government-Identification Code for the Community Development Block Grant (CDBG) granted with jurisdiction over the block group area for FY 2018.

CVBG	CVBGNAME	STATE	COUNTY	CITY	COUNTYNAME	TRACT	BLSRT	LOWMED	LOWMEDPCT	LOWMEDPCT	Total by Census Tract	CVBG	Neighborhood Association
281901	Low Income	45	18	30	048	Douglas County	080101 2	645	17%	17%	64,570	1/1	MM
281902	Low Income	45	18	30	048	Douglas County	080101 2	900	24%	24%	10,339	1/1	MM
281903	Low Income	45	18	30	048	Douglas County	080101 2	242	7%	7%	43,411	1/1	MM
281904	Low Income	45	18	30	048	Douglas County	080101 2	181	5%	5%	49,190	1/1	NCA, Greenway, NCA
281905	Low Income	45	18	30	048	Douglas County	080101 2	2461	65%	65%	77,675	1/1	Brookside, EWN
281906	Low Income	45	18	30	048	Douglas County	080101 2	705	19%	19%	30,449	1/1	NCA, LMA
281907	Low Income	45	18	30	048	Douglas County	080101 2	312	8%	8%	52,478	1/1	Karlov, NCA, Wood on 19th
281908	Low Income	45	18	30	048	Douglas County	080101 2	833	22%	22%	76,818	1/1	NCA, Edgewood Tr
281909	Low Income	45	18	30	048	Douglas County	080101 2	841	22%	22%	34,175	1/1	NCA
281910	Low Income	45	18	30	048	Douglas County	080101 2	1602	42%	42%	50,478	1/1	Eden
281911	Low Income	45	18	30	048	Douglas County	080101 2	430	11%	11%	36,116	1/1	Eden
281912	Low Income	45	18	30	048	Douglas County	080101 2	1213	31%	31%	23,222	1/1	Eden
281913	Low Income	45	18	30	048	Douglas County	080101 2	1112	29%	29%	30,449	1/1	NCA, LMA
281914	Low Income	45	18	30	048	Douglas County	080101 2	24	0%	0%	10,376	1/1	Brookside, Oak, University Blvd
281915	Low Income	45	18	30	048	Douglas County	080101 2	833	22%	22%	50,478	1/1	Eden
281916	Low Income	45	18	30	048	Douglas County	080101 2	1892	49%	49%	16,175	1/1	NCA, EWN
281917	Low Income	45	18	30	048	Douglas County	080101 2	700	18%	18%	72,895	1/1	Eden
281918	Low Income	45	18	30	048	Douglas County	080101 2	1029	27%	27%	16,478	1/1	Eden
281919	Low Income	45	18	30	048	Douglas County	080101 2	1445	37%	37%	11,722	1/1	NCA, EWN, Greenway, University Heights, West Hills, Westwood
281920	Low Income	45	18	30	048	Douglas County	080101 2	412	11%	11%	31,248	1/1	Brookside, EWN
281921	Low Income	45	18	30	048	Douglas County	080101 2	430	11%	11%	11,818	1/1	Brookside, Galena, Montrose, South Broadway, West Lawrence
281922	Low Income	45	18	30	048	Douglas County	080101 2	428	11%	11%	16,811	1/1	Greenfield, University, South Broadway
281923	Low Income	45	18	30	048	Douglas County	080101 2	240	6%	6%	23,175	1/1	Eden
281924	Low Income	45	18	30	048	Douglas County	080101 2	600	16%	16%	9,926	1/1	Eden
281925	Low Income	45	18	30	048	Douglas County	080101 2	260	7%	7%	17,883	1/1	Eden
281926	Low Income	45	18	30	048	Douglas County	080101 2	144	4%	4%	11,875	1/1	Eden
281927	Low Income	45	18	30	048	Douglas County	080101 2	1445	37%	37%	13,389	1/1	Eden
281928	Low Income	45	18	30	048	Douglas County	080101 2	1327	34%	34%	11,349	1/1	Eden
281929	Low Income	45	18	30	048	Douglas County	080101 2	440	11%	11%	16,820	1/1	Eden
281930	Low Income	45	18	30	048	Douglas County	080101 2	740	19%	19%	18,175	1/1	Eden
281931	Low Income	45	18	30	048	Douglas County	080101 2	740	19%	19%	45,716	1/1	Eden
281932	Low Income	45	18	30	048	Douglas County	080101 2	555	14%	14%	41,475	1/1	Eden
281933	Low Income	45	18	30	048	Douglas County	080101 2	526	14%	14%	13,222	1/1	Eden
281934	Low Income	45	18	30	048	Douglas County	080101 2	305	8%	8%	13,848	1/1	Eden
281935	Low Income	45	18	30	048	Douglas County	080101 2	2427	62%	62%	40,578	1/1	Eden
281936	Low Income	45	18	30	048	Douglas County	080101 2	891	23%	23%	10,476	1/1	Eden
281937	Low Income	45	18	30	048	Douglas County	080101 2	1265	33%	33%	10,875	1/1	Eden
281938	Low Income	45	18	30	048	Douglas County	080101 2	1065	28%	28%	10,176	1/1	Eden
281939	Low Income	45	18	30	048	Douglas County	080101 2	414	11%	11%	10,478	1/1	Eden
281940	Low Income	45	18	30	048	Douglas County	080101 2	1445	37%	37%	10,888	1/1	Eden
281941	Low Income	45	18	30	048	Douglas County	080101 2	320	8%	8%	27,799	1/1	Eden
281942	Low Income	45	18	30	048	Douglas County	080101 2	312	8%	8%	24,238	1/1	Eden
281943	Low Income	45	18	30	048	Douglas County	080101 2	468	12%	12%	10,478	1/1	Eden
281944	Low Income	45	18	30	048	Douglas County	080101 2	1574	40%	40%	10,275	1/1	Eden
281945	Low Income	45	18	30	048	Douglas County	080101 2	642	17%	17%	44,826	1/1	Eden
281946	Low Income	45	18	30	048	Douglas County	080101 2	229	6%	6%	43,716	1/1	Eden
281947	Low Income	45	18	30	048	Douglas County	080101 2	1216	31%	31%	10,222	1/1	Eden
281948	Low Income	45	18	30	048	Douglas County	080101 2	229	6%	6%	18,248	1/1	Eden
281949	Low Income	45	18	30	048	Douglas County	080101 2	455	12%	12%	41,238	1/1	Eden
281950	Low Income	45	18	30	048	Douglas County	080101 2	400	10%	10%	17,876	1/1	Eden
281951	Low Income	45	18	30	048	Douglas County	080101 2	800	20%	20%	16,176	1/1	Eden
281952	Low Income	45	18	30	048	Douglas County	080101 2	441	11%	11%	13,475	1/1	Eden
281953	Low Income	45	18	30	048	Douglas County	080101 2	129	3%	3%	13,128	1/1	Eden
281954	Low Income	45	18	30	048	Douglas County	080101 2	1314	34%	34%	13,888	1/1	Eden
281955	Low Income	45	18	30	048	Douglas County	080101 2	500	13%	13%	13,748	1/1	Eden

A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

HOME funds are allocated for a First Time Homebuyer Program through the City's designated Community Housing Development Organization (CHDO), Tenants to Homeowners, Inc. (TTH) and for Lawrence Habitat for Humanity's homeowner program. The TTH First Time Homebuyer program is the Lawrence Community Housing Trust (LCHT). The LCHT was instituted in Lawrence to preserve long term affordable housing for Lawrence residents with low and moderate incomes. The City and TTH have long partnered in providing this homebuyer program. The City provides funding, technical assistance, and oversight to TTH and TTH in turn, does outreach for potential homebuyers, provides the pre-purchase education, and generally administers the program. The LCHT First Time Homebuyer Program supersedes the City's previous First Time Homebuyer Program, Homeowners Out of Tenants (HOOT).

As required by HOME regulations, to ensure affordability for the LCHT program, the City has elected to impose resale requirements. Current resale requirements of the program ensure that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low or moderate income family (50%-80% MFI paying no more than 38 percent of income for Principal, Interest, Property Taxes and Insurance), and will use the property as their principal residence.

Notification to Prospective Buyers. The resale policy is explained to the prospective homebuyer(s) prior to signing a contract to purchase the HOME-assisted unit. The prospective homebuyer(s) sign an acknowledgement that they understand the terms and conditions applicable to the resale policy as they have been explained. This document is included with the executed sales contract.

Enforcement of Resale Provisions. The resale policy is enforced through the use of a ground lease and occupancy requirements signed by the homebuyer(s) at closing. The ground lease specifies:

1. The length of the affordability period (based on the dollar amount of HOME funds invested in the unit; either 5, 10, or 15 years);
2. That the home remain the Buyer's principal residence throughout the affordability period; and
3. The conditions and obligations of the Owner should the Owner wish to sell before the end of the affordability period, including:
 - a. The Owner must contact Tenants to Homeowners in writing if intending to sell the home prior to the end of the affordability period;
 - b. The subsequent buyer must be low or moderate income as defined by the resale provisions, and occupy the home as his/her principal residence for the remaining years of the affordability period. (However, if the new purchaser receives assistance through a HOME-funded program, the affordability period will be re-set according to the amount of assistance provided);
 - c. The sales price must be affordable to the subsequent purchaser; affordability is defined as limiting the Principal, Interest, Taxes and Insurance (PITI) amount to no more than 38% of the new purchaser's monthly income; and
 - d. The Owner will receive a fair return on their investment as detailed in the resale provisions.

Fair Return on Investment. The City of Lawrence will administer its resale provisions by ensuring that the Owner receives a fair return on his/her investment and that the home will continue to be affordable to a specific range of incomes. Fair Return on Investment means the total homeowner investment which includes the total cash contributed, plus up to 25% of the dollar amount of appreciation as calculated by the Housing Price Index Calculator, plus the approved capital improvement credits as described below:

1. The amount of the down payment plus principal paid to date;
2. Up to 80% of the cost of any capital improvements, documented with receipts and pictures provided by the homeowner, including but not limited to:
 - a. Room addition (bedroom, bathroom, family room)
 - b. Refurbishment/modernization of kitchens or bathrooms, limited to built-in new appliances, cabinets, or flooring
 - c. Addition of porches or decks
 - d. Installation of new central air conditioning or new upgraded heating equipment
 - e. Major upgrading of electrical service or plumbing
 - f. Sprinkler system

Any and all of which must have been paid for directly by the Owner and which were not installed through a federal, state, or locally funded grant program. All applicable City of Lawrence building codes and permitting requirements must have been followed.

3. Up to 25% of the appreciation as calculated by the **Housing Price Index (HPI)** Calculator of the Federal Housing Finance Agency. The HPI Calculator is currently located at <http://www.fhfa.gov/DataTools/Tools/pages/hpi-calculator.aspx> and projects what a given house purchased at a point in time would be worth today if it appreciated at the average appreciation rate of all homes in the area. The calculation shall be performed for the Lawrence, KS Metropolitan Statistical Area (MSA).

It is important to note that in certain circumstances, such as a declining housing market where home values are depreciating, the original homebuyer may not receive a return on his/her investment because the home sold for less or the same price as the original purchase price.

A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The TTH First Time Homebuyer program is the Lawrence Community Housing Trust (LCHT). The LCHT was instituted in Lawrence to preserve long term affordable housing for Lawrence residents with low and moderate incomes. The City and TTH have long partnered in providing this homebuyer program. The City provides funding, technical assistance, and oversight to TTH and TTH in turn, does outreach for potential homebuyers, provides the pre-purchase education, and generally administers the program. The LCHT First Time Homebuyer Program supersedes the City's previous First Time Homebuyer Program, Homeowners Out of Tenants (HOOT). As required by HOME regulations, to ensure affordability for the LCHT program, the City has elected to impose resale requirements. Current resale requirements of the program ensure that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low or moderate income family (50%-80% MFI paying no more than 38 percent of income for Principal, Interest, Property Taxes and Insurance), and will use the property as their principal

residence. The affordability period is maintained by a ground lease agreement between the buyer and LCHT, and this agreement is protected with deed restrictions and a lien signed by the buyer.

Affordability to a Range of Buyers. The City of Lawrence will ensure continued affordability to a range of buyers, particularly those whose total household incomes range from 50% to no greater than 80% MFI. HUD will provide limits for affordable existing housing based on 95 percent of the median purchase price for the area using Federal FHA single family mortgage program data for existing housing data and other appropriate data that are available nation-wide for sales of existing housing, with a minimum limit based on 95 percent of the state-wide nonmetropolitan area median purchase price using this data. Homeownership Value limits may be found here:

<https://www.hudexchange.info/resource/2312/home-maximum-purchase-price-after-rehab-value/>

Example: A home with a 10-year affordability period was purchased nine years ago by the original homeowner who now wishes to sell. The original homeowner's mortgage was \$120,000, and the principal amount paid down so far is \$19,830 leaving a current mortgage balance of \$100,170.

Down Payment: The original homeowner was required to put down \$2,000 at the signing of the sales contract.

Cost of Capital Improvements: The original homeowner renovated the kitchen and provided pictures and receipts totaling \$5,000.

Appreciation/Depreciation of the property: The original purchase price of the home was \$122,000 and the amount of developer subsidy using HOME funds was \$20,000, thus requiring the 10 year affordability period. Using the HPI Calculator, the house would be worth approximately \$126,789 as of 1st Quarter 2015.

Calculating the Fair Return to the Original Owner:

Down payment:	\$2,000
Up to 80% of approved Capital Improvements	\$4,000
Principal paid to date:	\$19,830
Up to 25% of the appreciation per HPI:	\$447
	\$26,277 Fair Return

In order to realize a fair return to the original homeowner, the sales price must be set at \$126,447 (i.e., \$120,000 [\$19,830 in principal payments plus remaining mortgage balance of \$100,170] + \$2,000 down payment + \$4,000 capital improvements + \$447 HPI appreciation)

Affordability for a Range of Buyers: If the original homeowner sets the sales price at \$126,447 to get a fair return on investment and if current (2015) assumptions are used for front/back ratios, interest rates, insurance, taxes, an 80% Loan-to-Value (LTV) Ratio, etc., the monthly PITI would be approximately \$745. The PITI of \$745 could, in theory, be supported by an annual household income of \$23,520 and not exceed 38% of the subsequent homebuyer's monthly income. If the subsequent homeowner does not require any HOME subsidy to purchase the home, the original affordability period would end in one (1) year.

NOTICE OF PUBLIC HEARING

NOTICE OF PUBLIC HEARING FOR THE CITY OF LAWRENCE

2019 ACTION PLAN of the 2018 – 2022 CONSOLIDATED PLAN

Members of the Community Development Advisory Committee will conduct a public hearing on the 2019 Annual Action Plan of the 2018-2022 Consolidated Plan, including the 2019 Investment Summary, on Thursday, April 25, 2019 at 6:00 P.M. in the City Commission Room, First Floor, City Hall, 6 East 6th Street.

During the public hearing, the Advisory Committee will seek input regarding the 2019 Annual Action Plan and 2019 Investment Summary. The Action Plan and Consolidated Plan is required by the Cranston-Gonzalez National Affordable Housing Act of 1990 and is the result of a collaborative process whereby a community establishes a unified vision for community development using federal, state, and local funds. It should be noted that at the time of this hearing, the City may not have been notified by the US Department of Housing and Urban Development (HUD) of the final 2019 grant amounts. If this is the case, the City will work with estimated dollar amounts for the purposes of this hearing. A full explanation of the modification process when actual dollar amounts are ultimately awarded will be present in the Action Plan narrative.

The annual hearing is intended to provide the following:

1. An explanation and discussion of the City's five year Consolidated Plan. The Consolidated Plan provides a unified vision for community development. More specifically, it examines the housing needs of special populations, the availability of affordable housing, the condition of housing in the City, and the housing market. Additionally, the Plan examines the needs for infrastructure, capital improvements, and neighborhood development in the community. The Plan is a five-year strategy and includes an Action Plan and the one-year Investment Summary.

2. An opportunity to make public comments concerning the Consolidated Plan and Investment Summary.

Copies of the draft Action Plan and/or Investment Summary will be available April 24, 2019 in the Development Services Office, 1 Riverfront Plaza, Suite 320, or by calling 785-832-3108. The plan will also be available on the department web site at www.lawrenceks.org/pds. The public comment period for the Plan will be thirty (30) days from the date of the public hearing, until May 25, 2019.

The City encourages written comments on this document. They may be mailed to City of Lawrence Community Development Division, P.O. Box 708, Lawrence, KS 66044, or brought to the Department, 1 Riverfront Plaza, Suite 320, or emailed to dwalters@lawrenceks.org.

2019 Investment Summary follows:

<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">CDBG Public Services</th> <th style="text-align: right;">2018 Contingency</th> <th style="text-align: right;">\$0.00</th> </tr> </thead> <tbody> <tr> <td>Brook Creek Neighborhood Association</td> <td></td> <td></td> </tr> <tr> <td>Operating and Coordinator Expenses</td> <td style="text-align: right;">\$4,000</td> <td></td> </tr> <tr> <td>East Lawrence Neighborhood Association</td> <td></td> <td></td> </tr> <tr> <td>Operating and Coordinator Expenses</td> <td style="text-align: right;">\$4,000</td> <td></td> </tr> <tr> <td>Proctor Neighborhood Association</td> <td></td> <td></td> </tr> <tr> <td>Operating and Coordinator Expenses</td> <td style="text-align: right;">\$4,000</td> <td></td> </tr> <tr> <td>Schwinger Neighborhood Association</td> <td></td> <td></td> </tr> <tr> <td>Operating and Coordinator Expenses</td> <td style="text-align: right;">\$4,000</td> <td></td> </tr> <tr> <td>Quilley Park Neighborhood Association</td> <td></td> <td></td> </tr> <tr> <td>Operating and Coordinator Expenses</td> <td style="text-align: right;">\$4,000</td> <td></td> </tr> <tr> <td>Orthodox Churches of Northwest Kansas</td> <td></td> <td></td> </tr> <tr> <td>Lawrence Emergency Assistance Center</td> <td style="text-align: right;">\$10,071</td> <td></td> </tr> <tr> <td>Housing and Credit Counseling, Inc.</td> <td></td> <td></td> </tr> <tr> <td>Housing and Credit Counseling Program</td> <td style="text-align: right;">\$10,540</td> <td></td> </tr> <tr> <td>Lawrence Community Shelter, Inc.</td> <td></td> <td></td> </tr> <tr> <td>Operating Expenses</td> <td style="text-align: right;">\$48,541</td> <td></td> </tr> <tr> <td>The Salvation Army</td> <td></td> <td></td> </tr> <tr> <td>Emergency Services Council</td> <td style="text-align: right;">\$10,571</td> <td></td> </tr> <tr> <td>Success By 6 Coalition of Douglas County</td> <td></td> <td></td> </tr> <tr> <td>Mobile Assistance Fund</td> <td style="text-align: right;">\$7,371</td> <td></td> </tr> <tr> <td>Public Services Total</td> <td style="text-align: right;">\$160,000</td> <td></td> </tr> </tbody> </table>	CDBG Public Services	2018 Contingency	\$0.00	Brook Creek Neighborhood Association			Operating and Coordinator Expenses	\$4,000		East Lawrence Neighborhood Association			Operating and Coordinator Expenses	\$4,000		Proctor Neighborhood Association			Operating and Coordinator Expenses	\$4,000		Schwinger Neighborhood Association			Operating and Coordinator Expenses	\$4,000		Quilley Park Neighborhood Association			Operating and Coordinator Expenses	\$4,000		Orthodox Churches of Northwest Kansas			Lawrence Emergency Assistance Center	\$10,071		Housing and Credit Counseling, Inc.			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City of Lawrence

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Planning & Development Services | Community Development Division

(785) 832-3108

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Affidavit in Proof of Publication

STATE OF KANSAS
Douglas County

Kathleen Johnson of the Legal Dept. of the Lawrence Daily Journal-World being first duly sworn, deposes and says:

That this daily newspaper printed in the State of Kansas, and published in and of general circulation in Douglas County, Kansas, with a general paid circulation on a daily basis in Douglas County, Kansas, and that said newspaper is not a trade, religious or fraternal publication, and which newspaper has been admitted to the mails as periodicals class matter in said County, and that a notice of which is hereto attached, was published in the regular and entire issue of the Lawrence Daily Journal-World

Said newspaper is published daily 365 days a year; has been so published continuously and uninterruptedly in said county and state for a period of more than five years prior to the first publication of said notice and been admitted at the post office of Lawrence in said County as second class matter.

That the attached notice is a true copy thereof and was published in the regular and entire issue of said newspaper for 1 consecutive days/weeks the first publication thereof being made as aforesaid on 04/18/2019 with publications being made on the following dates:

04/18/2019

Kathleen Johnson

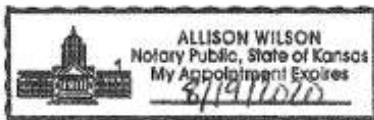
Subscribed and sworn to before me this 6th day of June, 2019.



Notary Public

My Appointment expires: 8/19/2020

Notary And Affidavit	\$0.00
Additional Copies	\$0.00
Publication Charges	\$907.20
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	\$907.20



RESOLUTION NO. 7288

A RESOLUTION AUTHORIZING THE MAYOR TO EXECUTE THE GRANT AGREEMENTS FOR THE 2019 COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) AND 2019 HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME) AND AUTHORIZING THE MAYOR TO SIGN SUCH OTHER DOCUMENTS, UNDERSTANDINGS, AND ASSURANCES AS MAY BE REQUIRED PURSUANT TO TITLE I OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974, AS AMENDED, AND TITLE II OF THE CRANTSON-GONZALEZ NATIONAL AFFORDABLE HOUSING ACT OF 1990, AS AMENDED.

WHEREAS, the City of Lawrence, Kansas is entitled to certain funds under Title I of the Housing and Community Development Act of 1974, as amended, and Title II of the Cranston-Gonzalez National Affordable Housing Act of 1990, as amended; and

WHEREAS, it is required that grant agreements for the 2019 Community Development Block Grant (CDBG) and the 2019 HOME Investment Partnerships Program (HOME) be executed; and

WHEREAS, it is required that an approved Five-Year Consolidated Plan for the 2018-2022 program years be on file, and an Annual Update to the Consolidated Plan for the 2019 program year be submitted to the U.S. Department of Housing and Urban Development; and

WHEREAS, it is required that certain other documents, understandings, and assurances be submitted to the U.S. Department of Housing and Urban Development.

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE CITY OF LAWRENCE, KANSAS:

That the Mayor is hereby authorized to execute the grant agreements for the 2019 CDBG and HOME programs and to sign certain other documents, understandings, and assurances required to be submitted to the U.S. Department of Housing and Urban Development, including but not limited to the following:

- a. Consolidated Plan and Annual Update
- b. CDBG and HOME Grant Applications
- c. HUD project Environmental Reviews, including ones prepared as Responsible Entity.
- d. Consolidated Annual Performance and Evaluation Report

all in accordance with Title I of the Housing and Community Development Act of 1974, as amended, and Title II of the Cranston-Gonzalez National Affordable Housing Act of 1990, as amended.

ADOPTED by the Governing Body of the City of Lawrence, Kansas, this 4th day of June 2019.

APPROVED:

ATTEST:

Lisa Larsen, Mayor

Sherri Riedemann City Clerk

2019 Investment Summary

CDBG Public Services	
Brook Creek Neighborhood Association	
Operating and Coordinator Expenses	\$4,800.00
East Lawrence Neighborhood Association	
Operating and Coordinator Expenses	\$4,800.00
Pinckney Neighborhood Association	
Operating and Coordinator Expenses	\$4,800.00
Schwegler Neighborhood Association	
Operating and Coordinator Expenses	\$4,800.00
Subtotal Neigh Public Service	\$19,200
Catholic Charities of Northeast Kansas	
Lawrence Emergency Assistance Center	\$11,667.00
Housing and Credit Counseling	
Housing and Credit Counseling Program	\$10,540.00
Lawrence Community Shelter	
Operating Expenses	\$48,730.00
The Salvation Army	
Emergency Service Council	\$10,573.00
Success By 6 Coalition of Douglas County	
Housing Assistance Fund	\$7,573.00
Subtotal Agency Public Service	\$89,083
Public Services Total	\$108,283.00

CDBG Non-Public Service	
Brook Creek Neighborhood Association	
20mph Pavement Markings	\$12,000.00
Lawrence Community Shelter	
Solar Photovoltaic	\$164,965.00
City of Lawrence (Public Works Division)	
Non-Motorized (Ped/Bike) Improvements	\$200,000.00
Community Living Opportunities	
Replace roof at 2113 Delaware St	\$53,535.00
Community Development Division (CDD)	
Housing Rehab/ EL/ FL/ Weatherization	\$268,607.00
Independence, Inc	
Accessible Housing Program (AHP)	\$49,500.00
Lawrence-Douglas County Housing Authority	
ConnectHomeUSA	\$25,000.00
Total Non-Public Service	\$773,607.00

CDBG Administration	
2018 CDBG Contingency	\$0.00
CDD Administration of CDBG	\$140,000.00
CDBG GRAND TOTAL	\$1,021,890.00

HOME Investment Partnerships Program	
LDCHA Tenant Based Rental Assistance	\$233,446.00
CHDO Set-Aside	\$60,298.00
CHDO Operating Expenses	\$20,069.00
First-Time Homebuyer Program	\$72,946.00
CDD Administration of HOME	\$40,198.00
HOME GRAND TOTAL	\$426,987.00

Funding Sources	
2019 CDBG Grant	\$721,890.00
2018 Contingency	\$0.00
Projected Program Income	\$50,000.00
Grant Reallocation	\$250,000.00
Total CDBG Grant Allocation	\$1,021,890.00
2019 HOME Grant	\$401,987.00
Projected Program Income	\$25,000.00
Grant Reallocation	\$0.00
Total HOME Grant Allocation	\$426,987.00

Totals	
Total CDBG Grant Allocation	\$1,021,890.00
Total HOME Grant Allocation	\$426,987.00
GRAND TOTAL, CDBG & HOME	\$1,448,877.00

City of Lawrence Citizen Participation Plan

1. INTRODUCTION

The City of Lawrence (City) is a federal entitlement jurisdiction that receives federal grant funding from the U.S. Department of Housing and Urban Development (HUD) for the following programs: Community Development Block Grant (CDBG) and HOME Investment Partnerships Program (HOME).

As a requirement for receiving the above named entitlement grants, the City is required to prepare the following documents:

- (a) [Consolidated Plan](#): A five year Consolidated Plan to identify local community development needs and set forth a funding strategy to address those needs.
- (b) [Annual Action Plan](#): An Annual Action Plan that summarizes the activities that will be undertaken in the upcoming Program Year (PY) to address the needs outlined in the Consolidated Plan.
- (c) [Consolidated Annual Performance Evaluation Report \(CAPER\)](#): A Performance Report that evaluates the progress during the previous PY in carrying out the activities outlined in the Annual Action Plan.
- (d) [Assessment of Fair Housing \(AFH\)](#): An assessment to identify local and regional fair housing issues and set goals for improving fair housing choice and access to opportunity. Assessment of Fair Housing uses the HUD provided Assessment of Fair Housing tool to guide grantees through the identification process of fair housing issues and related contributing factors.

Under HUD's Code of Federal Regulations for citizen participation (Title 24 CFR 91.105), the City is required to adopt a Citizen Participation Plan that sets forth the City's policies and procedures for citizen participation in the planning, execution, and evaluation of the Consolidated Plan, Annual Action Plan, CAPER, and Assessment of Fair Housing. The guidelines established in this Citizen Participation Plan apply to the development and adoption of all of the above-listed documents (hereafter referred to as "the Plans"). Each Plan individually describes the agencies, groups, organizations, and others who participated in the citizen participation and consultation process.

2. OBJECTIVE

The Citizen Participation Plan establishes standards to promote citizen participation in the development of the Plans and related documents. The Citizen Participation Plan is designed to especially encourage participation by low- and moderate-income persons. As an entitlement jurisdiction for the respective HUD programs, the City is responsible for the implementation and use of the Citizen Participation Plan. The requirements for citizen participation do not restrict the responsibility or authority of the City for the development and execution of its Plans.

3. CITIZEN PARTICIPATION (24 CFR 91.105)

The City provides for and encourages citizens to participate in the development of all the Plans covered by the Citizen Participation Plan. The City further encourages participation by low- and moderate-income persons, particularly those persons living in areas designated by the jurisdiction as a revitalization area or in a slum and blighted area and in areas where CDBG funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods, as defined by the City. The City will take appropriate actions to encourage the participation of all citizens, including minorities and non-English speaking persons, as well as persons with disabilities.

The City encourages the participation of local and regional institutions, Continuums of Care, and other organizations (including businesses, developers, nonprofit organizations, philanthropic organizations, and community-based and faith-based organizations) in the process of developing and implementing the Plans. The City encourages the participation of public and private organizations, including broadband internet service providers, organizations engaged in narrowing the digital divide, agencies whose primary responsibilities include the management of flood prone areas, public land or water resources, and emergency management agencies in the process of developing the Plans.

The City encourages, in conjunction with consultation with public housing agencies (PHA), the participation of residents of public and assisted housing developments (including any resident advisory boards, resident councils, and resident management corporations) in the process of developing and implementing the Plans, along with other low-income residents of targeted revitalization areas in which the developments are located. The City will make an effort to provide information to the PHA about the AFH, AFH strategy, and consolidated plan activities related to its developments and surrounding communities so that the PHA can make this information available at the annual public hearing(s) required for the PHA Plan.

The City will explore alternative public involvement techniques and quantitative ways to measure efforts that encourage citizen participation in a shared vision for change in communities and neighborhoods, and the review of program performance; e.g., use of focus groups and the Internet.

The City will provide citizens with a reasonable opportunity to comment on the Plans and on substantial amendments to the Plans, and will make the citizen participation plan public. The citizen participation plan will be in a format accessible to persons with disabilities, upon request.

The City will take reasonable steps to provide language assistance to ensure meaningful access to participation by non-English-speaking residents of the community. The City of Lawrence Community Development Division (CDD) Limited English Proficiency (LEP) Plan is established pursuant to and in accordance with Title VI of the Civil Right Act of 1964, Executive Order 13166, "Improving Access to Services for Persons With Limited English Proficiency," and the Department of Housing and Urban Development's (HUD) Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against

National Origin Discrimination Affecting Limited English Proficient Persons, dated January 22, 2007, and effective February 21, 2007. The current CDD LEP Plan is available for public review online at: https://lawrenceks.org/pds/reports_plans/.

4. CONSULTATION (24 CFR 91.100)

In the development of the Plans, the City will consult with other public and private agencies and organizations that provide assisted housing, health services, and social services (including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, homeless persons), neighborhood-based groups, community-based and regionally-based organizations that represent protected class members, organizations that enforce fair housing laws, broadband internet service providers, organizations engaged in narrowing the digital divide, agencies whose primary responsibilities include the management of flood prone areas, public land or water resources, and emergency management agencies.

When preparing the portions of the consolidated plan describing the City's homeless strategy and the resources available to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) and persons at risk of homelessness, the City will consult with:

- (a) The Continuum of Care that serves the City's geographic area;
- (b) Public and private agencies that address housing, health, social service, victim services, employment, or education needs of low-income individuals and families; homeless individuals and families, including homeless veterans; youth; and/or other persons with special needs;
- (c) Publicly funded institutions and systems of care that may discharge persons into homelessness (such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and
- (d) Business and civic leaders.

When preparing the portion of its consolidated plan concerning lead-based paint hazards, the City will consult with state or local health and child welfare agencies and examine existing data related to lead-based paint hazards and poisonings, including health department data on the addresses of housing units in which children have been identified as lead poisoned.

The City also will consult with adjacent units of general local government and local and regional government agencies, including local government agencies with metropolitan-wide planning and transportation responsibilities, particularly for problems and solutions that go beyond a single jurisdiction.

The City will consult with the Lawrence-Douglas County Housing Authority regarding consideration of public housing needs, planned programs and activities, the AFH,

strategies for affirmatively furthering fair housing, and proposed actions to affirmatively further fair housing in the consolidated plan.

A variety of mechanisms may be utilized to solicit input from the persons/service providers/agencies listed above. These include posting and/or mailing notices of public meetings and hearings, telephone or personal interviews, mail surveys, social media, internet-based feedback and consultation workshops.

5. AVAILABILITY OF DRAFT AND APPROVED DOCUMENTS

The draft versions of Consolidated Plans and Annual Action Plans will present to residents, public agencies, and other interested parties, information that includes the estimated amount the City expects to receive (including grant funds and program income) and the range of activities that may be undertaken, including the estimated amount that will benefit persons of low- and moderate-income.

The City will publish the proposed Plans in a manner that affords its residents, public agencies, and other interested parties a reasonable opportunity to examine the contents and to submit comments. The requirement for publishing will be met by publishing a summary of each document in one or more newspapers of general circulation. The summary will describe the content and purpose of the Plan, and will include a list of the locations where copies of the entire proposed document may be examined.

The draft and final versions of Consolidated Plans, Annual Action Plans, CAPERs, Assessments of Fair Housing, and Citizen Participation Plans, and all related amendments, will be made available for public review online at the City of Lawrence website: https://lawrenceks.org/pds/reports_plans/.

Hard copies of the documents will be available at the City of Lawrence Planning and Development Services Department, 1 Riverfront Plaza, Suite 320 Lawrence, KS 66044. The City will provide a reasonable number of free copies of the Plans to residents and groups that request it.

The City will provide residents of the community, public agencies, and other interested parties with reasonable and timely access to information and records relating to the Plans, and use of assistance under the programs covered by this plan during the preceding five years.

6. AMENDMENTS

Substantial Amendments will be necessary whenever any of the criteria under each Plan listed below is proposed. Substantial amendments to any of the Plans will be subject to Citizen Participation Plan requirements. The City will consider all substantial amendments at a public meeting. Notice of all public meetings and information regarding the proposed substantial amendment will be made by publishing a notice prior to the meeting, which will begin the 30-day public comment period. The City will consider any comments or views of residents of the community received in writing, or orally at public hearings, if any, in preparing any substantial amendment. The recommendation regarding a substantial amendment will be forwarded to the City Commission for discussion and

consideration of approval at a regularly scheduled meeting. If approved, the substantial amendment shall be attached to the plan, and submitted along with all public comments or views, to the local HUD office. Implementation of the amendment shall not occur before the expiration of the public comment period.

- (a) Consolidated Plan and Annual Action Plan Amendment Considerations: A Substantial Amendment will be made to the Consolidated Plan or Annual Action Plan whenever one of the following decisions is made:
- (i) To change the allocation priorities or a change in the method of distribution of funds.
 - (ii) To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income), not previously described in the plan.
 - (iii) To change the purpose of a previously approved activity. The following categories of purpose are established:
 - (A) Acquisition and/or Disposition of Real Property;
 - (B) Public Facilities and Improvements;
 - (C) Clearance;
 - (D) Public Services;
 - (E) Rehabilitation;
 - (F) Economic Development;
 - (G) Homeownership Assistance;
 - (H) Planning; and
 - (I) Program Administration
 - (iv) To change the scope of a previously approved activity. A change in scope will occur when the cost of the activity is reduced or increased by 50% or more, or when the quantity of the activity is reduced or increased by 50% or more.
 - (v) To change the location of a previously approved activity, when the change of location will cause the targeted group of beneficiaries to lose the benefit.
 - (vi) To change the beneficiaries of a previously approved activity, when the targeted groups of beneficiaries will no longer benefit, or when the percentage of low- and moderate-income beneficiaries will be less than the minimum required by federal law or regulation.

All other changes may be handled administratively and are not subject to the public hearing requirements of this Citizen Participation Plan. The following changes are considered administrative:

- (i) Proportional adjustments to previously approved activities to accommodate actual HUD allocation amounts (provided new subrecipients are not awarded funds).
 - (ii) Reallocation of funds, not exceeding an amount of \$100,000, between activities approved in the current or prior Annual Action Plan.
 - (iii) Reallocation of fund balance, in any amount, from a completed activity to another approved activity.
 - (iv) If the carry forward of unspent grant funds would inhibit the City's ability to meet the CDBG timeliness spending test, allocations of CDBG funding in total amounts less than \$250,000 in a single Program Year may be directed toward eligible City Projects/Programs within the same category of the unspent allocation. The Planning and Development Services Director may propose one-time CDBG-eligible City infrastructure projects to the City Manager for such previously allocated but unspent CDBG funding. Depending on project size and scope, the City Manager may bring such one-time projects directly to the City Commission for authorization as deemed necessary and appropriate for the timely expenditure of CDBG funds.
- (b) Citizen Participation Plan Amendment Considerations: A substantial amendment to the Citizen Participation Plan is defined as an addition or deletion of the plan's priorities or goals. All other changes may be handled administratively and are not subject to the public hearing requirements of this Citizen Participation Plan.
- (c) Assessment of Fair Housing Amendment Considerations: An AFH previously accepted by HUD must be revised and submitted to HUD for review under the following circumstances:
- (i) A material change occurs. A material change is a change in circumstances in the jurisdiction of a program participant that affects the information on which the AFH is based to the extent that the analysis, the fair housing contributing factors, or the priorities and goals of the AFH no longer reflect actual circumstances. Examples include Presidentially declared disasters, under title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, in the program participant's area that are of such a nature as to significantly impact the steps a program participant may need to take to affirmatively further fair housing; significant demographic changes; new significant contributing factors in the participant's jurisdiction; and civil rights findings, determinations, settlements (including Voluntary Compliance Agreements), or court orders; or

- (ii) Upon HUD's written notification specifying a material change that requires the revision.

The City shall provide residents with reasonable notice of a revision to the AFH and provide not less than 30 calendar days to receive comments from residents. Notice of a revision to the AFH will be made public by publishing a notice prior to the revised AFH being submitted to HUD for review, which will begin the 30 day written comment period. The City shall consider the comments or views of residents, whether received in writing or orally, in regard to a revision to the AFH. A summary of any comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the revision to the AFH.

7. PUBLIC HEARINGS, NOTIFICATION AND ACCESS

The City will follow the following procedure in conducting public hearings and providing notification and access to all Consolidated Plan and Annual Action Plan documents discussed in this Citizen Participation Plan:

- (a) Public Hearing Process: The City will conduct at least two public hearings per year to obtain citizens' views and comments, and to respond to proposals and questions. Such meetings will be conducted at a minimum of two different times of the program year and together will cover the following topics:
 - (i) Housing and Community Development Needs;
 - (ii) Development of Proposed Activities;
 - (iii) Proposed strategies and actions for affirmatively furthering fair housing consistent with the AFH; and
 - (iv) Review of Program Performance.

To obtain the views of residents of the community on housing and community development needs, including priority nonhousing community development needs and affirmatively furthering fair housing, the City will conduct at least one of these hearings before the Consolidated Plan is published for comment.

The City, at its discretion, may conduct additional outreach, public meetings, or public hearings as necessary to foster citizen access and engagement.

- (b) Public Hearing Notification: Notification of public hearings will be posted/printed at least two weeks prior to the meeting date. Noticing may include printing a public notice in newspaper(s) of general circulation in the City, website posting, email, and/or press releases.

Notices will include sufficient information about the subject of the hearing, including summaries when possible and appropriate, to permit informed comment.

- (c) Public Review/Comment Period: Public notices will be printed/posted prior to the commencement of any public review/comment period alerting citizens of the

documents for review. The minimum public review/comment period for each Plan is listed below:

Document	Public Comment Period
Consolidated Plan	30 days
Annual Action Plan	30 days
Substantial Amendments	30 days
CAPER	15 days
AFH	30 days
Citizen Participation Plan	30 days

Copies of all documents and notices will be available for public review at the City of Lawrence Planning and Development Services Department, 1 Riverfront Plaza, Suite 320 Lawrence, KS 66044, and on the City of Lawrence website: https://lawrenceks.org/pds/reports_plans/.

The City shall consider any comments or views of residents of the community received in writing or orally at all public hearings and/or meetings. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the Plans.

- (d) Access to Meetings: Unless otherwise noted, public hearings requiring City Commission action will be conducted at regularly scheduled City Commission meetings located at 6 E 6th Street, Lawrence, KS 66044. For public hearings and/or meetings not requiring City Commission action, the City will make every effort to conduct such meetings at a location accessible and convenient to potential and actual beneficiaries.

The City will provide residents of the community with reasonable and timely access to local meetings, consistent with accessibility and reasonable accommodation requirements, in accordance with section 504 of the Rehabilitation Act of 1973, the regulations at 24 CFR part 8, the Americans with Disabilities Act, and the regulations at 28 CFR parts 35 and 36, as applicable.

If an attendee or participant at a public hearing and/or meeting needs special assistance beyond what is normally provided, the City will attempt to accommodate such persons in every reasonable manner.

- (e) Technical Assistance: The City will provide for technical assistance to groups representative of persons of low- and moderate-income that request such assistance in commenting on the Plans and in developing proposals for funding assistance under any of the programs covered by the consolidated plan, with the level and type of assistance determined by the City. The assistance need not include the provision of funds to the groups.
- (f) Complaints: A complaint regarding any of the Plans and related documents covered by this Citizen Participation Plan must be submitted in writing to the

Planning and Development Services Director, 1 Riverfront Plaza, Suite 320
Lawrence, KS 66044.

Community Development Division staff will assist the complainant with the preparation of written complaints and/or advise the complainant of other sources of technical assistance. All complaints shall be submitted on a Complaint Form provided by the Community Development Division and shall be signed by the complainant.

Pursuant to 24 CFR 91.105(j), the City will provide a timely, substantive written response to every written resident complaint within 15 working days, where practicable.

- (g) Individuals with Limited English Proficiency: The City will take reasonable steps to provide language assistance to ensure meaningful access to public hearings where a significant number of non-English speaking residents can be reasonably expected to participate. If an individual or participant with Limited English Proficiency needs assistance beyond what is normally provided, the City will attempt to accommodate their request in every reasonable manner.

The City of Lawrence Community Development Division (CDD) Limited English Proficiency (LEP) Plan is established pursuant to and in accordance with Title VI of the Civil Right Act of 1964, Executive Order 13166, "Improving Access to Services for Persons With Limited English Proficiency," and the Department of Housing and Urban Development's (HUD) Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons, dated January 22, 2007, and effective February 21, 2007. The current CDD LEP Plan is available for public review online at: https://lawrenceks.org/pds/reports_plans/.

- (h) In the Event of an Emergency: In the event of an unforeseen and unpreventable event that renders in-person public gathering impossible or inadvisable, such as a natural disaster or pandemic, public hearings may be held as a virtual meeting. Meeting formats may include webinars, webcasts, telecasts, radio or audio broadcasts, or other virtual communication tools as appropriate for the meeting format. Virtual meetings must provide, at a minimum, an opportunity for the public to submit public questions and for the presenter or public officials to make public response, or an acknowledgement of receipt of the comments. All questions and responses must be documented, either via text, such as meeting minutes or chat scripts, or recorded, or as appropriate for the meeting format. Virtual meetings must be publicly noticed, and in the event traditional noticing tools are unavailable or will not effectively reach the public, alternative public noticing efforts must be made and documented.

In the event of an emergency, the following alternatives may be instituted by the City:

- (i) The public comment and display period for the Consolidated Plan and/or Annual Action Plan and any amendment thereto will be consistent with HUD's requirements;
- (ii) Draft documents for public comment and review will be made available on the City's website at https://lawrenceks.org/pds/reports_plans/. Copies of the draft documents will be e-mailed upon request, if possible;
- (iii) Public meetings may be held as virtual meetings using online platforms for public viewing with the option for real-time questions to be presented; and/or
- (iv) The City may opt to hold one public hearing during the Consolidated Plan/Annual Plan process and its second required public hearing during the CAPER process for the same program year if a virtual hearing is not feasible.

8. ANTIDISPLACEMENT AND RELOCATION PLAN

The City seeks to minimize, to the greatest extent feasible, the involuntary displacement, whether permanently or temporarily, of persons (families, individuals, businesses, nonprofit organizations, or farms) from projects funded with CDBG or HOME involving single or multi-family rehabilitation, acquisition, commercial rehabilitation, demolition, economic development, or capital improvement activities.

Projects that the City deems beneficial but that may cause displacement may be recommended and approved for funding only if the City demonstrates that such displacement is necessary and vital to the project and that they take efforts to reduce the number of persons displaced. Further, it must be clearly demonstrated that the goals and anticipated accomplishments of a project outweigh the adverse effects of displacement imposed on persons who must relocate.

This section describes the City's Residential Antidisplacement and Relocation Assistance Plan and how it will assist persons who will be temporarily relocated or permanently displaced due to the use of HUD funds. This plan takes effect whenever the City sponsors projects using CDGB or HOME funds that involve property acquisition or the demolition or conversion of low- and moderate-income dwelling units.

- (a) Minimizing Displacement: The City will take reasonable steps to minimize displacement occurring as a result of its CDBG and HOME activities. This means that the City will:
 - (i) Consider if displacement will occur as part of funding decisions and project feasibility determinations;
 - (ii) Assure, whenever possible, that occupants of buildings to be rehabilitated are offered an opportunity to return;

- (iii) Plan substantial rehabilitation projects in "stages" to minimize displacement; and
 - (iv) Meet all HUD notification requirements so that affected persons do not move because they have not been informed about project plans and their rights.
- (b) Relocation Assistance for Displaced Persons: Consistent with the goals and objectives of the CDBG and HOME programs, the City will take all reasonable steps necessary to minimize displacement of persons, even temporarily. If displacement occurs, the City will provide relocation assistance for lower income tenants who, in connection with an activity assisted under the CDBG and/or HOME Program(s), move permanently or move personal property from real property as a direct result of the demolition of any dwelling unit or the conversion of a lower-income dwelling unit in accordance with the requirements of 24 CFR 42.350. A displaced person who is not a lower-income tenant, will be provided relocation assistance in accordance with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR Part 24.
- (c) One-For-One Replacement of Lower-Income Dwelling Units: The City will replace all occupied and vacant occupiable lower-income dwelling units that are demolished or converted to a use other than as lower-income dwelling units in connection with a project assisted with funds provided under the CDBG and/or HOME Program(s).

Before entering into a contract committing the City to use HUD funds on a project that will directly result in demolition of lower-income dwelling units or the conversion of lower-income dwelling units to another use, the City will make public, by providing a notice that such information is available at the City of Lawrence Planning and Development Services Department, and submit to HUD, a One-for-One Replacement Plan that contains the following information in writing:

- (i) A description of proposed assisted activity;
- (ii) The location on a map and number of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than for lower-income dwelling units as a direct result of the assisted activity;
- (iii) A time schedule for the commencement and completion of demolition or conversion;
- (iv) The location on a map and number of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units. If such data are not available at the time of the general submission, the submission shall identify the general location on an area map and the approximate number of dwelling units by size, and information identifying the specific

location and number of dwelling units by size shall be submitted and disclosed to the public as soon as it is available;

- (v) The source of funding and a time schedule for the provision of replacement dwelling units;
- (vi) The basis for concluding that each replacement dwelling unit will remain a lower-income dwelling unit for at least 10 years from date of initial occupancy; and
- (vii) Information demonstrating that any proposed replacement of dwelling units with smaller dwelling units (e.g., a 2-bedroom unit with two 1-bedroom units) is consistent with the needs assessment contained in its HUD-approved consolidated plan.

Under 24 CFR 42.375(d), the City may submit a request to HUD for a determination that the one-for-one replacement requirement does not apply, based on objective data, that there is an adequate supply of vacant lower-income dwelling units in standard condition available on a non-discriminatory basis within the area.

9. ASSESSMENT OF FAIR HOUSING

During the development of the Assessment of Fair Housing (AFH), the City of Lawrence will:

- (a) Encourage citizens to participate in the development of the AFH and any revisions of the AFH.
- (b) Encourage the participation of Continuums of Care, businesses, developers, nonprofit organizations, philanthropic organizations, and community-based and faith-based organizations, in the process of developing and implementing the AFH.
- (c) Encourage, in conjunction with public housing agency consultations, participation of residents of public and assisted housing developments, including any resident advisory boards, resident councils, and resident management corporations, in the process of developing and implementing the AFH, along with other low-income residents of targeted revitalization areas in which the developments are located.
- (d) Take reasonable steps to provide language assistance to ensure meaningful access to participation by non-English-speaking, limited English proficiency residents, and persons with disabilities.
- (e) Make available to the public, residents, public agencies, and other interested parties any HUD-provided data and the other supplemental information the City plans to incorporate into its AFH at the start of the public participation process (or as soon as feasible after).
- (f) Provide for at least one public hearing during the development of the AFH, and provide not less than 30 calendar days to receive comments from residents.

- (g) Provide residents of the community with reasonable and timely access to local meetings, consistent with accessibility and reasonable accommodation requirements, in accordance with section 504 of the Rehabilitation Act of 1973 and implementing regulations at 24 CFR part 8 as well as the Americans with Disabilities Act and implementing regulations at 28 CFR part 35 and 36, as applicable.
- (h) Publish the proposed AFH in a manner that affords its residents, units of general local government, public agencies, and other interested parties a reasonable opportunity to examine its content and to submit comments.
- (i) A summary which describes the content and purpose of the AFH, and includes a list of locations where copies of the entire propose document may be examined, will be made public by publishing a display ad in one or more newspapers of general circulation, and by making copies of the AFH available on the City of Lawrence website at https://lawrenceks.org/pds/reports_plans/.
- (j) Provide for technical assistance to groups representative of persons of low-and-moderate income that request such assistance to comment on the AFH.
- (k) Consider the comments or views of residents, whether received in writing or orally at the public hearing, in preparing the final AFH. A summary of any comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the final AFH.
- (l) Provide a reasonable number of free copies of the AFH to residents and groups that request a copy.
- (m) Provide a timely, substantive written response to every written resident complaint related to the AFH and any revisions of the AFH, within an established period of time (normally within 15 working days, where practicable).

Affidavit in Proof of Publication

STATE OF KANSAS
Douglas County

Emily Meisenheimer of the Legal Dept. of the Lawrence Daily Journal-World being first duly sworn, deposes and says

That this daily newspaper printed in the State of Kansas, and published in and of general circulation in Douglas County, Kansas, with a general paid circulation on a daily basis in Douglas County, Kansas, and that said newspaper is not a trade, religious or fraternal publication, and which newspaper has been admitted to the mails as periodicals class matter in said County, and that a notice of which is hereto attached, was published in the regular and entire issue of the Lawrence Daily Journal-World

Said newspaper is published daily 365 days a year; has been so published continuously and uninterrupted in said county and state for a period of more than five years prior to the first publication of said notice and been admitted at the post office of Lawrence in said County as second class matter.

That the attached notice is a true copy thereof and was published in the regular and entire issue of said newspaper for 1 consecutive days/weeks the first publication thereof being made as aforesaid on 04/16/2020 with publications being made on the following dates:

04/16/2020



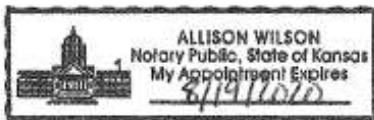
Subscribed and sworn to before me this 16th day of April, 2020.



Notary Public

My Appointment expires: 8/19/2020

Notary And Affidavit	\$0.00
Additional Copies	\$0.00
Publication Charges	\$113.42
	\$113.42



(Published in the Lawrence Daily Journal-World on 16th of April, 2020)

NOTICE OF PUBLIC COMMENT PERIOD FOR THE CITY OF LAWRENCE

CITIZEN PARTICIPATION PLAN

Notice is hereby given that the City of Lawrence Community Development Division is offering citizens the opportunity to make comments on a document relating to Community Development Block Grant (CDBG) funding and HOME Investment Partnership Program funding (HOME).

1. The proposed revision of the city's Citizen Participation Plan.

The City of Lawrence (City) is a federal entitlement jurisdiction that receives federal grant funding from the U.S. Department of Housing and Urban Development (HUD) for the following programs: Community Development Block Grant (CDBG) and HOME Investment Partnership Program (HOME).

Under HUD's Code of Federal Regulations for citizen participation (Title 24 CFR 91.105), the City is required to adopt a Citizen Participation Plan that sets forth the City's policies and procedures for citizen participation in the planning, execution, and evaluation of the Consolidated Plan, Annual Action Plan, CAPER, and Assessment of Fair Housing. The guidelines established in the draft Citizen Participation Plan apply to the development and adoption of all of the

above-listed documents hereafter referred to as "the Plans". Each Plan individually describes the agencies, groups, organizations, and others who participated in the citizen participation and consultation process. This plan has been updated with language specific to grant procedures in the event of a disaster.

Copies of the draft Citizen Participation Plan will be available on the department web site at https://lawrenceks.org/gd/s/reports_plans/ on April 16, 2020. The public comment period for the Plan will be five (5) days from the date of this notice, until April 22, 2020.

The City encourages written comments on this document. They may be emailed to dwalters@lawrenceks.org.

Affidavit in Proof of Publication

STATE OF KANSAS
Douglas County

Emily Meisenheimer of the Legal Dept. of the Lawrence Journal-World being first duly sworn, deposes and says:

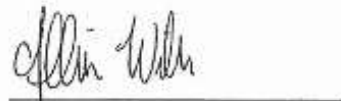
That this daily newspaper printed in the State of Kansas, and published in and of general circulation in Douglas County, Kansas, with a general paid circulation on a daily basis in Douglas County, Kansas, and that said newspaper is not a trade, religious or fraternal publication, and which newspaper has been admitted to the mails as periodicals class matter in said County, and that a notice of which is hereto attached, was published in the regular and entire issue of the Lawrence Journal-World

Said newspaper is published daily 365 days a year, has been so published continuously and uninterruptedly in said county and state for a period of more than five years prior to the first publication of said notice and been admitted at the post office of Lawrence in said County as a second class matter.

That the attached notice is a true copy thereof and was published in the regular and entire issue of said newspaper for 1 consecutive days/weeks the first publication thereof being made as aforesaid on 05/22/2020 with publications being made on the following dates:

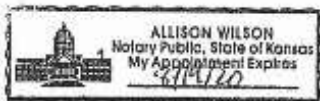
05/22/2020


Subscribed and sworn before me this
26th day of May, 2020.



Notary Public
My appointment expires 08/19/2020.

Notary and Affidavit	\$	0.00
Additional Copies	\$	0.00
Publication Charges	\$	285.24
Total	\$	285.24



**CITY OF LAWRENCE
2020 CDBG-CV SUBSTANTIAL AMENDMENT
TO THE
2019 CONSOLIDATED PLAN**

The Lawrence City Commission will consider authorizing a Substantial Amendment to the 2019 Consolidated Plan and Investment Summary for the 2019 CDBG-CV (CDBG) Program at their regular meeting on Tuesday, June 2, 2020, in the City Commission Room, City Hall, 6 East 6th Street. City Commission meetings begin at 5:45 PM.

Due to the Coronavirus Social Distancing, there is a chance that the meeting will be held virtually. If this is the case, the agenda notification disseminated on Thursday, May 28, 2020 will contain information about joining the meeting. If you would like City staff to forward the meeting information please contact Danielle Walters at dwalters@lawrenceks.org.

Substantial Amendment to the 2019 CDBG Action Plan (August 1, 2019 – July 31, 2020)

This Substantial Amendment to the City of Lawrence 2019 Action Plan is due to The Coronavirus Act (CARES Act) and Economic Security Act (ESES Act) Public Law. This law made available \$5 billion in supplemental Community Development Block Grant (CDBG) funding for grants to prevent, prepare for, and respond to coronavirus (CDBG-CV grants). Additionally, the CARES Act provides CDBG grants with flexibilities that make it easier to use CDBG-CV grants and fiscal years 2019 and 2020 CDBG Grants for coronavirus response and activities HUD to grant waivers and alternative requirements.

The City of Lawrence has received an allocation of \$446,184 under CDBG-CV funding as an available resource which will be applied to the 2019 Annual Action Plan. Distributions of fee items for the funding will be amended upon guidance from the Department of Housing and Urban Development.

Grantees have been advised to amend or prepare their plans as soon as possible and not to wait for the pending Federal Register notice, which may provide additional waivers and alternative requirements. Similarly, grantees have been advised not to wait for HUD to allocate the remaining nearly \$5 billion of the \$5 billion provided by the CARES Act for the CDBG program. Upon publication of the Federal Register notice and subsequent alternatives, Lawrence will then amend our Action Plan accordingly.

HUD has advised Grantees that they should proceed with all amendments and plans under these new provisions by adding the CDBG-CV allocation in their plans as an available resource for the 2019 fiscal year. The CARES Act permits HUD to waive, or specify alternative requirements for, any provision of any statute or regulation that the Secretary administers in connection with the CDBG-CV funds, FY2019 and FY2020 CDBG funds (except for requirements related to fair housing, nondiscrimination, inter standards, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary to expedite or facilitate the use of such amounts to prevent, prepare for, and respond to coronavirus.

To expedite grantees' use of CDBG-CV funds, HUD is waiving the requirements at 42 U.S.C. 12902(a)(2) to the extent it requires updates to the housing and homeless needs assessment, housing needs analysis, and strategic plan and 24 CFR 91.222 and 91.220 to the extent the action plan is limited to a specific program year to permit grantees to prepare substantial amendments to their most recent annual action plan, including their 2019 annual action plan. Grantees must identify the proposed use of all funds and how the funds will be used to prevent, prepare for, and respond to coronavirus.

Allocation of CDBG-CV funds:

The City of Lawrence will designate the \$446,184 award of CDBG-CV funds accordingly and further notices are referred to the following services:

MEW	\$446,184	Identified Capital Improvement Needs for the Lawrence Community Shelter for serving a vulnerable population in response to COVID-19. Projects include Janitor to allocation areas (Isolation and Recovery Space Renovel, Bathroom Re-Construction, Install Disp. Cofings, HVAC Unit and Ductwork Replacement).
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The Department of Housing and Urban Development has also waived 24 CFR 91.505 to the extent necessary to permit the use of the CDBG-CV funds to the extent necessary to require submission of the substantial amendment to HUD for review in accordance with 24 CFR 91.505.

To receive a CDBG-CV grant, Lawrence Community Development Division will submit to HUD a SF-424, SF-424D and the certifications at 24 CFR 91.223(a) and (b) or 24 CFR 91.325(a) and (b).

Any comments or questions on this substantial amendment can be addressed to: Danielle Walters, Community Development Division Manager, City of Lawrence, PO Box 780, Lawrence, KS 66044. Due to the closure of the City Hall at this time in response to the COVID-19 pandemic, please email your comments to dwalters@lawrenceks.org. Public Comments should be submitted by May 29, 2020.

The Public Comment period has been extended as per Amendment and Resolution to the Consolidated Plan/Citizen Participation Plan from a 30 day Public Comment Period to a 5 day Public Comment Period in response to the COVID-19 Pandemic.

Affidavit in Proof of Publication

STATE OF KANSAS
Douglas County

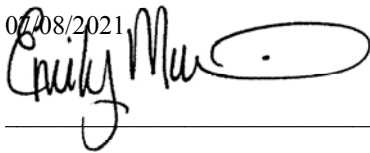
Emily Meisenheimer of the Legal Dept. of the Lawrence Daily Journal-World being first duly sworn, deposes and says:

That this daily newspaper printed in the State of Kansas, and published in and of general circulation in Douglas County, Kansas, with a general paid circulation on a daily basis in Douglas County, Kansas, and that said newspaper is not a trade, religious or fraternal publication, and which newspaper has been admitted to the mails as periodicals class matter in said County, and that a notice of which is hereto attached, was published in the regular and entire issue of the Lawrence Daily Journal-World

Said newspaper is published six days per week, 52 weeks per year; has been so published continuously and uninterruptedly in said county and state for a period of more than five years prior to the first publication of said notice and been admitted at the post office of Lawrence in said County as second class matter.

That the attached notice is a true copy thereof and was published in the regular and entire issue of said newspaper for 1 consecutive days/weeks the first publication thereof being made as aforesaid on 07/08/2021 with publications being made on the following dates:

07/08/2021



Subscribed and sworn to before me this 8th day of July, 2021.



Notary Public

My Appointment expires: 8/19/2024

Notary And Affidavit	\$0.00
Additional Copies	\$0.00
Publication Charges	\$391.72
	\$391.72

(Published in the Lawrence Daily Journal-World on 8th of July, 2021)

CITY OF LAWRENCE

**2021 CDBG-CV
SUBSTANTIAL AMENDMENT
TO THE 2019
CONSOLIDATED PLAN
Date: July 8, 2021**

The Lawrence City Commission will consider authorizing a Substantial Amendment to the 2019 Consolidated Plan and Investment Summary for the CDBG-CV (COVID) Program at their regular meeting on Tuesday, July 20, 2021, in the City Commission Room, City Hall, 6 East 6th Street. City Commission meetings begin at 5:45 PM.

The Lawrence City Commission will begin holding hybrid meetings on Tuesday, July 6, which means attendees can choose whether to attend in-person or virtually. City Commissioners will be in-person at City Hall. People may join the meeting virtually by Zoom or in-person. Some staff will be present in the room while others will participate virtually. Virtual participation will continue to be allowed for any participant, including staff, board and commission members, and the public.

City staff adapted the City Commission meeting room layout to accommodate hybrid meetings. Audience chairs will be separated by six feet of distance and the City Hall lobby will also be set up for a limited number of members of the public to view meetings in person.

Unvaccinated people will be required to wear a mask. Masks are optional for vaccinated people. This conforms with existing city policy and public health recommendations.

Those who want to provide public comment may continue to do so virtually or by attending the meeting in-person. For in-person participants who are required to or choose to wear a mask, masks may be removed while making remarks at the podium. If you would like City staff to forward the meeting information please contact Danelle Walters at dwalters@lawrenceks.org.

**Substantial Amendment
to the 2019 CDBG Action
Plan**

This Substantial Amendment to the City of Lawrence 2019 Action Plan is due to The Coronavirus Aid, Relief and Economic Security Act (CARES Act) Public Law. This law made available \$5 billion in supplemental Community Development Block Grant (CDBG) funding for grants to prevent, prepare for, and respond to coronavirus (CDBG-CV grants). Additionally, the CARES Act provides CDBG grantees with flexibilities that make it easier to use CDBG-CV grants and fiscal years 2019 and 2020 CDBG Grants for coronavirus response and authorizes HUD to grant waivers and alternative requirements.

The City of Lawrence has received a second allocation of \$504,066 under CDBG-CV funding as an available resource which will be applied to the 2019

Annual Action Plan.

Distributions of line items for the funding will be amended upon guidance from the Department of Housing and Urban Development.

To expedite grantees' use of CDBG-CV funds, HUD is waiving the requirements at 42 U.S.C. 12705(a)(2) to the extent it requires updates to the housing and homeless needs assessment, housing market analysis, and strategic plan and 24 CFR 91.220 and 91.320 to the extent the action plan is limited to a specific program year to permit grantees to prepare substantial amendments to their most recent annual action plan, including their 2019 annual action plan. Grantees must identify the proposed use of all funds and how the funds will be used to prevent, prepare for, and respond to coronavirus.

**Allocation of CDBG-CV
funds:**

The City of Lawrence will designate the \$504,066 award of CDBG-CV funds accordingly until and if further notices are received to the following services:

**NEW
Up to \$120,000
Homeless Outreach
operations (downtown
Lawrence focus)**

The number of citizens in the community experiencing homelessness locally was exacerbated by the COVID-19 pandemic. The impacts are being felt especially in the downtown Lawrence area and has been a point of pandemic response for the down-



Affidavit in Proof of Publication

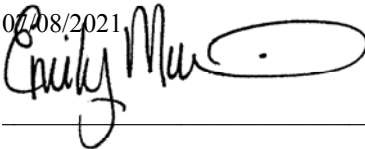
STATE OF KANSAS
Douglas County

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Said newspaper is published six days per week, 52 weeks per year; has been so published continuously and uninterruptedly in said county and state for a period of more than five years prior to the first publication of said notice and been admitted at the post office of Lawrence in said County as second class matter.

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07/08/2021


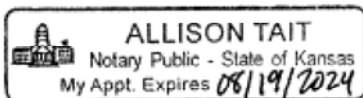
Subscribed and sworn to before me this 8th day of July, 2021.



Notary Public

My Appointment expires: 8/19/2024

Notary And Affidavit	\$0.00
Additional Copies	\$0.00
Publication Charges	\$391.72
	\$391.72



town residential and business community. This project will enhance the city's Homeless Outreach Team to provide up to two new outreach workers to interact with and work with those experiencing homelessness specifically in the downtown Lawrence area. The Outreach Team will work with Housing and Service Navigators to link those on the streets to service providers administering other funding such as Rapid Re-housing and emergency assistance programs, among others. Up to 20% of the funds may be used for eligible administration costs.

NEW
Up to \$120,000
Community Navigator Positions (up to two positions - focused on elements of COVID-19 Response)
The Community Navigator position(s) will provide a source for assisting the low-mod income community, seniors, and those with disabilities, among others, with COVID-19 pandemic response logistical items such as (but not limited to) vaccinations, transportation, testing services, and translation services. Up to 20% of the funds may be used for eligible administration costs. Funds may also be used to provide training in the area of Diversity, Equity, and Inclusion.

NEW
Up to \$100,000
Housing Stabilization Collaborative (HSC) Emergency rent stabilization
The HSC works with income eligible renters to provide emergency funds

to stabilize their rental situation and provide assistance to enable them to avoid eviction. The local service providers acknowledge that the COVID-19 pandemic has put many renters in precarious housing situations and many are on the verge of losing their housing with no alternative living situations. These funds function as homeless prevention funds. Up to 20% of the funds may be used for eligible administration costs.

NEW
Up to \$164,000
Childcare Services Project
This project works with access to affordable, quality child care which is essential for working parents to enter, re-enter or stay in the workforce. The COVID-19 pandemic exacerbated what was already a significant challenge for families with young children. Early childhood community stakeholders seek CDBG funding to support early childhood system improvements including the creation of additional child care spots for low to moderate income families whose child care was disrupted by COVID.

The City of Lawrence may move funding between the programs and project areas as allowed under the Citizen Participation Plan. As the programs proceed and the pandemic response moves forward there may be opportunity to move funds between the programs to where the funding will be best utilized. Individual project funding may exceed the estimated amounts listed in this notice.

The Department of Housing and Urban Development has also waived 24 CFR 91.505 to facilitate the use of the CDBG-CV funds to the extent necessary to require submission of the substantial amendment to HUD for review in accordance with 24 CFR 91.500.

To receive a CDBG-CV grant, Lawrence Community Development Division will submit to HUD a SF-424, SF 424D and the certifications at 24 CFR 91.225(a) and (b) or 24 CFR 91.325(a) and (b).

Any comments or questions on this substantial amendment can be addressed to: Danelle Walters, Community Development Division Manager, City of Lawrence, PO Box 780, Lawrence, KS 66044. **Due to the closure of the City Hall at this time in response to the COVID-19 pandemic, please email your comments to dwalters@lawrenceks.org** Public Comments should be submitted by July 16, 2021.

The Public Comment period has been amended as per Amendment and Resolution to the Consolidated Plan/Citizen Participation Plan from a 30 day Public Comment Period to a 5 day Public Comment Period in response to the COVID-19 Pandemic.

Danelle Walters

From: Tom Birt <birt.tom@gmail.com>
Sent: Friday, May 22, 2020 4:50 PM
To: Danelle Walters
Subject: CARES Act allocation

Yes, improve the Community Shelter.
Please consult with its employees regarding design, etc.
Their safety and levels of stress are foundational to the well-being of *everybody* there.
Sincerely,
Tom Birt
930 Missouri

2019 Investment Summary - Substantial Amendment 1

CDBG Public Services	
Brook Creek Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
East Lawrence Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
Pinckney Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
Schwegler Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
Subtotal Neigh. Public Service	\$18,200
Catholic Charities of Northeast Kansas	
Lawrence Emergency Assistance Center	\$11,667
Housing and Credit Counseling	
Housing and Credit Counseling Program	\$10,540
Lawrence Community Shelter	
Operating Expenses	\$48,730
The Salvation Army	
Emergency Service Council	\$10,573
Success By 6 Coalition of Douglas County	
Housing Assistance Fund	\$7,573
Subtotal Agency Public Service	\$88,083
Public Services Total	\$108,283
CDBG Non-Public Service	
Brook Creek Neighborhood Association	
20mph Pavement Markings	\$12,000
Lawrence Community Shelter	
Solar Photovoltaic	\$164,965
City of Lawrence (Public Works Division)	
Non-Motorized (Ped/Bike) Improvements	\$200,000
Community Living Opportunities	
Replace roof at 2113 Delaware St	\$53,535
Community Development Division (CDD)	
Housing Rehab/ EU/ FL/ Weatherization	\$268,607
Independence, Inc	
Accessible Housing Program (AHP)	\$49,500
Lawrence-Douglas County Housing Authority	
ConnectHomeUSA	\$25,000
Total Non-Public Service	\$773,607
CDBG Administration	
2018 CDBG Contingency	\$0
CDD Administration of CDBG	\$140,000
CDBG GRAND TOTAL	\$1,021,890
CDBG-CV	
Lawrence Community Shelter	
Capital Improvement Needs for COVID-19	\$446,184
CDBG GRAND TOTAL	\$446,184
HOME Investment Partnerships Program	
LDCHA Tenant Based Rental Assistance	\$233,446
CHDO Set-Aside	\$60,298
CHDO Operating Expenses	\$20,099
First-Time Homebuyer Program	\$72,946
CDD Administration of HOME	\$40,198
HOME GRAND TOTAL	\$426,987
Funding Sources	
2019 CDBG Grant	\$721,890
2018 Contingency	\$0
Projected Program Income	\$50,000
Grant Reallocation	\$250,000
Total CDBG Grant Allocation	\$1,021,890
2020 CDBG-CV	\$446,184
Total CDBG-CV Grant Allocation	\$446,184
2019 HOME Grant	\$401,987
Projected Program Income	\$25,000
Grant Reallocation	\$0
Total HOME Grant Allocation	\$426,987
Totals	
Total CDBG Grant Allocation	\$1,021,890
Total CDBG-CV Grant Allocation	\$446,184
Total HOME Grant Allocation	\$426,987
GRAND TOTAL, CDBG & HOME	\$1,895,061

2019 Investment Summary - Substantial Amendment 2

CDBG Public Services	
Brook Creek Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
East Lawrence Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
Pinckney Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
Schwegler Neighborhood Association	
Operating and Coordinator Expenses	\$4,800
<i>Subtotal Neigh. Public Service \$19,200</i>	
Catholic Charities of Northeast Kansas	
Lawrence Emergency Assistance Center	\$11,667
Housing and Credit Counseling	
Housing and Credit Counseling Program	\$10,540
Lawrence Community Shelter	
Operating Expenses	\$48,730
The Salvation Army	
Emergency Service Council	\$10,573
Success By 6 Coalition of Douglas County	
Housing Assistance Fund	\$7,573
<i>Subtotal Agency Public Service \$89,083</i>	
Public Services Total	\$108,283

CDBG Non-Public Service	
Brook Creek Neighborhood Association	
20mph Pavement Markings	\$12,000
Lawrence Community Shelter	
Solar Photovoltaic	\$164,965
City of Lawrence (Public Works Division)	
Non-Motorized (Ped/Bike) Improvements	\$200,000
Community Living Opportunities	
Replace roof at 2113 Delaware St	\$53,535
Community Development Division (CDD)	
Housing Rehab/ EL/ FL/ Weatherization	\$268,607
Independence, Inc	
Accessible Housing Program (AHP)	\$49,500
Lawrence-Douglas County Housing Authority	
ConnectHomeUSA	\$25,000
Total Non-Public Service	\$773,607

CDBG Administration	
2018 CDBG Contingency	\$0
CDD Administration of CDBG	\$140,000
CDBG GRAND TOTAL	\$1,021,890

CDBG-CV	
Lawrence Community Shelter	
Capital Improvement Needs for COVID-19	\$446,184
Bert Nash Community Mental Health Center	
Homeless Outreach Operations (Downtown)	\$120,000
Housing Stabilization Collaborative	
Emergency Rent Stabilization Program	\$100,000
Lawrence-Douglas County Public Health	
Community Navigators (COVID-19 Response)	\$120,000
Early Childhood Development Stakeholders	
Childcare Services Project (COVID-19 focus)	\$164,066
CDBG GRAND TOTAL	\$950,250

HOME Investment Partnerships Program	
LDCHA Tenant Based Rental Assistance	\$233,446
CHDO Set-Aside	\$60,298
CHDO Operating Expenses	\$20,099
First-Time Homebuyer Program	\$72,946
CDD Administration of HOME	\$40,198
HOME GRAND TOTAL	\$426,987

Funding Sources	
2019 CDBG Grant	\$721,890
2018 Contingency	\$0
Projected Program Income	\$50,000
Grant Reallocation	\$250,000
Total CDBG Grant Allocation	\$1,021,890
2020 CDBG-CV	\$950,250
Total CDBG-CV Grant Allocation	\$950,250
2019 HOME Grant	\$401,987
Projected Program Income	\$25,000
Grant Reallocation	\$0
Total HOME Grant Allocation	\$426,987

Totals	
Total CDBG Grant Allocation	\$1,021,890
Total CDBG-CV Grant Allocation	\$950,250
Total HOME Grant Allocation	\$426,987
GRAND TOTAL, CDBG & HOME	\$2,399,127

Grantee SF-424's and Certification(s)

OMB Form Lef 4540-2004
Expiration Date: 12/31/2018

Application for Federal Assistance SF-424		
1. Type of Submission: <input type="checkbox"/> Reapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application		2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Renewal
3. Date Received: <input type="text" value="12/15/2018"/>		4. Applicant Identifier: <input type="text" value="0291182"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Agency Identifier: <input type="text" value="B-1-2007-1-1-01"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
9a. Legal Name: <input type="text" value="City of Independence, Kansas"/>		
9b. Employer/Contractor Identification Number (EIN/TIN): <input type="text" value="48-0532234"/>		9c. Organizational DUNS: <input type="text" value="0305201184"/>
d. Address:		
1. Street:	<input type="text" value="1001 W. 10th St."/>	
2. Street 2:	<input type="text" value="Box 1001"/>	
3. City:	<input type="text" value="Independence"/>	
4. County/Parish:	<input type="text"/>	
5. State:	<input type="text" value="Kan. (KS)"/>	
6. Province:	<input type="text"/>	
7. Country:	<input type="text" value="USA - UNITED STATES"/>	
8. Zip - Postal Code:	<input type="text" value="67301"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="City of Independence, Kansas"/>		Description: <input type="text" value="Public Works Department"/>
f. Name and contact information of person to be contacted on matters involving this application:		
1. Title:	<input type="text"/>	2. First Name: <input type="text" value="Stephanie"/>
3. Middle Name:	<input type="text"/>	
4. Last Name:	<input type="text" value="Wright"/>	
5. Phone:	<input type="text"/>	
6. Fax:	<input type="text"/>	
7. E-mail: <input type="text" value="stephanie@independenceks.com"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1. Select Applicant Type: <input type="text" value="Non-Federal Organization (State)"/>	
Type of Applicant 2. Select Applicant Type: <input type="text"/>	
Type of Applicant 3. Select Applicant Type: <input type="text"/>	
* Other Identifier: <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Health and Human Services"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="15.121"/>	
CFDA Title: <input type="text" value="Domestic Violence Prevention Programs"/>	
* 12. Funding Opportunity Number: <input type="text" value="25-0000-2-0000-01"/>	
Title: <input type="text" value="Domestic Violence Prevention Programs"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Area Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Add Attachment Delete Attachment View Attachment </div>	
* 15. Description Title of Applicant's Project: <input type="text" value="Funding for the Project: Housing and Food Assistance, and Transportation, Safety, and other Interventions, Support of the Justice System, and other services for individuals and families who are victims of domestic violence."/>	
Attach supporting docs. Note as specified by agency instructions: <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Add Attachments Delete Attachments View Attachments </div>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

a. Applicant: b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

a. Start Date: b. End Date:

18. Estimated Funding (S):

a. Federal	<input type="text" value="224,250.00"/>
1. Applicant	<input type="text" value="0.00"/>
2. State	<input type="text" value="0.00"/>
3. Local	<input type="text" value="0.00"/>
4. C.M.	<input type="text" value="224,250.00"/>
b. Program Income	<input type="text" value="0.00"/>
c. TOTAL	<input type="text" value="224,250.00"/>

19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on:

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes," provide explanation and attach:

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

I AGREE

"The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency web site linking to this form."

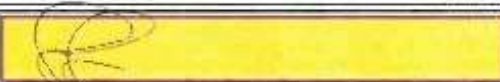
Authorized Representative:

Prefix: First Name:
 Middle Name:
 Last Name:
 Suffix:

Title:

Telephone Number: Fax Number:

Email:

Signature of Authorized Representative:  Data Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4010-0008
 Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (2548-0242), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Details of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If so, it is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and construction of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State the right to examine all records, books, papers or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property site or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1972 (42 U.S.C. §§4725-4733) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 300, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4501 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title V of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title X of the Education Amendments of 1972, as amended (20 U.S.C. §§1691-1693, and 1395-1556), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Offense and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Addiction Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§5025 and 5027 of the Public Health Service Act of 1944 (42 U.S.C. §§290 dd 5 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VI of the Civil Rights Act of 1964 (42 U.S.C. §5501 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the applicant.

Franklin Edition 1/2013

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Standard Form 424D (Rev. 7/97)
 Prescribed by GSA Circular 41-106


11. Will comply, if it has already complied, with the requirements of Titles I and II of the Fair Loan Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-358) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 701-1503 and 7324-7373) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the DeWitt-Robert Act (40 U.S.C. §§ 276c to 276u-7), the Copeland Act (40 U.S.C. § 276c and 49 U.S.C. § 974), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190); and Executive Order (EO) 11514; (b) notification of emitting facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 1701 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (16 U.S.C. 902-905).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 460a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7154) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Promoting a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Title
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Easton, PA	6/18/19

5-4240 (Rev. 7-87) Blank

Application for Federal Assistance SF-424		
1. Type of Submission <input type="checkbox"/> Reapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	2. Type of Application <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	3. Reason, select one, explain fully (a) <input type="text"/> (b) or (c) only <input type="text"/>
12. Date Received: 07/27/2019	4. Applicant Identifier: 00000000	
A. Federal Entity Identifier: <input type="text"/>	C. Federal Agency Identifier: 2-00000000-0000	
State Use Only!		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
a. Legal Name: <input type="text"/> Federal Government of Iowa		
b. Employer/Taxpayer Identifier Number (LHA-1111): <input type="text"/>	c. Organizational UEN#: <input type="text"/>	
d. Address:		
1. Street: <input type="text"/>	2. Street Address Extension: <input type="text"/>	
3. Street 2: <input type="text"/>	4. Street 3: <input type="text"/>	
5. City: <input type="text"/>	6. State: <input type="text"/>	
7. County/Parish: <input type="text"/>	8. Zip: <input type="text"/>	
9. State: <input type="text"/>	10. Country: <input type="text"/>	
11. Postal Code: <input type="text"/>	12. Country Code: <input type="text"/>	
e. Organizational Unit:		
Description Name: <input type="text"/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
First Name: <input type="text"/>	Last Name: <input type="text"/>	
Middle Name: <input type="text"/>	Title: <input type="text"/>	
Last Name: <input type="text"/>	Organizational Affiliation: <input type="text"/>	
Office: <input type="text"/>	Telephone Number: <input type="text"/>	
Title: <input type="text"/>	Facsimile: <input type="text"/>	
E-mail: <input type="text"/>	Fax: <input type="text"/>	

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="1. Local Government (City, County, etc.)"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Health and Human Services"/>	
11. Catalog of Federal Domestic Assistance Number: 11.1 (OMB) <input type="text"/> 11.2 (OMB) <input type="text" value="49.050-01-0000-9999-9999"/>	
* 12. Funding Opportunity Number: <input type="text" value="HHS-2019-01-0000-0000"/> Title: <input type="text" value="Health Care Security and Quality Improvement"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Copy Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="The City of Chicago, Illinois, Bureau of Public Analytics is developing an initiative which will consist of the creation of a data science center to provide technical and data consulting services to local government entities."/>	
Attach supporting documents as specified in agency instructions: <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="Copy Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts: 01	
16. Applicant: ...	16. Program/Project: ...
Attach additional detail of Program/Project Congressional Districts if needed: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Clear Attachment"/>	
17. Proposed Project:	
17. Start Date: 06/01/2019	17. End Date: 06/30/2020
18. Estimated Funding (\$):	
18. Federal	4,000,000.00
18. Applicant	0.00
18. State	0.00
18. Local	0.00
18. Other	0.00
18. Program Income	0.00
18. TOTAL	4,000,000.00
19. Is Application Subject to Review By State Under Executive Order 13772 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 13772 Process for review on: <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 13772 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 13772.	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I do provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> I Agree	
** The list of certifications and assurances is on the net site where you may obtain the list. It is contained in the environment or given by specific instructions.	
Authorized Representative:	
First: <input type="text"/>	Phone: <input type="text"/>
Middle Name: <input type="text"/>	
Last Name: <input type="text"/>	
Suffix: <input type="text"/>	
Title: <input type="text"/>	
Telephone Number: <input type="text"/>	Fax Number: <input type="text"/>
Email: <input type="text"/>	
Signature of Authorized Representative: 	Date Signed: 6-18-19

HOME

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4010-0050
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-Federal share) of project cost to ensure proper planning, management and completion of project described in this application;
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards of agency practices;
3. Will not dispose of, modify the use of, or change the terms of the real property (land or improvements) on the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives that will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project;
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications;
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State;
6. Will utilize and complete the work within the applicable time frame after receipt of approval of the awarding agency;
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain;
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4729-4731) relating to prescribed standards of merit systems for programs funded under one of the 18 categories or regulations specified in Appendix A of OMB's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F);
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence at structures;
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1221-1223, and 1681-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-286), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§52A and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-8 and 226 et seq.), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VI of the Civil Rights Act of 1964 (42 U.S.C. §§6501 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the applicant.

FRANCIS LEDBETTER

AUTHORIZED FOR USE: REPRESENTATIVE

Standard Form 1240 (Rev. 7-87)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles I and II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-548) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 501-1206 and 7024-7329) which limit the official activities of employees whose official employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276n-7), the Copeland Act (40 U.S.C. § 276c and 49 U.S.C. § 8174), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-332) regarding labor standards for federally-assisted construction agreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance. (1) a total cost of insurable construction and equipment is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) installation of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of object consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 to sec. 7); (f) conformity of Federal actions to State (Class A) implementation Plans (under Section 176(c) of the Clean Air Act of 1963, as amended (42 U.S.C. §§ 7401 et seq.)); (g) protection of underground sources of drinking water under the State Drinking Water Act of 1974, as amended (P.L. 93-525) and; (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the William Seward Rivers Act of 1968 (16 U.S.C. §§ 271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in ensuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11503 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 466a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 71071) which prohibits grant award recipients or a sub-recipient from trafficking in severe forms of trafficking in persons during the period of time that the award is in effect (2) through a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subaward under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Director
APPLICANT ORGANIZATION	DATE SUBMITTED
U.S. Coast Guard	6-13-19

SH-4240 (Rev. 7-97) 5/04

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Procedures Act of 1970, as amended (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

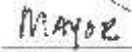
Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701a) and implementing regulations at 24 CFR Part 155.



Signature of Authorized Official

6-13-19
Date



Title

Specific Community Development Block Grant Certifications

The Beneficiary Community certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfies requirements of 24 CFR 91.105.

Community Development Plan – Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan – It is following a plan or consolidated plan that has been approved by HUD.

Use of Funds – It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2017-2019 (a period specified by the grantee of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that assures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed loans, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee or charge or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.


Excessive Force – It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual's engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barrier entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformance with Title VII of the Civil Rights Act of 1964 (7 U.S.C. 20000) and the Fair Housing Act (42 U.S.C. 3601-3617) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part. 35, Subparts A, B, J, K, and R.

Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official

1/18/19
Date

Mayor
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR §70.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature of Authorized Official



Title

6/13/19
Date

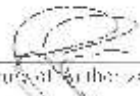
Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy Layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other federal assistance that is necessary to provide affordable housing.



Signature of Authorized Official

6/18/19

Date

M. AYOR

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$5,000 and not more than \$100,000 for each such failure.

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4661-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any actively assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-T.L.I., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701n) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official
Mayor

Title

06/02/2020

Date

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2017-20 9 _____ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

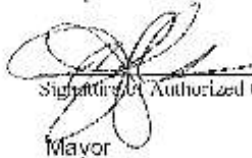
Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws



Signatory, Authorized Official

06/02/2020

Date

Mayor

Title

OPTIONAL: Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(e):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature of Authorized Official
Mayer

Title

06/02/2020

Date


Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Signature of Authorized Official
Mayor

Title

06/02/2020

Date

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Application for Federal Assistance SF-424		
1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input checked="" type="checkbox"/> Changed/Contracted Application	2. Type of Assistance: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	3. If Revision, select approval letter: Other (Specify):
4. Application Number: 667072629	5. Application Title: K251900	
6a. Federal Entity Identifier: 		6b. Federal Award Identifier: B-12-HQ-23-0000
State Use Only:		
6. Date Received by State: 	7. State Application Identifier: 	
8. APPLICANT INFORMATION:		
9. Legal Name: City of Lawrence, Kansas		
10. Employer/Agency Identification Number (EIN/TEIN): 20-2333520	11. Organization DUNS: 0229574640000	
d. Address:		
12. Street: Simpson Plaza	13. Street: Simpson 340	
14. City: Lawrence	15. County/Parish: 	
16. State: KS: Kansas	17. Province: 	
18. Country: USA: UNITED STATES	19. Zip/Postal Code: 66044-2291	
e. Organizational Unit:		
Division Name: Planning and Dev. Services	Division Name: Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: 	First Name: Michael	Last Name: Wright
Middle Name: 	Title: Community Development Manager	
20. Title: Community Development Manager	21. Organizational Affiliation: 	
22. Telephone Number: 785 832-4106	23. Fax Number: 	
24. Email: lawrence@lawrenceks.org		

Application for Federal Assistance SF-424	
*9. Type of Applicant 1: Select Applicant Type: <input type="checkbox"/> City or Township Government Type of Applicant 2: Select Applicant Type: <input type="checkbox"/> Type of Applicant 3: Select Applicant Type: <input type="checkbox"/> * Other (specify): <input type="text"/>	
*10. Name of Federal Agency: U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number: 14-118 CFDA Title: Community Development Block Grant	
*12. Funding Opportunity Number: 24-5-97-20-0000 * Title: Community Development Block Grant	
13. Competition Identification Number: Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Change Attachment"/> <input type="button" value="New Attachment"/>	
*15. Descriptive Title of Applicant's Project: APDS All Funding Sources	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Clear Attachments"/> <input type="button" value="View Attached Files"/>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

*a. Applicant: *b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

*a. Start Date: *b. End Date:

18. Estimated Funding (\$):

*a. Federal	<input type="text" value="1,165,900.00"/>
*b. Applicant	<input type="text" value="0.00"/>
*c. State	<input type="text" value="0.00"/>
*d. Local	<input type="text" value="0.00"/>
*e. Other	<input type="text" value="250,000.00"/>
*f. Program Income	<input type="text" value="50,000.00"/>
*g. TOTAL	<input type="text" value="1,465,900.00"/>

*19. Is Application Subject to Review By State Under Executive Order 12872 Process?

a. This application was made available to the State under the Executive Order 12872 Process for review on

b. Program is subject to E.O. 12872 but has not been selected by the State for review.

c. Program is not covered by E.O. 12872.

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach:

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement, or agency specific instructions.

Authorized Representative:

Prefix: *First Name: Surname:

Mobile Name:


Last Name:

Office:

Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:  * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Form 4940-0006
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that all complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Ineligibility, Personnel Act of 1970 (42 U.S.C. §§4728-4765) relating to prescribed standards of merit systems for programs funded under one of the 19 sub titles or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 200, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 86-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1655-1656), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§5101-5107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280 dc-2 and 290 ec-2), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3501 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.

Produce Electronically

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Standard Form 4940 (Rev. 7-87)
Prescribed by GSA Circular 4-102

11. Will comply or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. § 1501-1509 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-353) regarding labor standards for federally assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11690; (d) evaluation of flood hazards in floodplains in accordance with EO 11288; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1990, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-323); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
15. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipients from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION CITY OF ...	DATE SUBMITTED 05/02/2024

5F-4240 (Rev. 7-97) Back

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Form 4540-0036
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0346-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that all complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Federal Acquisition Regulation (48 CFR) regarding the use of Federal funds.
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 86-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§681-681-1883, and 1655-1656), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§5101-5107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280 dc-2 and 290 ec-2), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3501 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.

Produce Electronically

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Standard Form 4540 (Rev. 7-87)
Prescribed by GSA Circular A-102

11. Will comply or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
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13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-353) regarding labor standards for federally assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1990, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
15. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipients from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION CITY OF ...	DATE SUBMITTED 05/02/2024

50-4240 (Rev. 7-87) Back

REQUEST FOR MAYOR'S SIGNATURE

Please complete this form and attach it to any contracts, agreements, etc. which need the Mayor's signature.

Brief description of document (include project number if applicable):

Documents and items associated with the 2021 CDBG and HOME program year.

Approved on June 1, 2021 via Resolution No. 7367

Application items for 2021 submittal –

SF424 – CDBG

SF424 – HOME

SF424D

Resolution

Certifications

Document sent by: Dani Walters

Department: PDS

Please return to: Dani Walters

Return by (date): Please scan the signed pages to me when complete. Thank you!

Does this document require City Commission approval? Yes

Date of City Commission approval: 06/01/2021

Has this document been reviewed by Legal Services? No

Has this document been reviewed by the City Clerk's Office for bond and insurance requirements? No

Is there a PO associated with this document? No


Dollar amount of PO: NA

PO number: NA

Updated February 5, 2007


Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Corrected/Corrected Application	*2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	*3. Action, select appropriate entry: <input type="text"/> * Title (Specify): <input type="text"/>
09/30/2021	4. Applicant Identifier: 25701524	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: B-20-HC-20-C005	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
B. APPLICANT INFORMATION:		
*a. Legal Name: City of Independence, MO, MO		
*b. Employer/Supplier Identification Number (EIN/STIN): 48-6033220	*c. Organizational DUNS: 0306824620000	
d. Address:		
* Street: <input type="text"/> 11111111111111111111	* Suite: <input type="text"/> Suite 320	
* City: <input type="text"/> Cambridge	* State: <input type="text"/> MO, Kansas	
* County: <input type="text"/>	* Country: <input type="text"/> USA, United States	
* Zip/Postal Code: <input type="text"/> 66241-2294		
a. Organizational Unit:		
Department Name: <input type="text"/> Planning and Dev. Services	Division Name: <input type="text"/> Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
* Prefix: <input type="text"/>	* First Name: <input type="text"/> Sarah Lee	
* Middle Name: <input type="text"/>		
* Last Name: <input type="text"/> Walters		
* Suffix: <input type="text"/>		
* Title: <input type="text"/> Community Development Manager		
Organizational Address: <input type="text"/>		
* Telephone Number: <input type="text"/> 816-822-2128	* Fax Number: <input type="text"/>	
* Email: <input type="text"/> sleet@cityofindependence.org		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City of New York City Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
* 11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-378"/>	
CFDA Title: <input type="text" value="Community Development Block Grant"/>	
* 12. Funding Opportunity Number: <input type="text" value="H 2016-20 3965"/>	
Title: <input type="text" value="Community Development Block Grant"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div style="display: flex; justify-content: space-around;"> Add Attachment Delete Attachment View Attachments </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Funding for Times Ten Housing Rehabilitation, Multifamily, Infrastructure Investments, Housing Accessibility Programs, Homeless Assistance, Neighborhood Support, Social Services Capacity Support"/>	
Attach supporting documents as specified in agency instructions. <div style="display: flex; justify-content: space-around;"> Add Attachments Delete Attachments View Attachments </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: <input type="text" value="2, 3"/>	*b. Program/Project: <input type="text" value="2, 3"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
*a. Start Date: <input type="text" value="06/01/2021"/>	*b. End Date: <input type="text" value="07/31/2021"/>
18. Estimated Funding (\$):	
*a. Federal:	<input type="text" value="801,759.00"/>
*b. Applicant:	<input type="text" value="0.00"/>
*c. State:	<input type="text" value="0.00"/>
*d. Local:	<input type="text" value="0.00"/>
*e. Other:	<input type="text" value="250,000.00"/>
*f. Program Income:	<input type="text" value="50,000.00"/>
*g. TOTAL:	<input type="text" value="1,101,759.00"/>
19. Is Application Subject to Review By State Under Executive Order 12872 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on: <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12872.	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach:	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text"/>	* First Name: <input type="text" value="Dorothy"/>
Middle Name: <input type="text" value="R"/>	
Last Name: <input type="text" value="Punka-Dei"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="785-832-2418"/>	* Fax Number: <input type="text"/>
* Email: <input type="text" value="cityhall@lowersocke.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="06/02/2021"/>

Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	*3. Revision (select appropriate letter): <input type="text"/> *4. Other (Specify): <input type="text"/>
5. Agency Use Only: 6. Date Received by E.O. 12812: <input type="text"/>	4. Applicant Identifier: R2231902	
6a. Federal Entity Identifier: <input type="text"/>	6b. Federal Award Identifier: H-20-HQ-23-0202	
State Use Only: 7. State Application Identifier: <input type="text"/>		
B. APPLICANT INFORMATION:		
*8. Legal Name: City of Lawrence, Kansas		
*9. Employer/Taxpayer Identification Number (EIN/TIN): 00-0000000	*10. Organizational OMB: 32280247-0003	
c. Address:		
* Street: 1000 E. 10th, Meritt	* Suite: Suite 229	
* City: Lawrence	* State: KS	
* Country: USA	* Zip + Prefix Code: 66044-2497	
e. Organizational Unit:		
Department Name: Planning and Dev. Services	Division Name: Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
* First Name: [Redacted]	* Last Name: [Redacted]	
* Middle Name: [Redacted]	* Title: Community Development Manager	
* Last Name: [Redacted]	* Telephone Number: 785-843-3100	
* Suffix: [Redacted]	* Fax Number: [Redacted]	
* Email: [Redacted]		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City and Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-259"/>	
OFDA Title: <input type="text" value="HOME Investment Partnership Program"/>	
* 12. Funding Opportunity Number: <input type="text" value="H 20-00-00000"/>	
* Title: <input type="text" value="HOME Investment Partnership Program"/>	
13. Contact Information Number: <input type="text"/>	
Title: <input type="text"/>	
* 14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Creating an Asset-Based Rental Assisted, Home Based Assistance, Administration, CHOP set-aside, affordable housing development, and CHOP operating separate activities will be located in Lawrence."/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="2, 3"/>	* b. Program/Project: <input type="text" value="2, 3"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="05/01/2021"/>	* b. End Date: <input type="text" value="07/31/2025"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="453,326.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="15,000.00"/>
* g. TOTAL	<input type="text" value="468,326.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12872 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12872.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of verifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21U, Section 1001)	
<input checked="" type="checkbox"/> I AGREE	
<small>** The list of verifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
Authorized Representative:	
Prefix: <input type="text"/>	* First Name: <input type="text" value="Tracy"/>
Middle Name: <input type="text" value="K"/>	
* Last Name: <input type="text" value="Cunha-Edes"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="405-942-2418"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="tracy@cityofokmulgee.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="05/03/2021"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4010-0008
 Expiration Date: 3/22/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permits and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to ensure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4001 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1581-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Offense and Treatment Act of 1972 (P.L. 92-255), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1917 (42 U.S.C. §§290 et seq. and 290 et seq.), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424E (Rev. 7-97)
 Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. § 1501-1508 and 7524-7528) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the DeWoborn Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 16 U.S.C. §674), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11989; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(g) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Lawrence, KS	06/12/2021

SF-424D (Rev. 7/97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

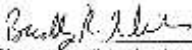
Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L. "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements); and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701a) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

06/02/2021
Date

Mayor
Title

Specific Community Development Block Grant Certifications

The Beneficiary Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2020-2022 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000e) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, I, K and R.

Compliance with Laws – It will comply with applicable laws.

Brady L. Davis
Signature of Authorized Official

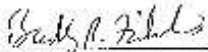
06.09.2021
Date

Mayor _____
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature of Authorized Official

06.02.2021

Date

Mayor

Title

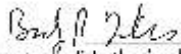
Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature of Authorized Official

06.02.2021
Date

Mayor

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.